

Deep Learning Tutorial

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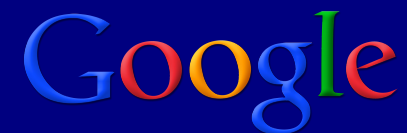


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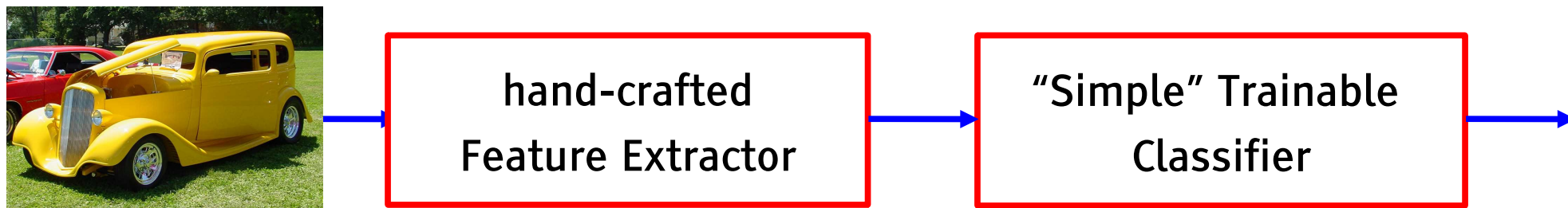
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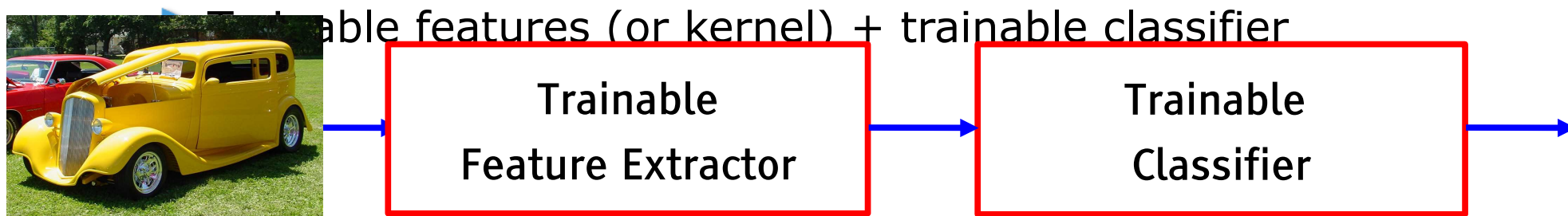
Deep Learning = Learning Representations/Features

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- The traditional model of pattern recognition (since the late 50's)
 - ▶ Fixed/engineered features (or fixed kernel) + trainable classifier



- End-to-end learning / Feature learning / Deep learning



This Basic Model has not evolved much since the 50's

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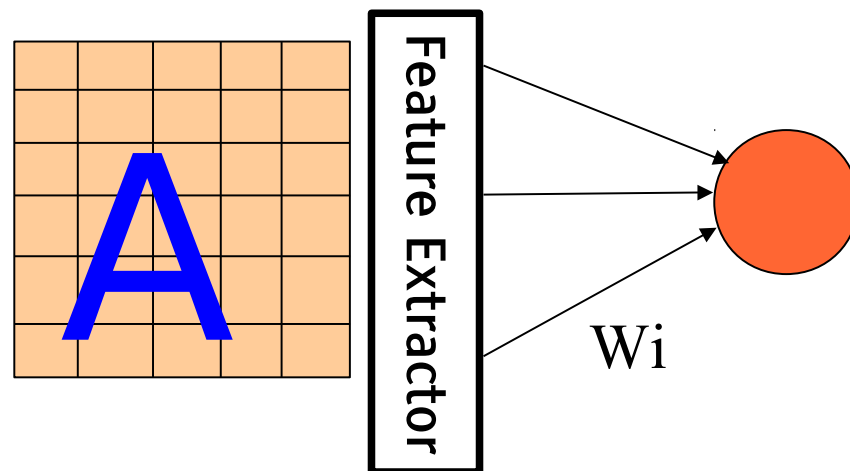
■ The first learning machine: the **Perceptron**

▶ Built at Cornell in 1960

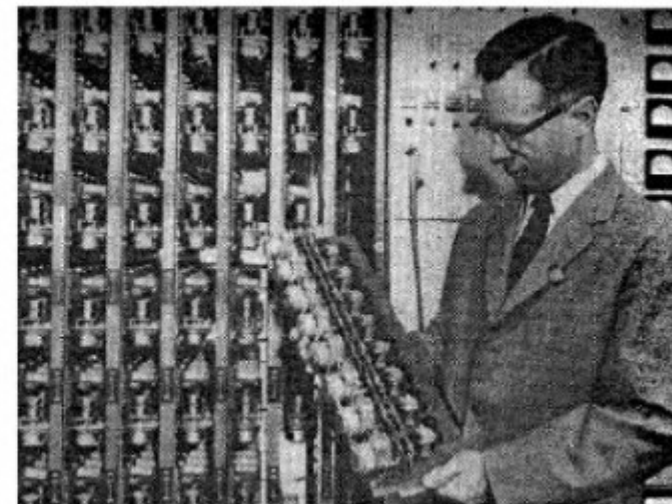
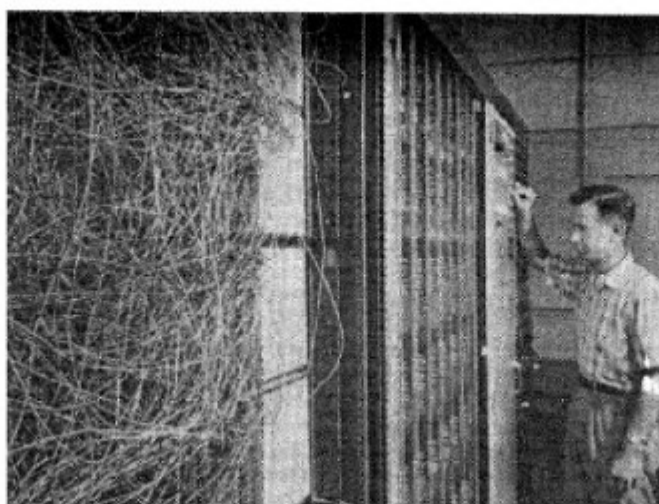
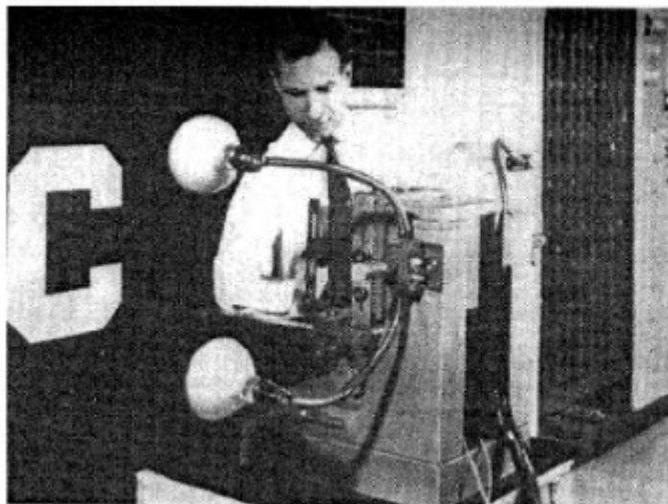
■ The Perceptron was a **linear classifier** on top of a simple **feature extractor**

■ The vast majority of practical applications of ML today use glorified **linear classifiers** or glorified template matching.

■ Designing a feature extractor requires considerable efforts by experts.



$$y = \text{sign} \left(\sum_{i=1}^N W_i F_i(X) + b \right)$$

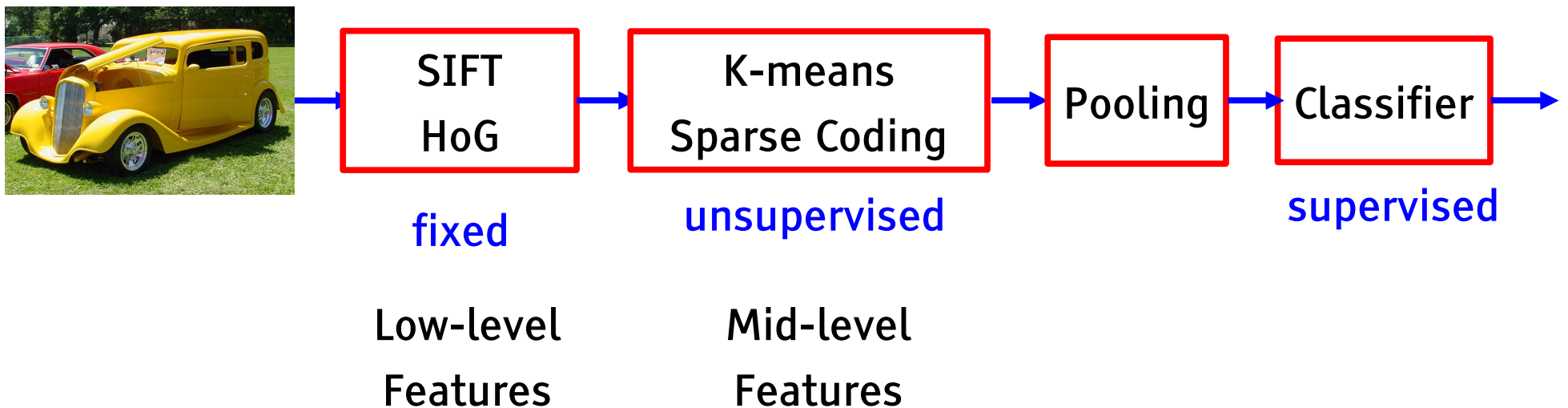
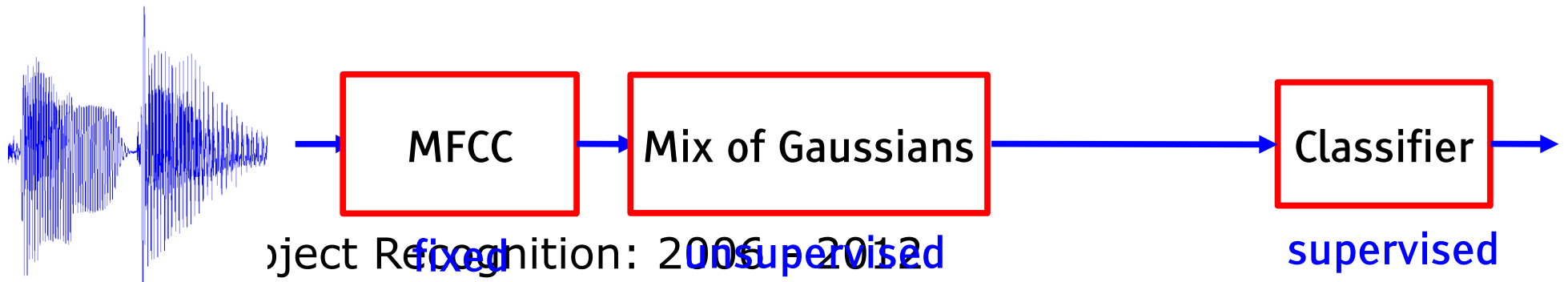


Architecture of "Mainstream" Pattern Recognition Systems

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Modern architecture for pattern recognition

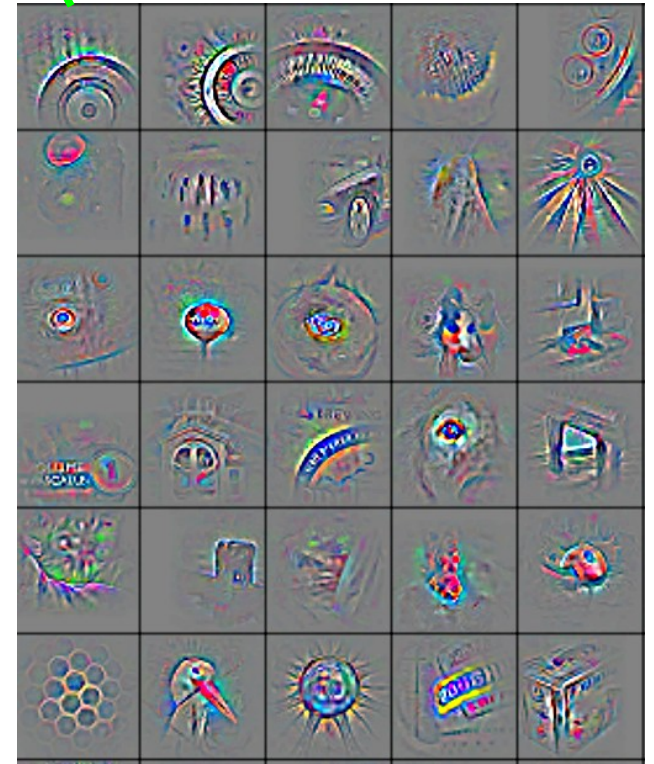
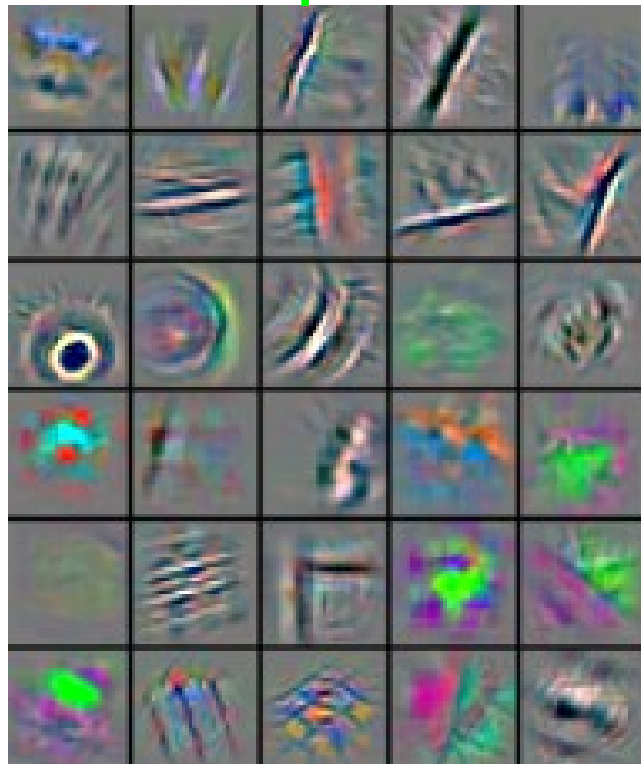
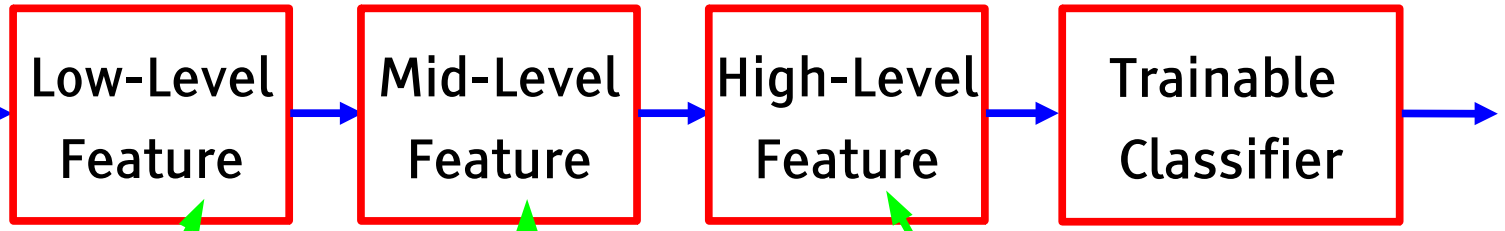
▶ Speech recognition: early 90's – 2011



Deep Learning = Learning Hierarchical Representations

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It's **deep** if it has more than one stage of non-linear feature



Feature visualization of convolutional net trained on ImageNet from [Zeiler & Fergus 2013]

- Hierarchy of representations with increasing level of abstraction

- Each stage is a kind of trainable feature transform

- Image recognition

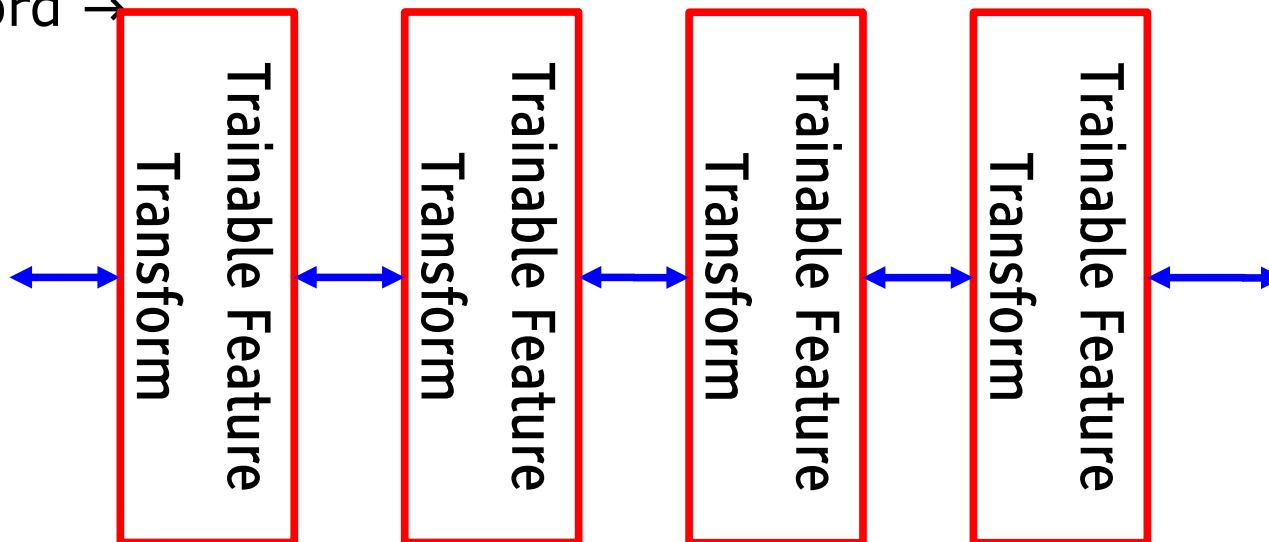
 - ▶ Pixel → edge → texture → motif → part → object

- Text

 - ▶ Character → word → word group → clause → sentence → story

- Speech

 - ▶ Sample → spectral band → sound → ... → phone → phoneme → word →



Learning Representations: a challenge for ML, CV, AI, Neuroscience, Cognitive Science...

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■ How do we learn representations of the perceptual world?

- ▶ How can a perceptual system build itself by looking at the world?
- ▶ How much prior structure is necessary

■ ML/AI: how do we learn features or feature hierarchies?

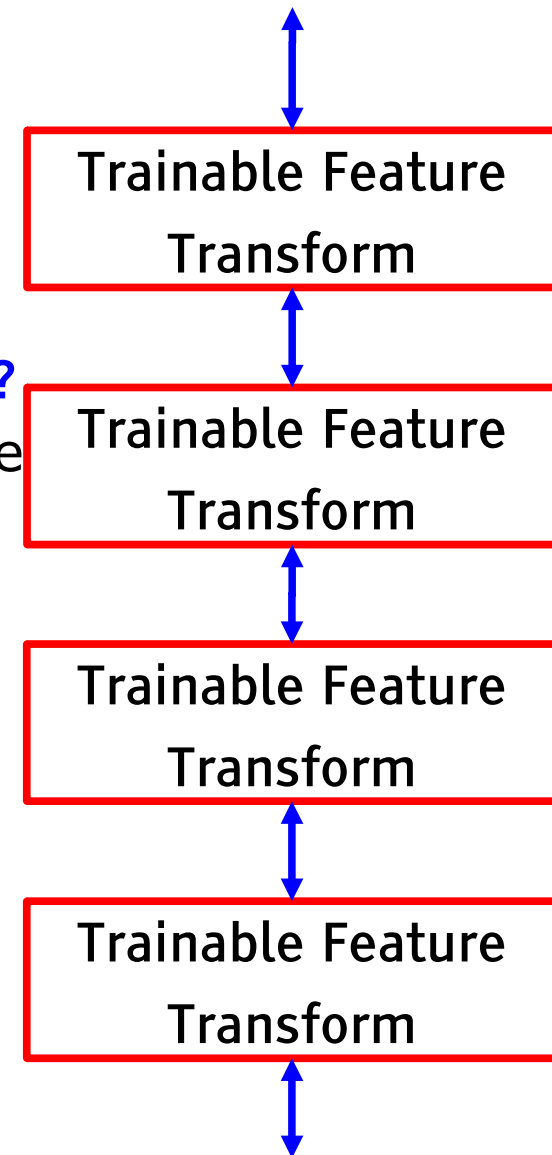
- ▶ What is the fundamental principle? What is the learning algorithm? What is the architecture?

■ Neuroscience: how does the cortex learn perception?

- ▶ Does the cortex "run" a single, general learning algorithm? (or a small number of them)

■ CogSci: how does the mind learn abstract concepts on top of less abstract ones?

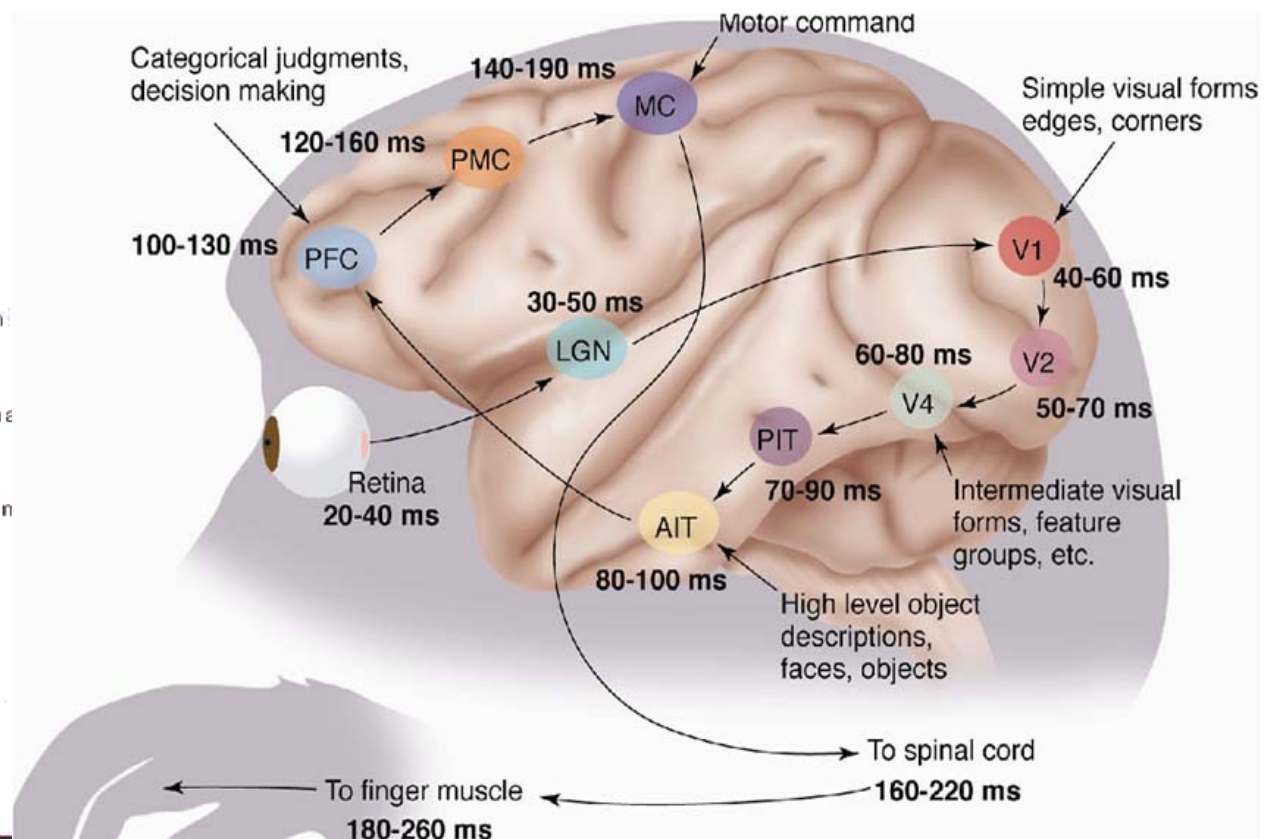
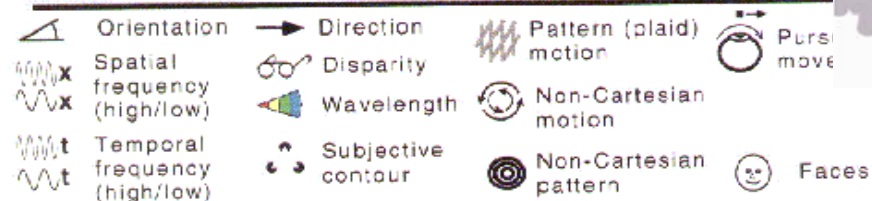
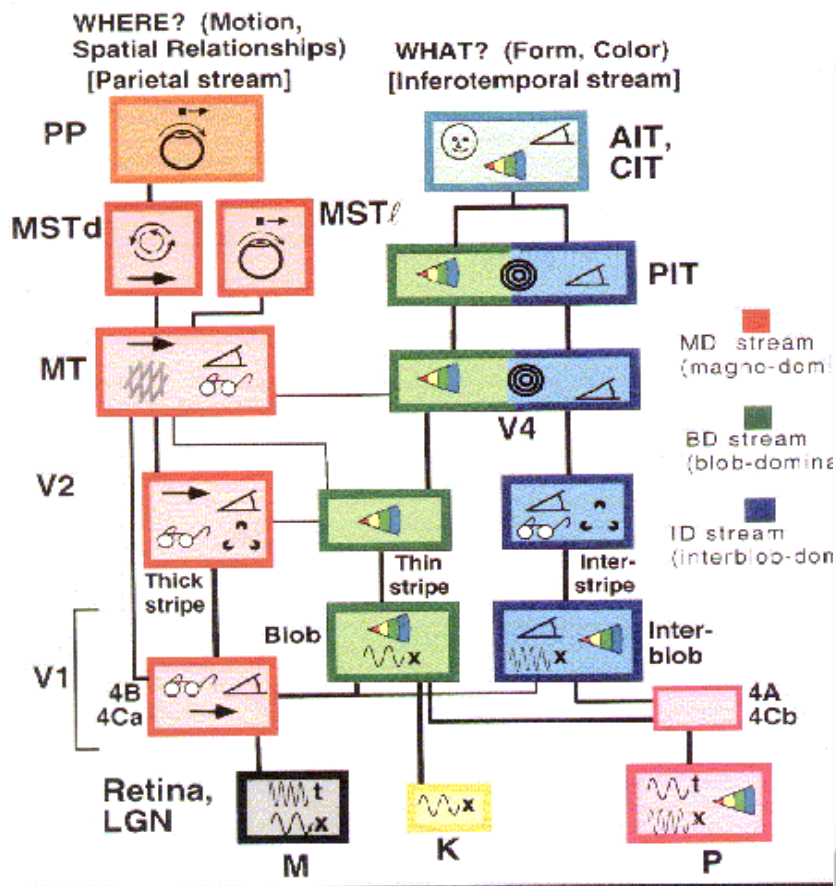
■ Deep Learning addresses the problem of learning hierarchical representations with a single algorithm



The Mammalian Visual Cortex is Hierarchical

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- The ventral (recognition) pathway in the visual cortex has multiple stages
- Retina - LGN - V1 - V2 - V4 - PIT - AIT
- Lots of intermediate representations



[picture from Simon Thorpe]

[Gallant & Van Essen]

Let's be inspired by nature, but not too much

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- It's nice imitate Nature,
- But we also need to **understand**
 - ▶ How do we know which details are important?
 - ▶ Which details are merely the result of evolution, and the constraints of biochemistry?
- For airplanes, we developed aerodynamics and compressible fluid dynamics.
 - ▶ We figured that feathers and wing flapping weren't crucial
- **QUESTION: What is the equivalent of aerodynamics for understanding intelligence?**



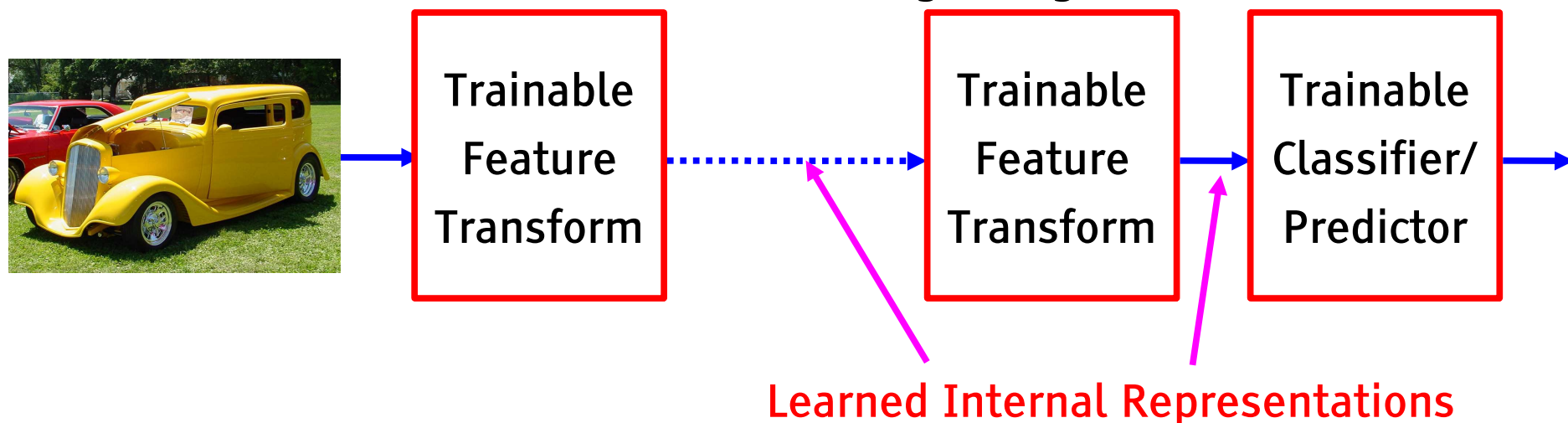
L'Avion III de Clément Ader, 1897

(Musée du CNAM, Paris)

His Eole took off from the ground in 1890, 13 years before the Wright Brothers, but you probably never heard of it.

■ A hierarchy of trainable feature transforms

- ▶ Each module transforms its input representation into a higher-level one.
- ▶ High-level features are more global and more invariant
- ▶ Low-level features are shared among categories

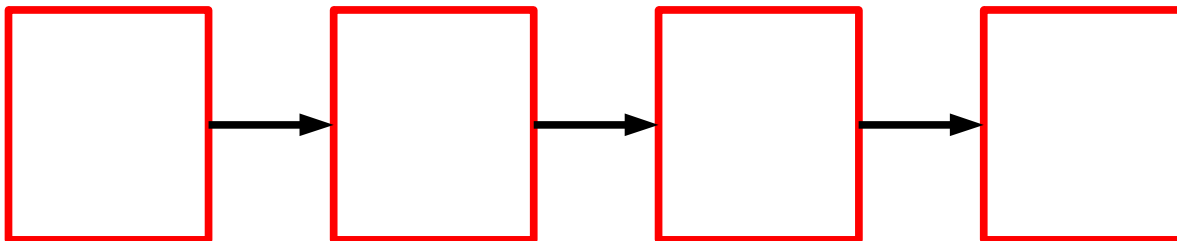


- ## ■ How can we make all the modules trainable and get them to learn appropriate representations?

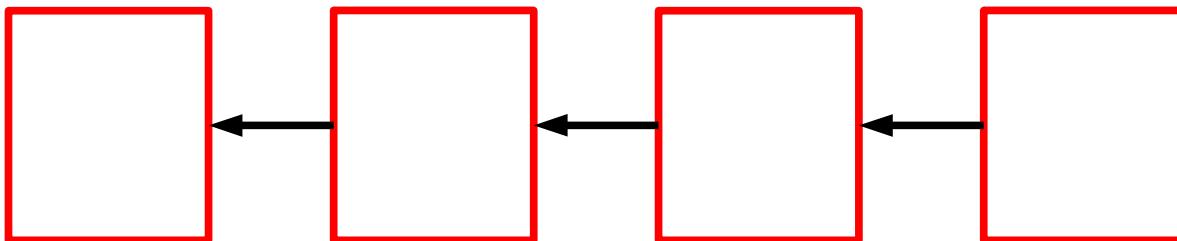
Three Types of Deep Architectures

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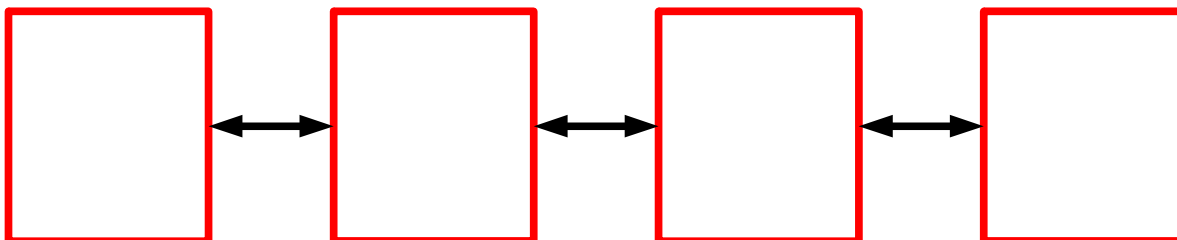
- Feed-Forward: multilayer neural nets, convolutional nets



- Feed-Back: Stacked Sparse Coding, Deconvolutional Nets



- Bi-Directional: Deep Boltzmann Machines, Stacked Auto-Encoders



Three Types of Training Protocols

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■ Purely Supervised

- ▶ Initialize parameters randomly
- ▶ Train in supervised mode
 - ▶ typically with SGD, using backprop to compute gradients
- ▶ **Used in most practical systems for speech and image recognition**

■ Unsupervised, layerwise + supervised classifier on top

- ▶ Train each layer unsupervised, one after the other
- ▶ Train a supervised classifier on top, keeping the other layers fixed
- ▶ **Good when very few labeled samples are available**

■ Unsupervised, layerwise + global supervised fine-tuning

- ▶ Train each layer unsupervised, one after the other
- ▶ Add a classifier layer, and retrain the whole thing supervised
- ▶ **Good when label set is poor (e.g. pedestrian detection)**

■ Unsupervised pre-training often uses regularized auto-encoders

Do we really need deep architectures?

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- **Theoretician's dilemma:** "We can approximate any function as close as we want with shallow architecture. Why would we need deep ones?"

$$y = \sum_{i=1}^P \alpha_i K(X, X^i) \quad y = F(W^1 \cdot F(W^0 \cdot X))$$

- ▶ kernel machines (and 2-layer neural nets) are "universal".

- **Deep learning machines**

$$y = F(W^K \cdot F(W^{K-1} \cdot F(\dots F(W^0 \cdot X) \dots)))$$

- **Deep machines are more efficient for representing certain classes of functions, particularly those involved in visual recognition**
 - ▶ they can represent more complex functions with less "hardware"
- We need an efficient parameterization of the class of functions that are useful for "AI" tasks (vision, audition, NLP...)

Why would deep architectures be more efficient?

[Bengio & LeCun 2007 "Scaling Learning Algorithms Towards AI"]

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■ A deep architecture trades space for time (or breadth for depth)

- ▶ more layers (more sequential computation),
- ▶ but less hardware (less parallel computation).

■ Example1: N-bit parity

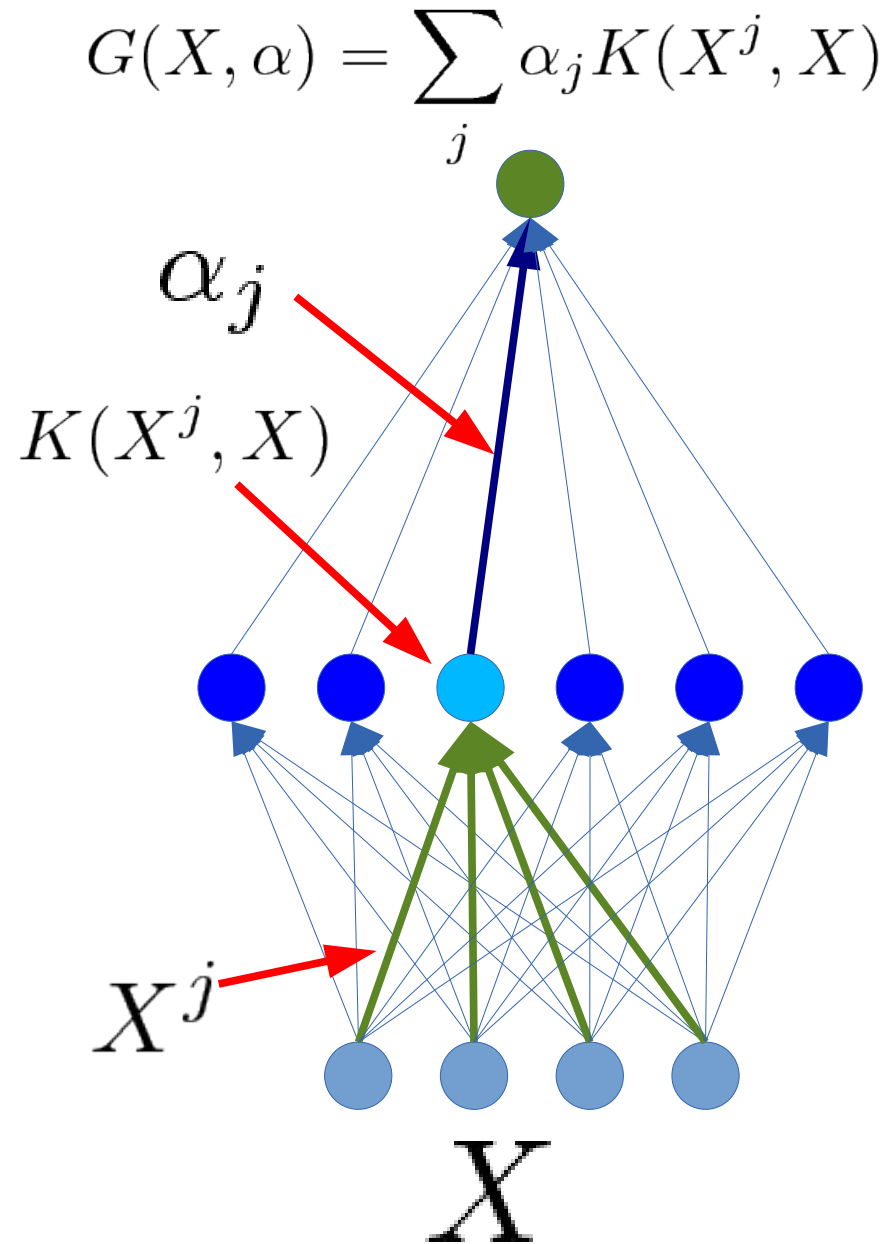
- ▶ requires $N-1$ XOR gates in a tree of depth $\log(N)$.
- ▶ Even easier if we use threshold gates
- ▶ requires an exponential number of gates if we restrict ourselves to 2 layers (DNF formula with exponential number of minterms).

■ Example2: circuit for addition of 2 N-bit binary numbers

- ▶ Requires $O(N)$ gates, and $O(N)$ layers using N one-bit adders with ripple carry propagation.
- ▶ Requires lots of gates (some polynomial in N) if we restrict ourselves to two layers (e.g. Disjunctive Normal Form).
- ▶ Bad news: almost all boolean functions have a DNF formula with an exponential number of minterms $O(2^N)$

Which Models are Deep?

- **2-layer models are not deep (even if you train the first layer)**
 - ▶ Because there is no feature hierarchy
- **Neural nets with 1 hidden layer are not deep**
- **SVMs and Kernel methods are not deep**
 - ▶ Layer1: kernels; layer2: linear
 - ▶ The first layer is “trained” in with the simplest unsupervised method ever devised: using the samples as templates for the kernel functions.
- **Classification trees are not deep**
 - ▶ No hierarchy of features. All decisions are made in the input space



Are Graphical Models Deep?

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■ There is no opposition between graphical models and deep learning.

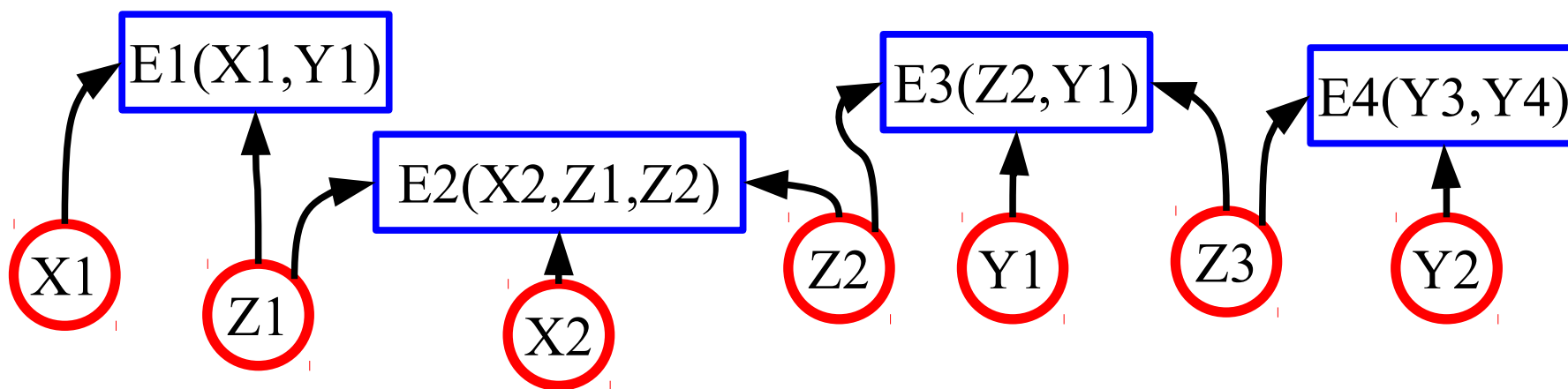
- ▶ Many deep learning models are formulated as factor graphs
- ▶ Some graphical models use deep architectures inside their factors

■ Graphical models can be deep (but most are not).

■ Factor Graph: sum of energy functions

- ▶ Over inputs X , outputs Y and latent variables Z . Trainable parameters: W

$$-\log P(X, Y, Z | W) \propto E(X, Y, Z, W) = \sum_i E_i(X, Y, Z, W_i)$$



■ Each energy function can contain a deep network

■ The whole factor graph can be seen as a deep network

■ Deep Learning involves non-convex loss functions

- ▶ With non-convex losses, all bets are off
- ▶ Then again, every speech recognition system ever deployed has used non-convex optimization (GMMs are non convex).

■ But to some of us all “interesting” learning is non convex

- ▶ Convex learning is invariant to the order in which sample are presented (only depends on asymptotic sample frequencies).
- ▶ Human learning isn't like that: we learn simple concepts before complex ones. The order in which we learn things matter.

■ No generalization bounds?

- ▶ Actually, the usual VC bounds apply: most deep learning systems have a finite VC dimension
- ▶ We don't have tighter bounds than that.
- ▶ But then again, how many bounds are tight enough to be useful for model selection?

■ It's hard to prove anything about deep learning systems

- ▶ Then again, if we only study models for which we can prove things, we wouldn't have speech, handwriting, and visual object recognition systems today.

■ Deep Learning is about representing high-dimensional data

- ▶ There has to be interesting theoretical questions there
- ▶ What is the geometry of natural signals?
- ▶ Is there an equivalent of statistical learning theory for unsupervised learning?
- ▶ What are good criteria on which to base unsupervised learning?

■ Deep Learning Systems are a form of latent variable factor graph

- ▶ Internal representations can be viewed as latent variables to be inferred, and deep belief networks are a particular type of latent variable models.
- ▶ The most interesting deep belief nets have intractable loss functions: how do we get around that problem?

■ Lots of theory at the 2012 IPAM summer school on deep learning

- ▶ Wright's parallel SGD methods, Mallat's "scattering transform", Osher's "split Bregman" methods for sparse modeling, Morton's "algebraic geometry of DBN",....

■ Deep Learning has been the hottest topic in speech recognition in the last 2 years

- ▶ A few long-standing performance records were broken with deep learning methods
- ▶ Microsoft and Google have both deployed DL-based speech recognition system in their products
- ▶ Microsoft, Google, IBM, Nuance, AT&T, and all the major academic and industrial players in speech recognition have projects on deep learning

■ Deep Learning is the hottest topic in Computer Vision

- ▶ Feature engineering is the bread-and-butter of a large portion of the CV community, which creates some resistance to feature learning
- ▶ But the record holders on ImageNet and Semantic Segmentation are convolutional nets

■ Deep Learning is becoming hot in Natural Language Processing

■ Deep Learning/Feature Learning in Applied Mathematics

- ▶ The connection with Applied Math is through sparse coding, non-convex optimization, stochastic gradient algorithms, etc...

In Many Fields, Feature Learning Has Caused a Revolution (methods used in commercially deployed systems)

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■ Speech Recognition I (late 1980s)

- ▶ Trained mid-level features with Gaussian mixtures (2-layer classifier)

■ Handwriting Recognition and OCR (late 1980s to mid 1990s)

- ▶ Supervised convolutional nets operating on pixels

■ Face & People Detection (early 1990s to mid 2000s)

- ▶ Supervised convolutional nets operating on pixels (YLC 1994, 2004, Garcia 2004)
- ▶ Haar features generation/selection (Viola-Jones 2001)

■ Object Recognition I (mid-to-late 2000s: Ponce, Schmid, Yu, YLC....)

- ▶ Trainable mid-level features (K-means or sparse coding)

■ Low-Res Object Recognition: road signs, house numbers (early 2010's)

- ▶ Supervised convolutional net operating on pixels

■ Speech Recognition II (circa 2011)

- ▶ Deep neural nets for acoustic modeling

■ Object Recognition III, Semantic Labeling (2012, Hinton, YLC,...)

- ▶ Supervised convolutional nets operating on pixels

SHALLOW

DEEP

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Boosting

Perceptron

AE

Neural Net

RNN

D-AE

Conv. Net

SVM

RBM

DBN

DBM

GMM **Sparse Coding**

BayesNP

$\Sigma\Pi$

Decision Tree

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Boosting

Neural Networks

Neural Net

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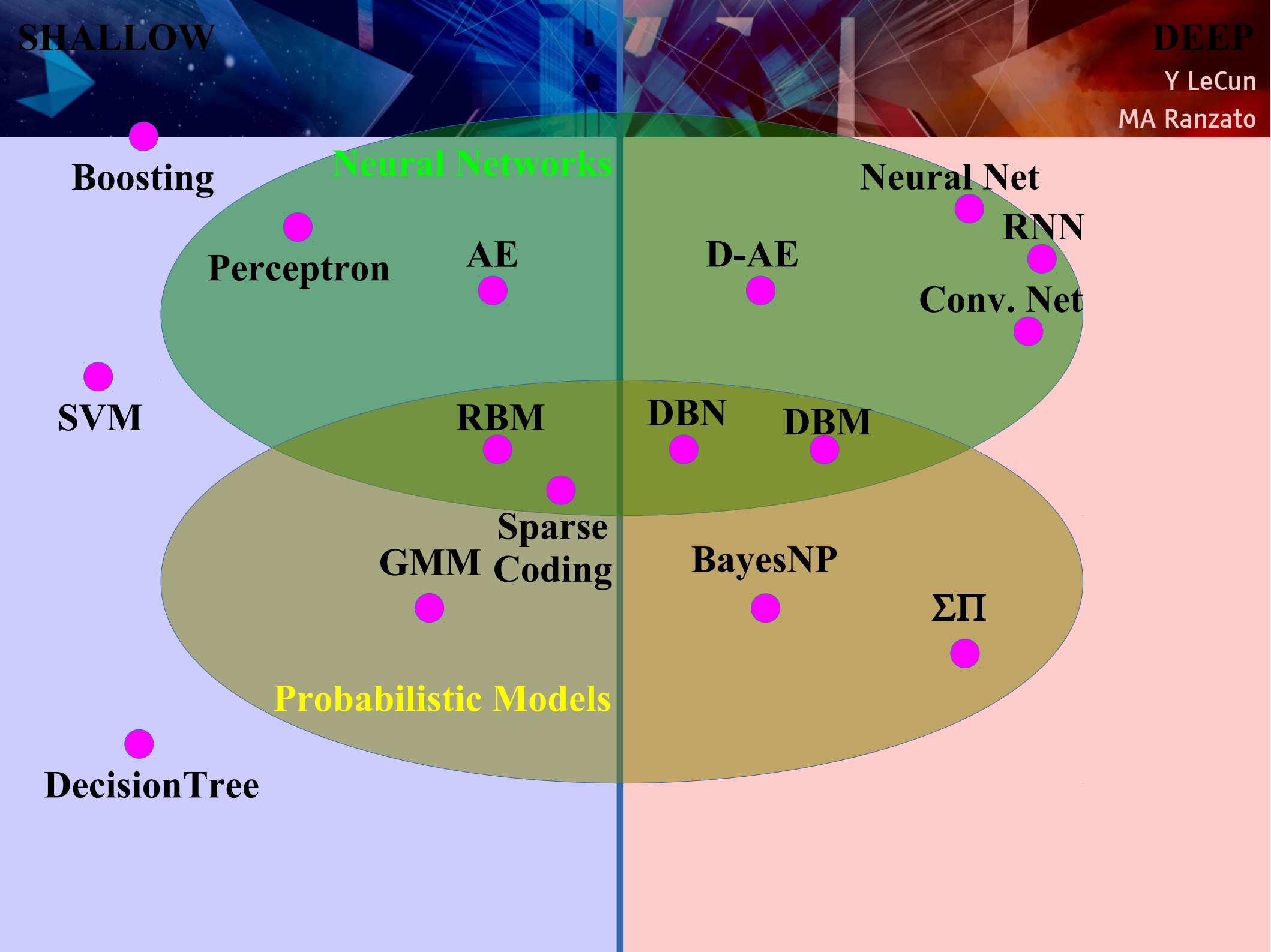
Sparse GMM Coding

BayesNP

$\Sigma\Pi$

Probabilistic Models

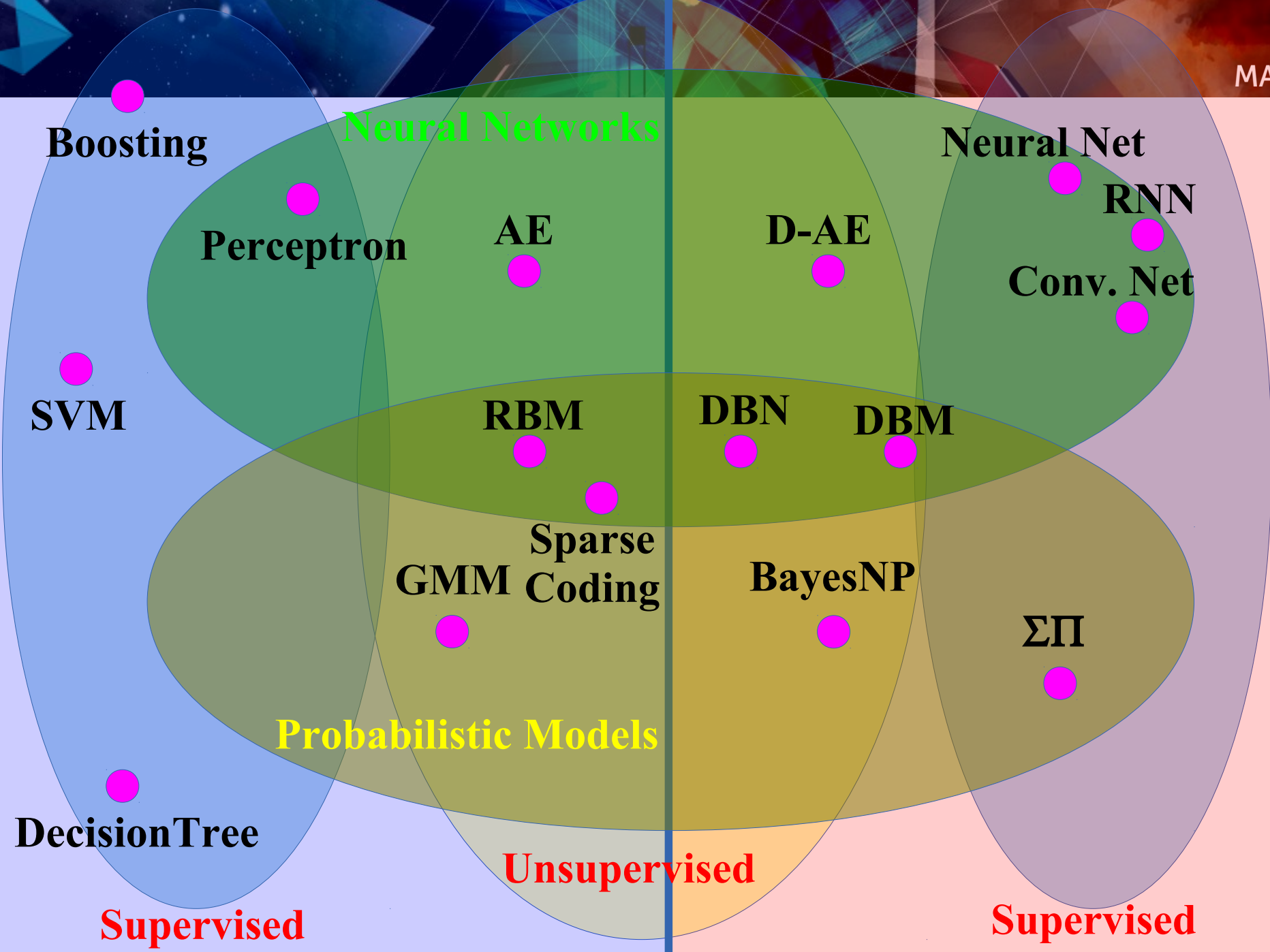
Decision Tree



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Boosting

Neural Networks

Neural Net

Perceptron

AE

D-AE

RNN

Conv. Net

SVM

RBM

DBN

DBM

Sparse GMM Coding

BayesNP

$\Sigma\Pi$

Probabilistic Models

Unsupervised

Decision Tree

Supervised

Supervised

SHALLOW

DEEP

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Boosting

Perceptron

AE

SVM

RBM

DBN

DBM

GMM

Sparse Coding

BayesNP

$\Sigma\Pi$

DecisionTree

Neural Net

RNN

Conv. Net

In this talk, we'll focus on the simplest and typically most effective methods.



What Are Good Feature?

Discovering the Hidden Structure in High-Dimensional Data

The manifold hypothesis

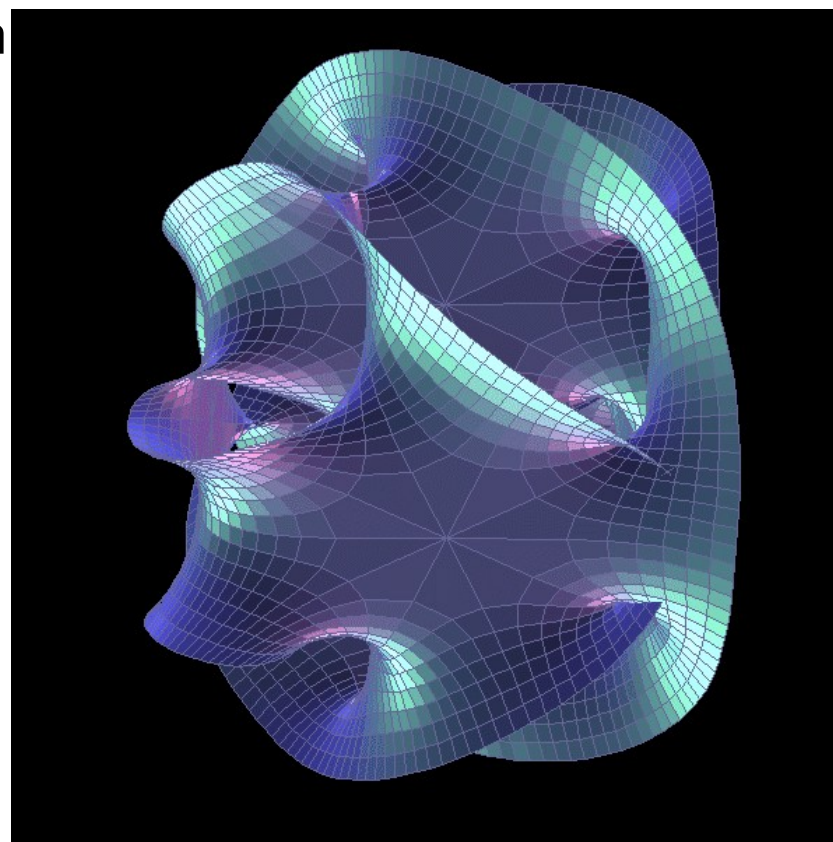
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■ Learning Representations of Data:

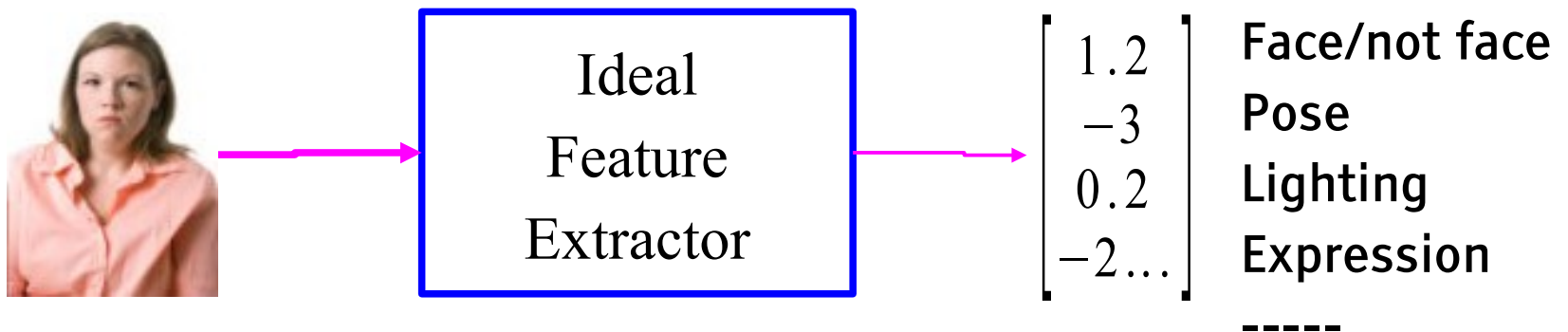
- ▶ **Discovering & disentangling the independent explanatory factors**

■ The Manifold Hypothesis:

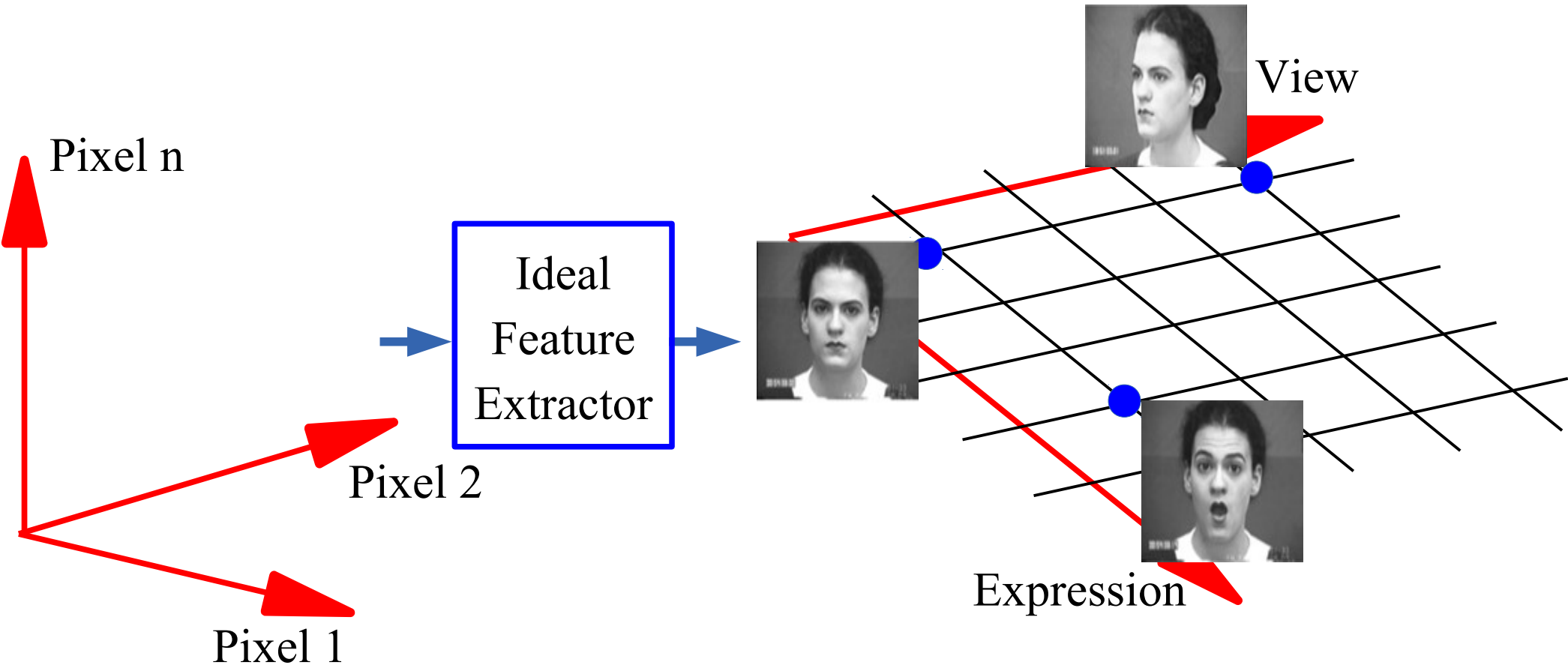
- ▶ Natural data lives in a low-dimensional (non-linear) manifold
- ▶ Because variables in natural data



- **Example: all face images of a person**
 - ▶ 1000x1000 pixels = 1,000,000 dimensions
 - ▶ But the face has 3 cartesian coordinates and 3 Euler angles
 - ▶ And humans have less than about 50 muscles in the face
 - ▶ Hence the manifold of face images for a person has <56 dimensions
- **The perfect representations of a face image:**
 - ▶ Its coordinates on the face manifold
 - ▶ Its coordinates away from the manifold
- **We do not have good and general methods to learn functions that turns an image into this kind of representation**



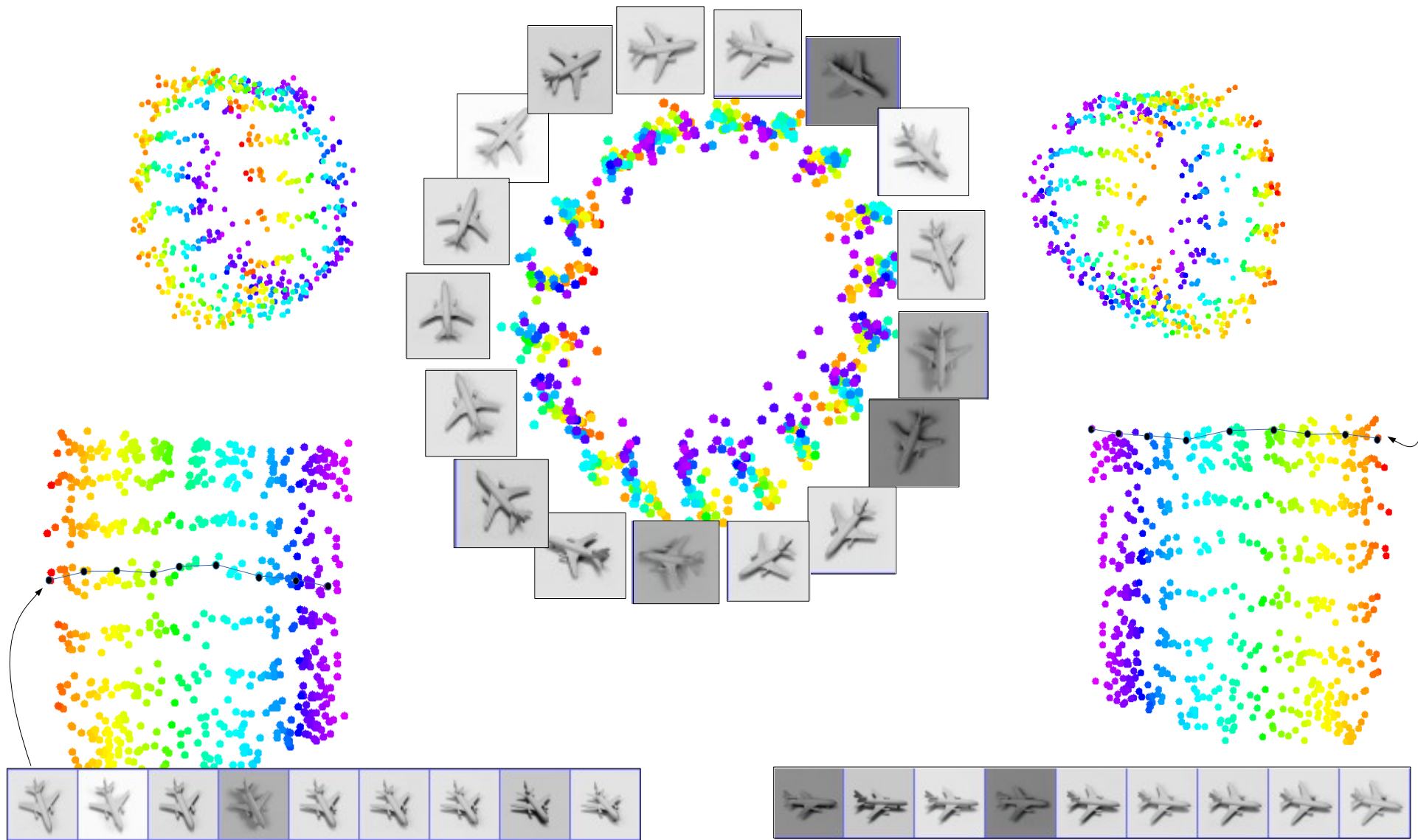
The Ideal Disentangling Feature Extractor



Data Manifold & Invariance: Some variations must be eliminated

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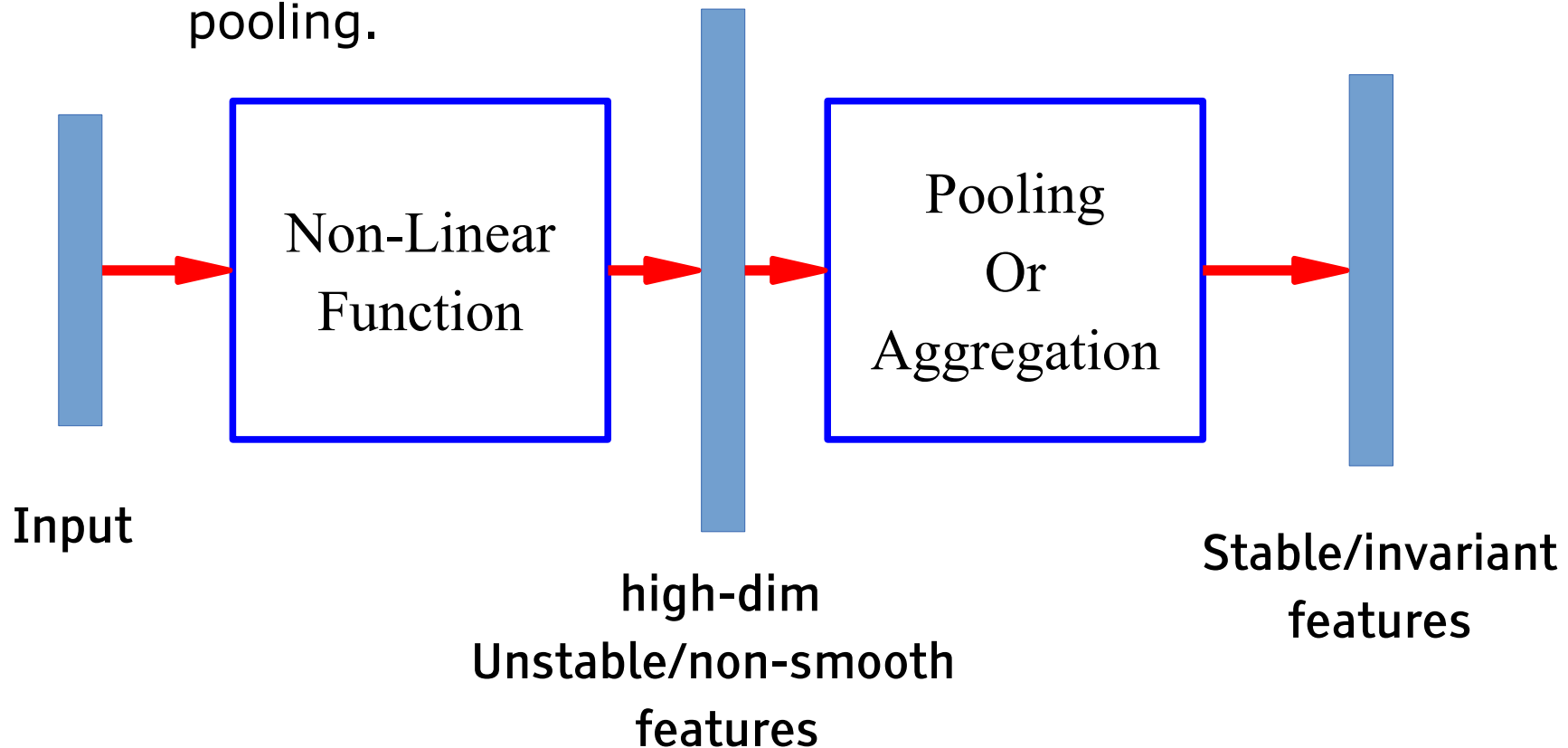
Azimuth-Elevation manifold. Ignores lighting. [Hadsell et al. CVPR 2006]



Basic Idea for Invariant Feature Learning

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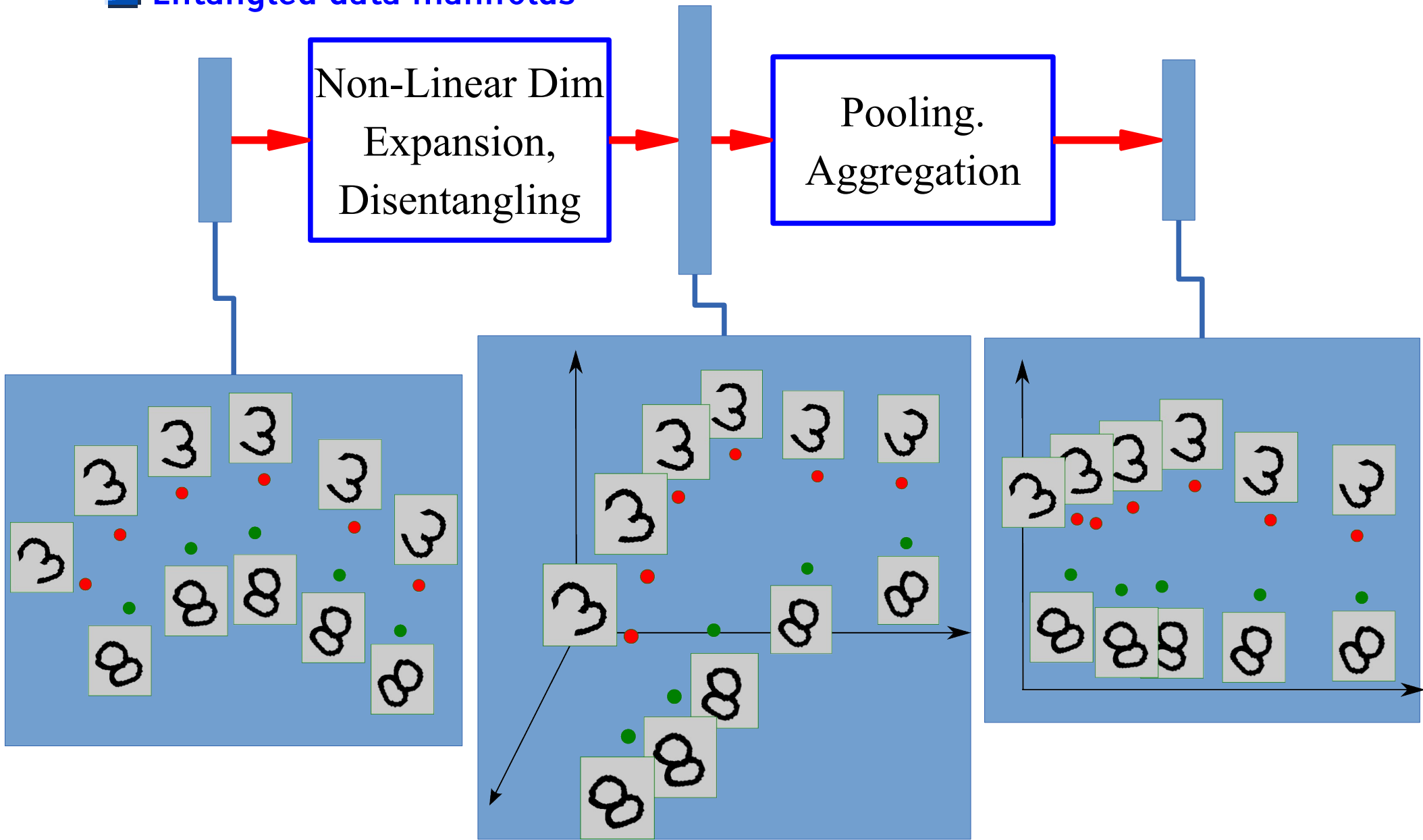
- Embed the input **non-linearly** into a high(er) dimensional space
 - ▶ In the new space, things that were non separable may become separable
- Pool regions of the new space together
 - ▶ Bringing together things that are semantically similar. Like pooling.



Non-Linear Expansion → Pooling

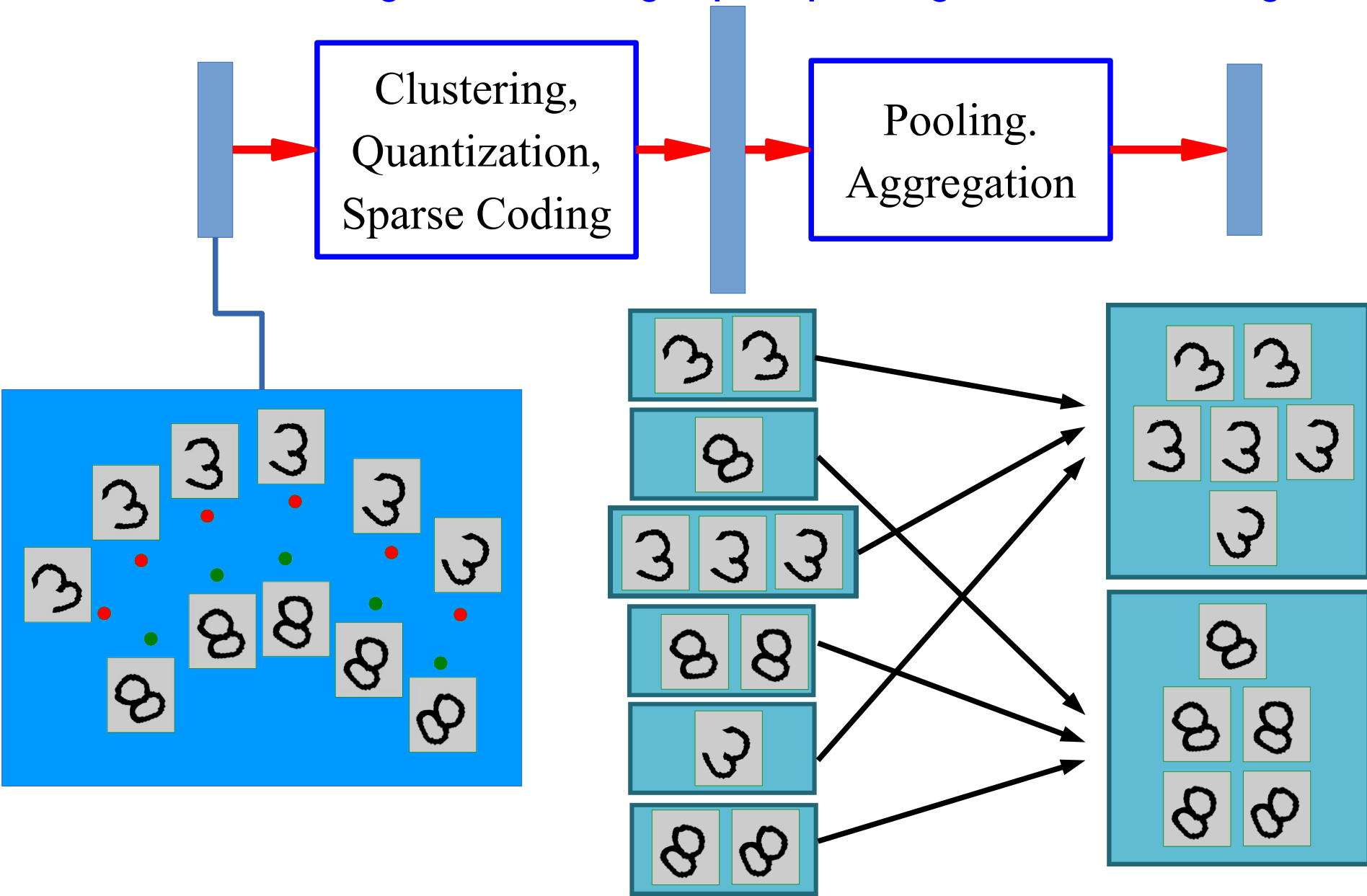
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Entangled data manifolds



Sparse Non-Linear Expansion → Pooling

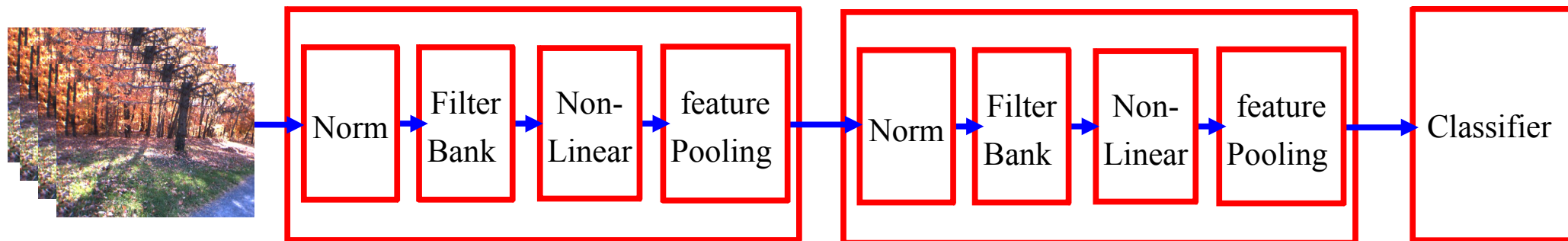
Use clustering to break things apart, pool together similar things



Overall Architecture:

Normalization → Filter Bank → Non-Linearity → Pooling

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Stacking multiple stages of

- ▶ [Normalization → Filter Bank → Non-Linearity → Pooling].

Normalization: variations on whitening

- ▶ Subtractive: average removal, high pass filtering
- ▶ Divisive: local contrast normalization, variance normalization

Filter Bank: dimension expansion, projection on overcomplete basis

Non-Linearity: sparsification, saturation, lateral inhibition....

- ▶ Rectification (ReLU), Component-wise shrinkage, tanh, winner-takes-all

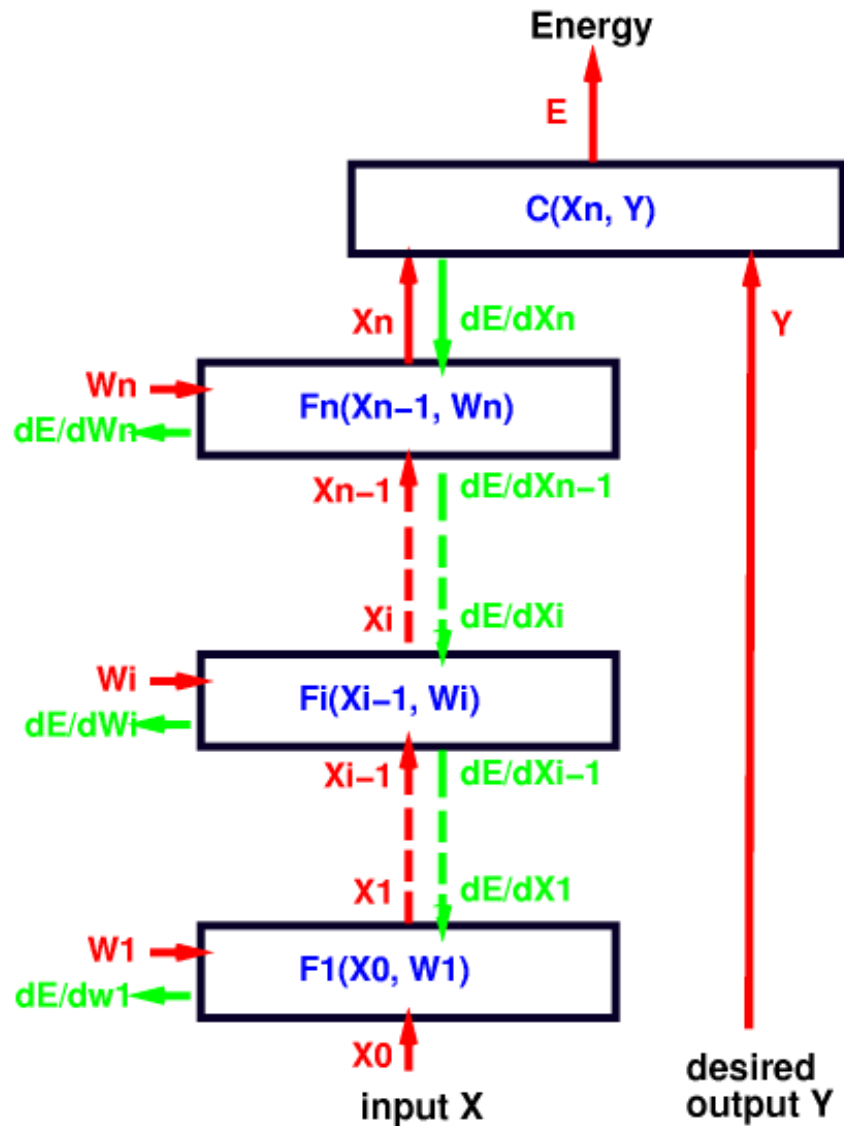
Pooling: aggregation over space or feature type

- ▶ X_i ; $L_p: \sqrt[p]{X_i^p}$; $PROB: \frac{1}{b} \log \left(\sum_i e^{bX_i} \right)$



Deep Supervised Learning (modular approach)

Multimodule Systems: Cascade

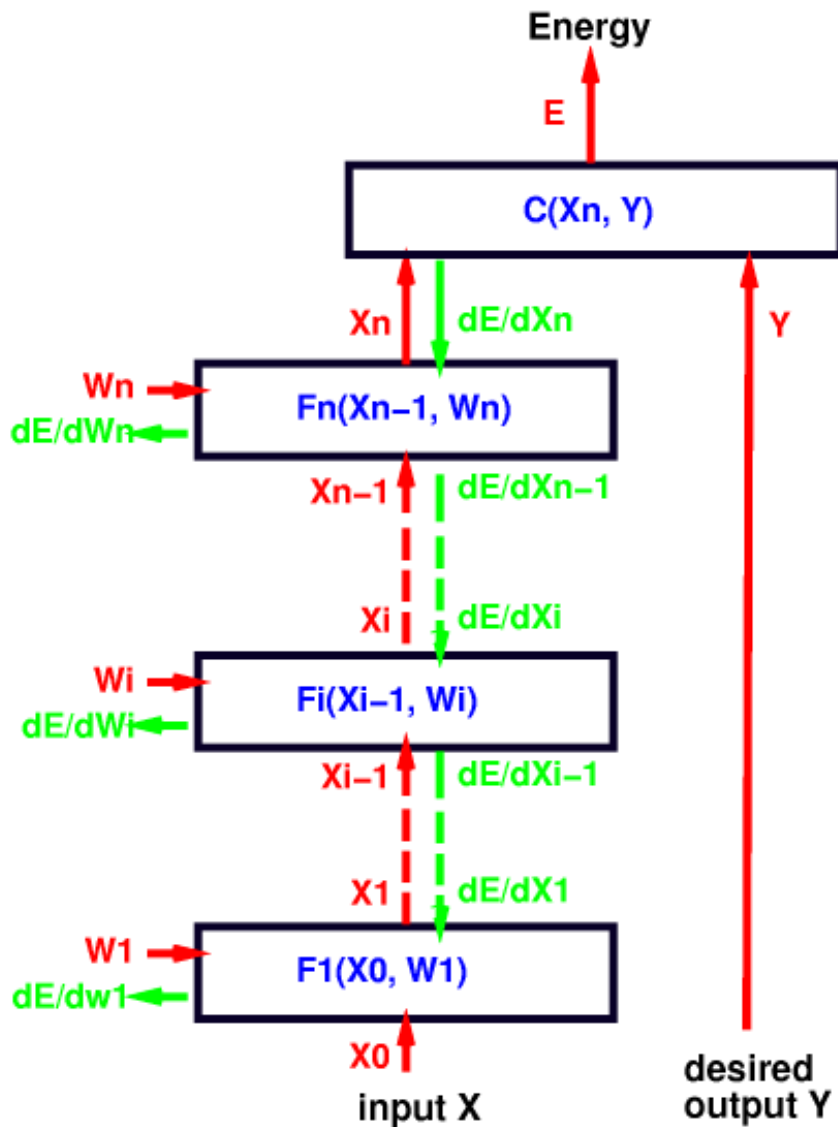


- Complex learning machines can be built by assembling modules into networks
- Simple example: sequential/layered feed-forward architecture (cascade)
- Forward Propagation:

$$\text{let } X = X_0,$$

$$X_i = F_i(X_{i-1}, W_i) \quad \forall i \in [1, n]$$

$$E(Y, X, W) = C(X_n, Y)$$



Each module is an object

- ▶ Contains trainable parameters
- ▶ Inputs are arguments
- ▶ Output is returned, but also stored internally
- ▶ Example: 2 modules m_1, m_2

Torch7 (by hand)

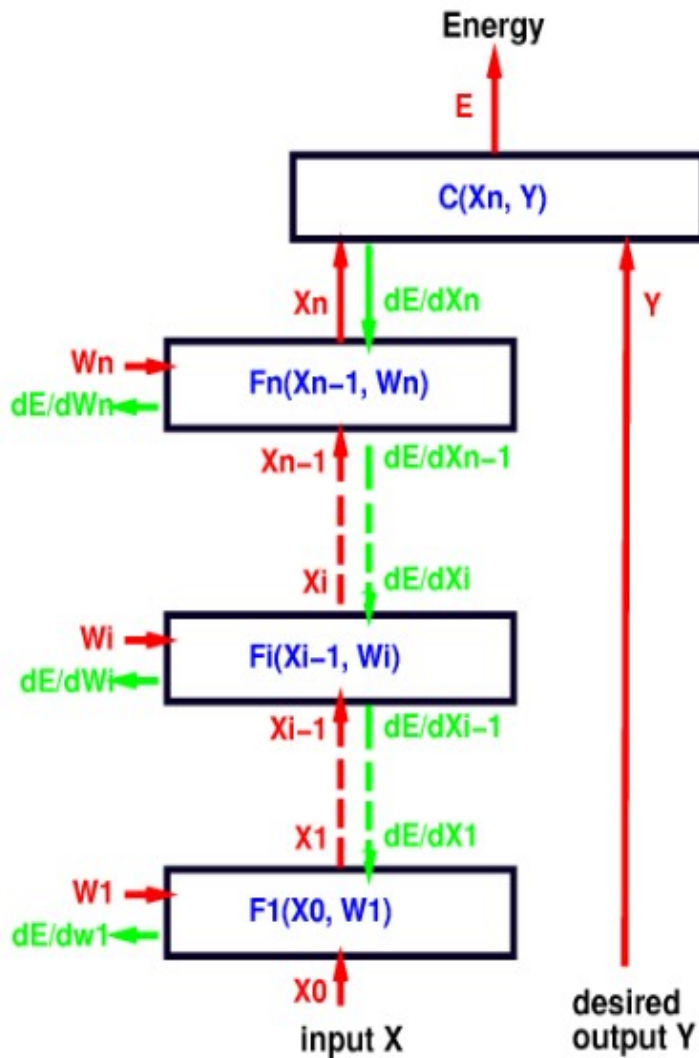
- ▶ `hid = m1:forward(in)`
- ▶ `out = m2:forward(hid)`

Torch7 (using the `nn.Sequential` class)

- ▶ `model = nn.Sequential()`
- ▶ `model:add(m1)`
- ▶ `model:add(m2)`
- ▶ `out = model:forward(in)`

Computing the Gradient in Multi-Layer Systems

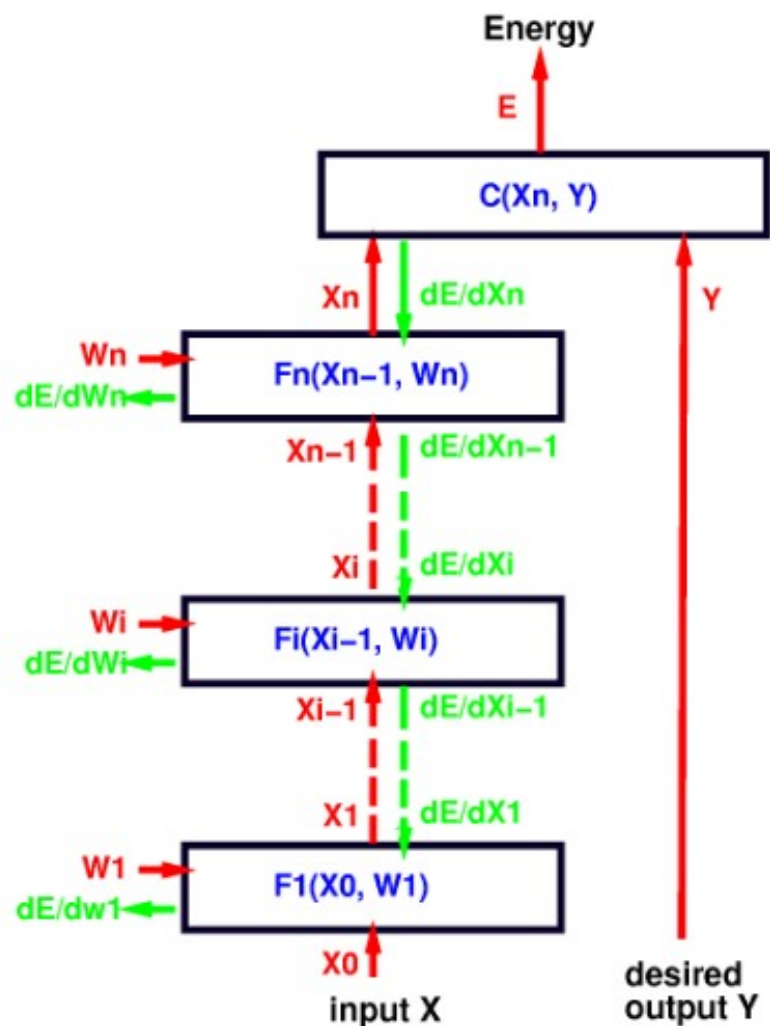
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- To train a multi-module system, we must compute the gradient of E with respect to all the parameters in the system (all the W_i).
- Let's consider module i whose forward method computes $X_i = F_i(X_{i-1}, W_i)$.
- Let's assume that we already know $\frac{\partial E}{\partial X_i}$, in other words, for each component of vector X_i we know how much E would wiggle if we wiggled that component of X_i .

Computing the Gradient in Multi-Layer Systems

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- We can apply chain rule to compute $\frac{\partial E}{\partial W_i}$ (how much E would wiggle if we wiggled each component of W_i):

$$\frac{\partial E}{\partial W_i} = \frac{\partial E}{\partial X_i} \frac{\partial F_i(X_{i-1}, W_i)}{\partial W_i}$$

$$[1 \times N_w] = [1 \times N_x] \cdot [N_x \times N_w]$$

- $\frac{\partial F_i(X_{i-1}, W_i)}{\partial W_i}$ is the *Jacobian matrix* of F_i with respect to W_i .

$$\left[\frac{\partial F_i(X_{i-1}, W_i)}{\partial W_i} \right]_{kl} = \frac{\partial [F_i(X_{i-1}, W_i)]_k}{\partial [W_i]_l}$$

- Element (k, l) of the Jacobian indicates how much the k -th output wiggles when we wiggle the l -th weight.

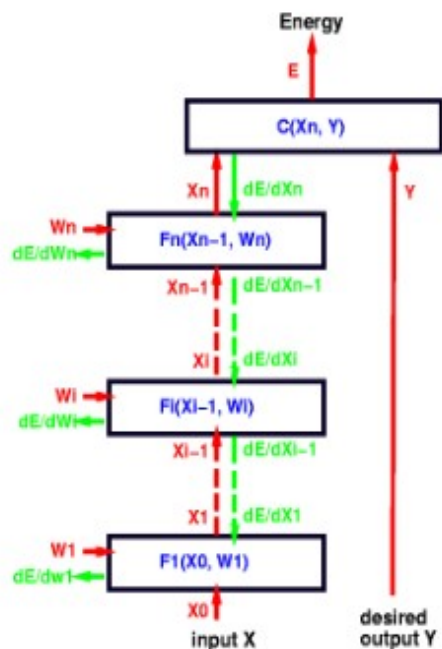
Computing the Gradient in Multi-Layer Systems

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Using the same trick, we can compute $\frac{\partial E}{\partial X_{i-1}}$. Let's assume again that we already know $\frac{\partial E}{\partial X_i}$, in other words, for each component of vector X_i we know how much E would wiggle if we wiggled that component of X_i .

- We can apply chain rule to compute $\frac{\partial E}{\partial X_{i-1}}$ (how much E would wiggle if we wiggled each component of X_{i-1}):

$$\frac{\partial E}{\partial X_{i-1}} = \frac{\partial E}{\partial X_i} \frac{\partial F_i(X_{i-1}, W_i)}{\partial X_{i-1}}$$



- $\frac{\partial F_i(X_{i-1}, W_i)}{\partial X_{i-1}}$ is the *Jacobian matrix* of F_i with respect to X_{i-1} .
- F_i has two Jacobian matrices, because it has two arguments.
- Element (k, l) of this Jacobian indicates how much the k -th output wiggles when we wiggle the l -th input.
- **The equation above is a recurrence equation!**

- derivatives with respect to a column vector are line vectors (dimensions:
 $[1 \times N_{i-1}] = [1 \times N_i] * [N_i \times N_{i-1}]$)

$$\frac{\partial E}{\partial X_{i-1}} = \frac{\partial E}{\partial X_i} \frac{\partial F_i(X_{i-1}, W_i)}{\partial X_{i-1}}$$

- (dimensions: $[1 \times N_{wi}] = [1 \times N_i] * [N_i \times N_{wi}]$):

$$\frac{\partial E}{\partial W_i} = \frac{\partial E}{\partial X_i} \frac{\partial F_i(X_{i-1}, W_i)}{\partial W_i}$$

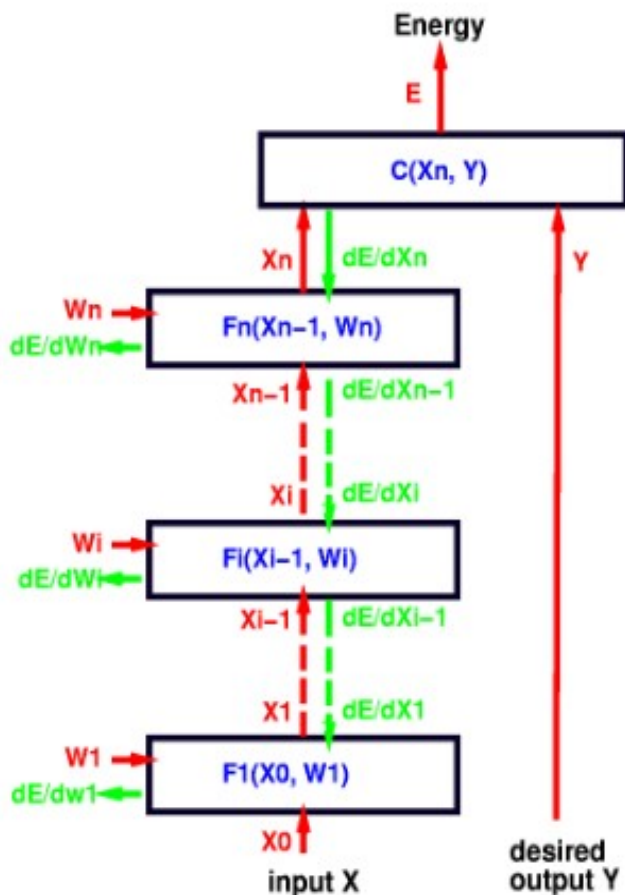
- we may prefer to write those equation with column vectors:

$$\frac{\partial E}{\partial X_{i-1}}' = \frac{\partial F_i(X_{i-1}, W_i)' \partial E}{\partial X_{i-1} \partial X_i}'$$

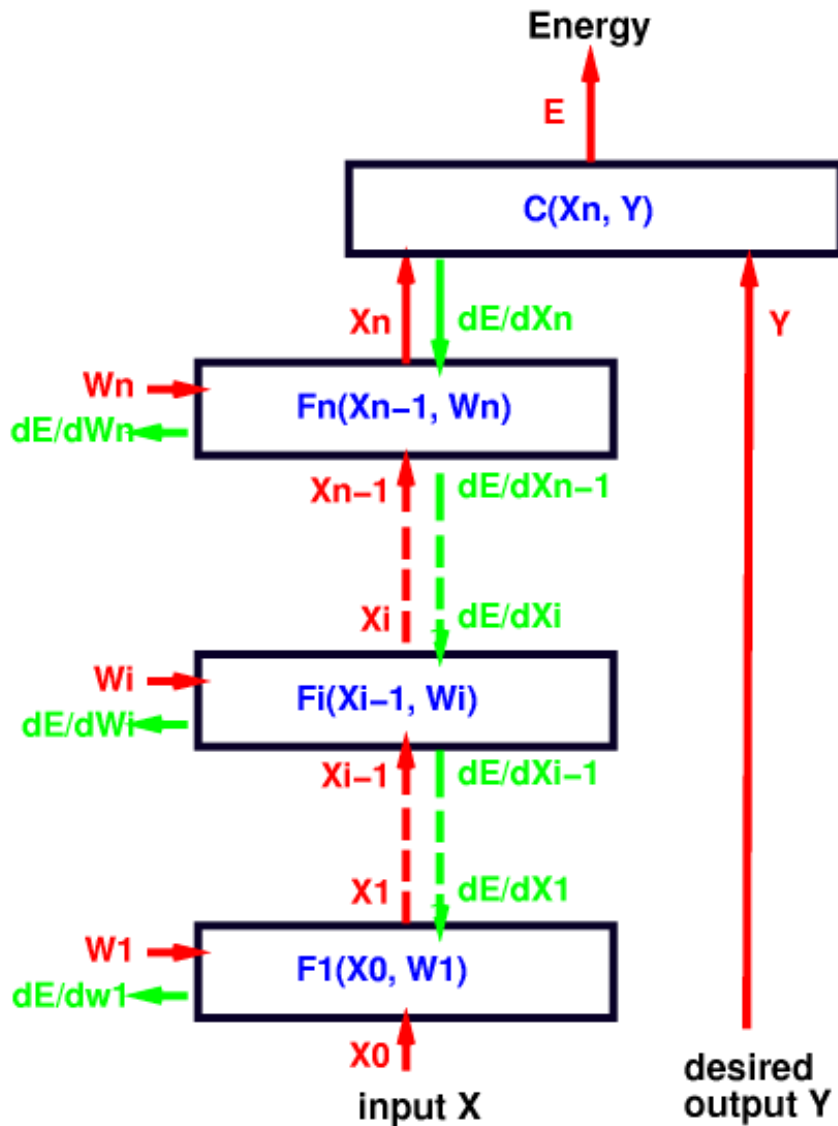
$$\frac{\partial E}{\partial W_i}' = \frac{\partial F_i(X_{i-1}, W_i)' \partial E}{\partial W_i \partial X_i}'$$

Back Propagation

To compute all the derivatives, we use a backward sweep called the **back-propagation algorithm** that uses the recurrence equation for $\frac{\partial E}{\partial X_i}$



- $\frac{\partial E}{\partial X_n} = \frac{\partial C(X_n, Y)}{\partial X_n}$
- $\frac{\partial E}{\partial X_{n-1}} = \frac{\partial E}{\partial X_n} \frac{\partial F_n(X_{n-1}, W_n)}{\partial X_{n-1}}$
- $\frac{\partial E}{\partial W_n} = \frac{\partial E}{\partial X_n} \frac{\partial F_n(X_{n-1}, W_n)}{\partial W_n}$
- $\frac{\partial E}{\partial X_{n-2}} = \frac{\partial E}{\partial X_{n-1}} \frac{\partial F_{n-1}(X_{n-2}, W_{n-1})}{\partial X_{n-2}}$
- $\frac{\partial E}{\partial W_{n-1}} = \frac{\partial E}{\partial X_{n-1}} \frac{\partial F_{n-1}(X_{n-2}, W_{n-1})}{\partial W_{n-1}}$
- ...etc, until we reach the first module.
- we now have all the $\frac{\partial E}{\partial W_i}$ for $i \in [1, n]$.



Backpropagation through a module

- ▶ Contains trainable parameters
- ▶ Inputs are arguments
- ▶ Gradient with respect to input is returned.
- ▶ Arguments are input and gradient with respect to output

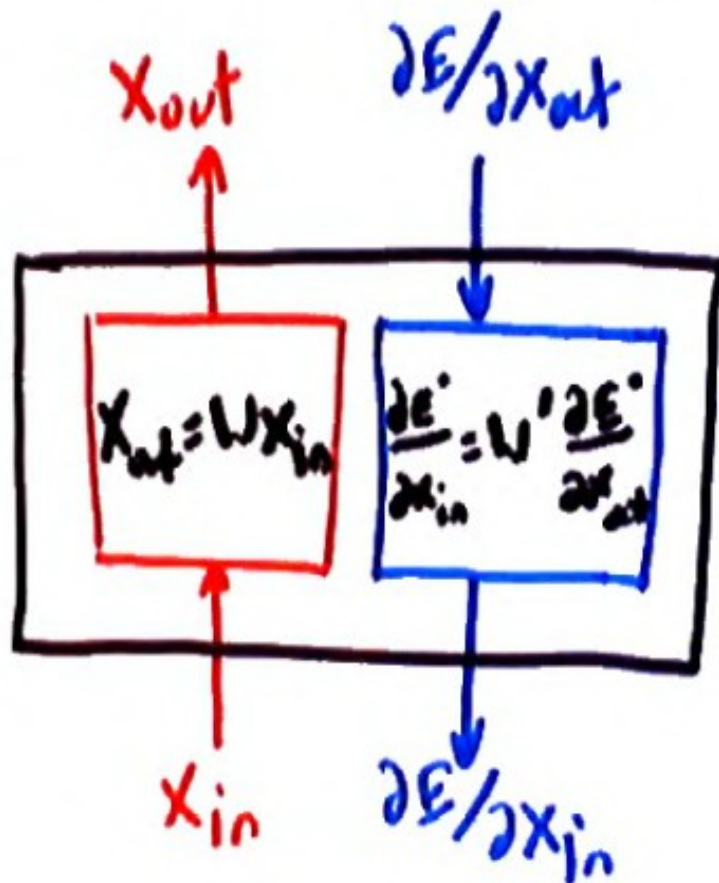
Torch7 (by hand)

- ▶ `hidg = m2.backward(hid, outg)`
- ▶ `ing = m1.backward(in, hidg)`

Torch7 (using the nn.Sequential class)

- ▶ `ing = model.backward(in, outg)`

The input vector is multiplied by the weight matrix.



- fprop: $X_{out} = W X_{in}$
- bprop to input:

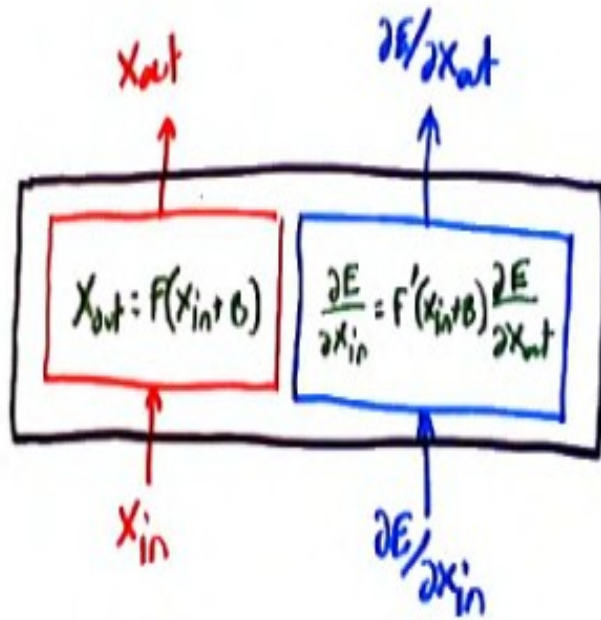
$$\frac{\partial E}{\partial X_{in}} = \frac{\partial E}{\partial X_{out}} \frac{\partial X_{out}}{\partial X_{in}} = \frac{\partial E}{\partial X_{out}} W$$
- by transposing, we get column vectors:

$$\frac{\partial E}{\partial X_{in}}' = W' \frac{\partial E}{\partial X_{out}}'$$
- bprop to weights:

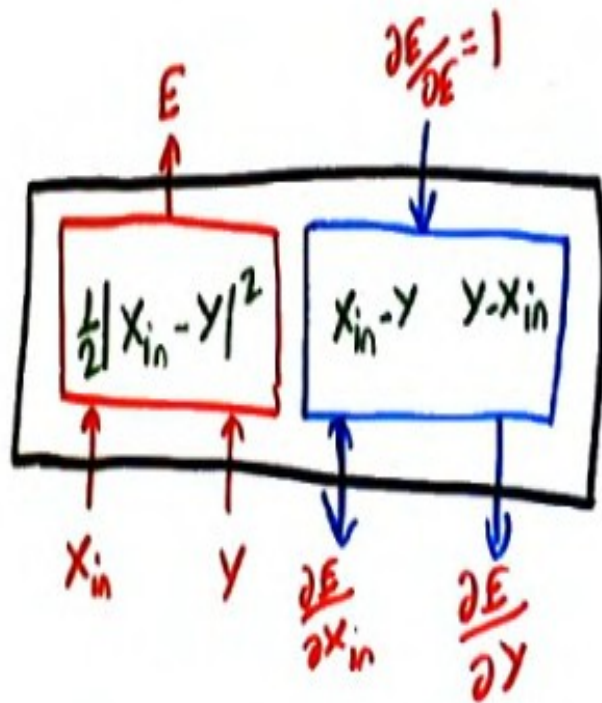
$$\frac{\partial E}{\partial W_{ij}} = \frac{\partial E}{\partial X_{out i}} \frac{\partial X_{out i}}{\partial W_{ij}} = X_{in j} \frac{\partial E}{\partial X_{out i}}$$
- We can write this as an outer-product:

$$\frac{\partial E}{\partial W} = \frac{\partial E}{\partial X_{out}}' X_{in}'$$

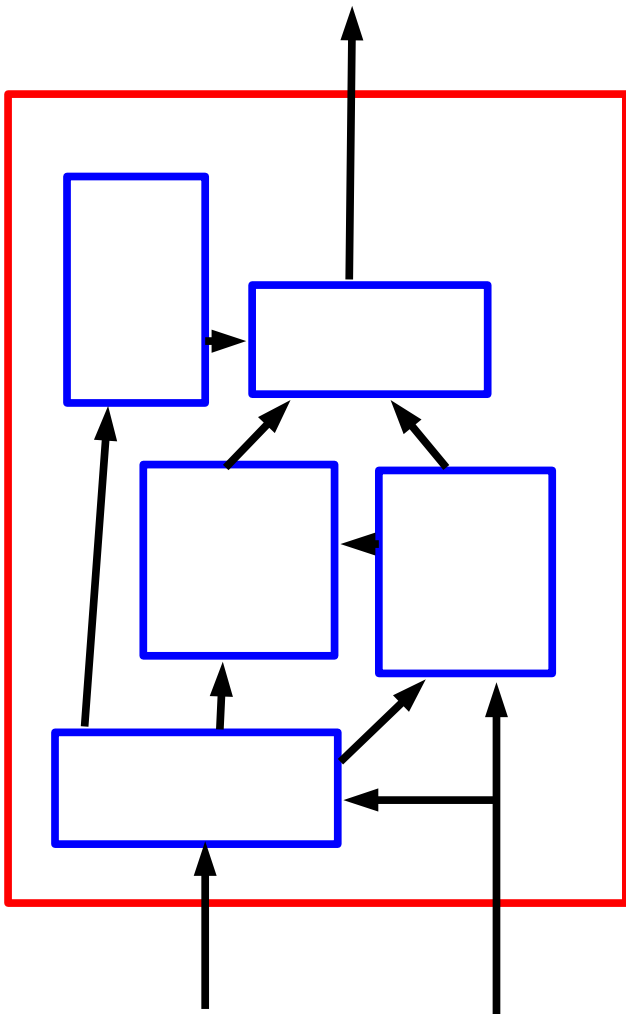
Tanh module (or any other pointwise function)



- fprop: $(X_{out})_i = \tanh((X_{in})_i + B_i)$
- bprop to input:
$$\left(\frac{\partial E}{\partial X_{in}}\right)_i = \left(\frac{\partial E}{\partial X_{out}}\right)_i \tanh'((X_{in})_i + B_i)$$
- bprop to bias:
$$\frac{\partial E}{\partial B_i} = \left(\frac{\partial E}{\partial X_{out}}\right)_i \tanh'((X_{in})_i + B_i)$$
- $\tanh(x) = \frac{2}{1+\exp(-x)} - 1 = \frac{1-\exp(-x)}{1+\exp(-x)}$



- fprop: $X_{out} = \frac{1}{2} \|X_{in} - Y\|^2$
- bprop to X input: $\frac{\partial E}{\partial X_{in}} = X_{in} - Y$
- bprop to Y input: $\frac{\partial E}{\partial Y} = Y - X_{in}$



Any connection is permissible

- ▶ Networks with loops must be “unfolded in time”.

Any module is permissible

- ▶ As long as it is continuous and differentiable almost everywhere with respect to the parameters, and with respect to non-terminal inputs.

Torch7 is based on the Lua language

- ▶ Simple and lightweight scripting language, dominant in the game industry
- ▶ Has a native just-in-time compiler (fast!)
- ▶ Has a simple foreign function interface to call C/C++ functions from Lua

Torch7 is an extension of Lua with

- ▶ A multidimensional array engine with CUDA and OpenMP backends
- ▶ A machine learning library that implements multilayer nets, convolutional nets, unsupervised pre-training, etc
- ▶ Various libraries for data/image manipulation and computer vision
- ▶ A quickly growing community of users

Single-line installation on Ubuntu and Mac OSX:

- ▶ `curl -s https://raw.githubusercontent.com/clementfarabet/torchinstall/master/install | bash`

Torch7 Machine Learning Tutorial (neural net, convnet, sparse auto-encoder):

- ▶ <http://code.cogbits.com/wiki/doku.php>

Example: building a Neural Net in Torch7

Y LeCun
MA Ranzato

- Net for SVHN digit recognition
- 10 categories
- Input is 32x32 RGB (3 channels)
- 1500 hidden units
- Creating a 2-layer net
- Make a cascade module
- Reshape input to vector
- Add Linear module
- Add tanh module
- Add Linear Module
- Add log softmax layer
- Create loss function module

```
Noutputs = 10;
nfeats = 3; Width = 32; height = 32
ninputs = nfeats*width*height
nhiddens = 1500

-- Simple 2-layer neural network
model = nn.Sequential()
model:add(nn.Reshape(ninputs))
model:add(nn.Linear(ninputs,nhiddens))
model:add(nn.Tanh())
model:add(nn.Linear(nhiddens,noutputs))
model:add(nn.LogSoftMax())

criterion = nn.ClassNLLCriterion()
```

See Torch7 example at <http://bit.ly/16tyLAX>

Example: Training a Neural Net in Torch7

Y LeCun
MA Ranzato

```
for t = 1,trainData:size(),batchSize do
  inputs,outputs = getNextBatch()
  local feval = function(x)
    parameters:copy(x)
    gradParameters:zero()
    local f = 0
    for i = 1,#inputs do
      local output = model:forward(inputs[i])
      local err = criterion:forward(output,targets[i])
      f = f + err
      local df_do = criterion:backward(output,targets[i])
      model:backward(inputs[i], df_do)
    end
    gradParameters:div(#inputs)
    f = f/#inputs
    return f,gradParameters
  end
  optim.sgd(feval,parameters,optimState)
end
```

one epoch over training set

Get next batch of samples

Create a "closure" feval(x) that takes the parameter vector as argument and returns the loss and its gradient on the batch.

Run model on batch

backprop

Normalize by size of batch

Return loss and gradient

call the stochastic gradient optimizer

Toy Code (Matlab): Neural Net Trainer

Y LeCun
MA Ranzato

```
% F-PROP
```

```
for i = 1 : nr_layers - 1
```

```
    [h{i} jac{i}] = nonlinearity(W{i} * h{i-1} + b{i});
```

```
end
```

```
h{nr_layers-1} = W{nr_layers-1} * h{nr_layers-2} + b{nr_layers-1};
```

```
prediction = softmax(h{l-1});
```

```
% CROSS ENTROPY LOSS
```

```
loss = - sum(sum(log(prediction) .* target)) / batch_size;
```

```
% B-PROP
```

```
dh{l-1} = prediction - target;
```

```
for i = nr_layers - 1 : -1 : 1
```

```
    Wgrad{i} = dh{i} * h{i-1}';
```

```
    bgrad{i} = sum(dh{i}, 2);
```

```
    dh{i-1} = (W{i}' * dh{i}) .* jac{i-1};
```

```
end
```

```
% UPDATE
```

```
for i = 1 : nr_layers - 1
```

```
    W{i} = W{i} - (lr / batch_size) * Wgrad{i};
```

```
    b{i} = b{i} - (lr / batch_size) * bgrad{i};
```

```
end
```

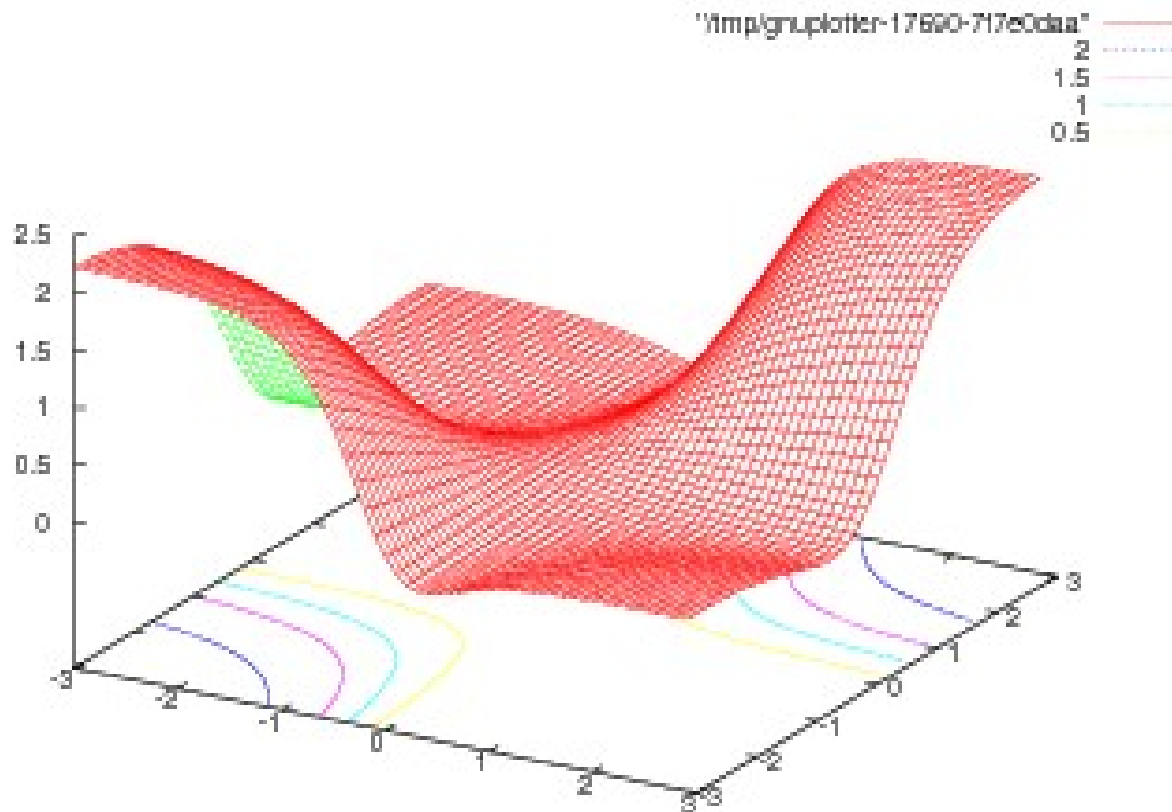
Deep Supervised Learning is Non-Convex

Y LeCun
MA Ranzato

Example: what is the loss function for the simplest 2-layer neural net ever

- Function: 1-1-1 neural net. Map 0.5 to 0.5 and -0.5 to -0.5 (identity function) with quadratic cost:

$$y = \tanh(W_1 \tanh(W_0 \cdot x)) \quad L = (0.5 - \tanh(W_1 \tanh(W_0 \cdot 0.5)))^2$$



- Use ReLU non-linearities (tanh and logistic are falling out of favor)
- Use cross-entropy loss for classification
- Use Stochastic Gradient Descent on minibatches
- Shuffle the training samples
- Normalize the input variables (zero mean, unit variance)
- Schedule to decrease the learning rate
- Use a bit of L1 or L2 regularization on the weights (or a combination)
 - ▶ But it's best to turn it on after a couple of epochs
- Use “dropout” for regularization
 - ▶ Hinton et al 2012 <http://arxiv.org/abs/1207.0580>
- Lots more in [LeCun et al. “Efficient Backprop” 1998]
- Lots, lots more in “Neural Networks, Tricks of the Trade” (2012 edition) edited by G. Montavon, G. B. Orr, and K-R Müller (Springer)



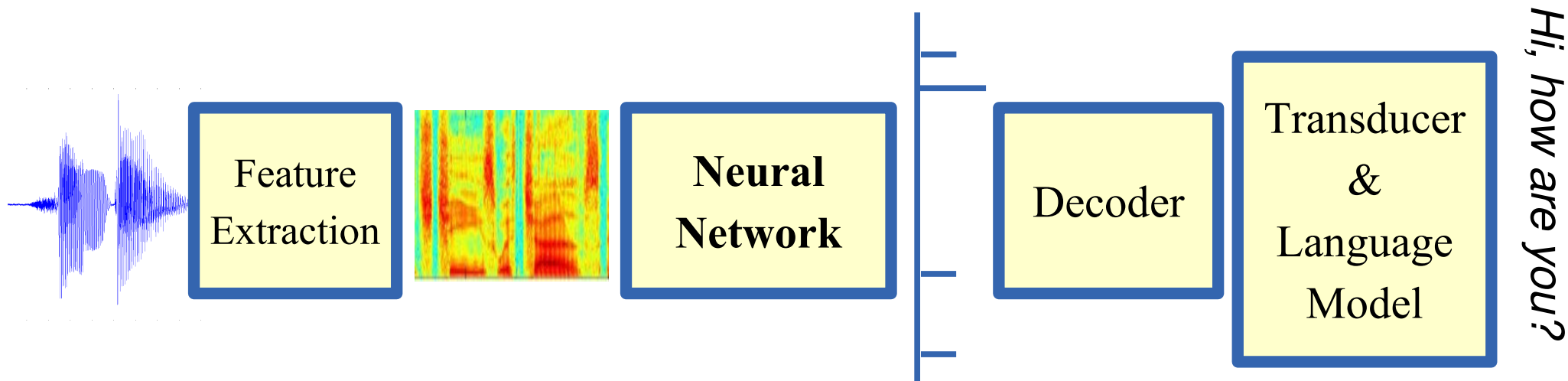
Deep Learning In Speech Recognition

Case study #1: Acoustic Modeling

Y LeCun

MA Ranzato

A typical speech recognition system:

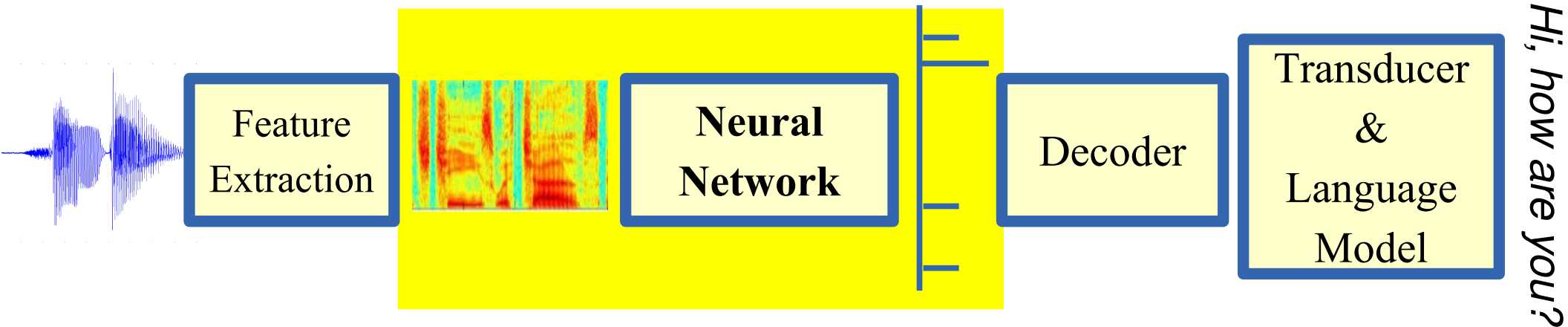


Case study #1: Acoustic Modeling

Y LeCun

MA Ranzato

A typical speech recognition system:



- Here, we focus only on the prediction of phone states from short time-windows of spectrogram.
- For simplicity, we will use a fully connected neural network (in practice, a **convolutional net** does better).

Mohamed et al. "DBNs for phone recognition" NIPS Workshop 2009

Zeiler et al. "On rectified linear units for speech recognition" ICASSP 2013

- US English: Voice Search, Voice Typing, Read data
- Billions of training samples
- Input: log-energy filter bank outputs
 - 40 frequency bands
 - 26 input frames
- Output: 8000 phone states

Architecture

Y LeCun
MA Ranzato

- From 1 to 12 hidden layers
- For simplicity, the same number of hidden units at each layer:
1040 \rightarrow 2560 \rightarrow 2560 \rightarrow ... \rightarrow 2560 \rightarrow 8000
- Non-linearities: / output = $\max(0, \text{input})$

Energy & Loss

Y LeCun
MA Ranzato

- Since it is a standard classification problem, the energy is:

$$E(\mathbf{x}, \mathbf{y}) = -\mathbf{y} f(\mathbf{x}) \quad \mathbf{y} \text{ 1-of-N vector}$$

- The loss is the negative log-likelihood:

$$L = E(\mathbf{x}, \mathbf{y}) + \log\left(\sum_{\bar{\mathbf{y}}} \exp(-E(\mathbf{x}, \bar{\mathbf{y}}))\right)$$

Optimization

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MA Ranzato

- SGD with schedule on learning rate

$$\theta_t \leftarrow \theta_{t-1} - \eta_t \frac{\partial L}{\partial \theta_{t-1}}$$

$$\eta_t = \frac{\eta}{\max\left(1, \frac{t}{T}\right)}$$

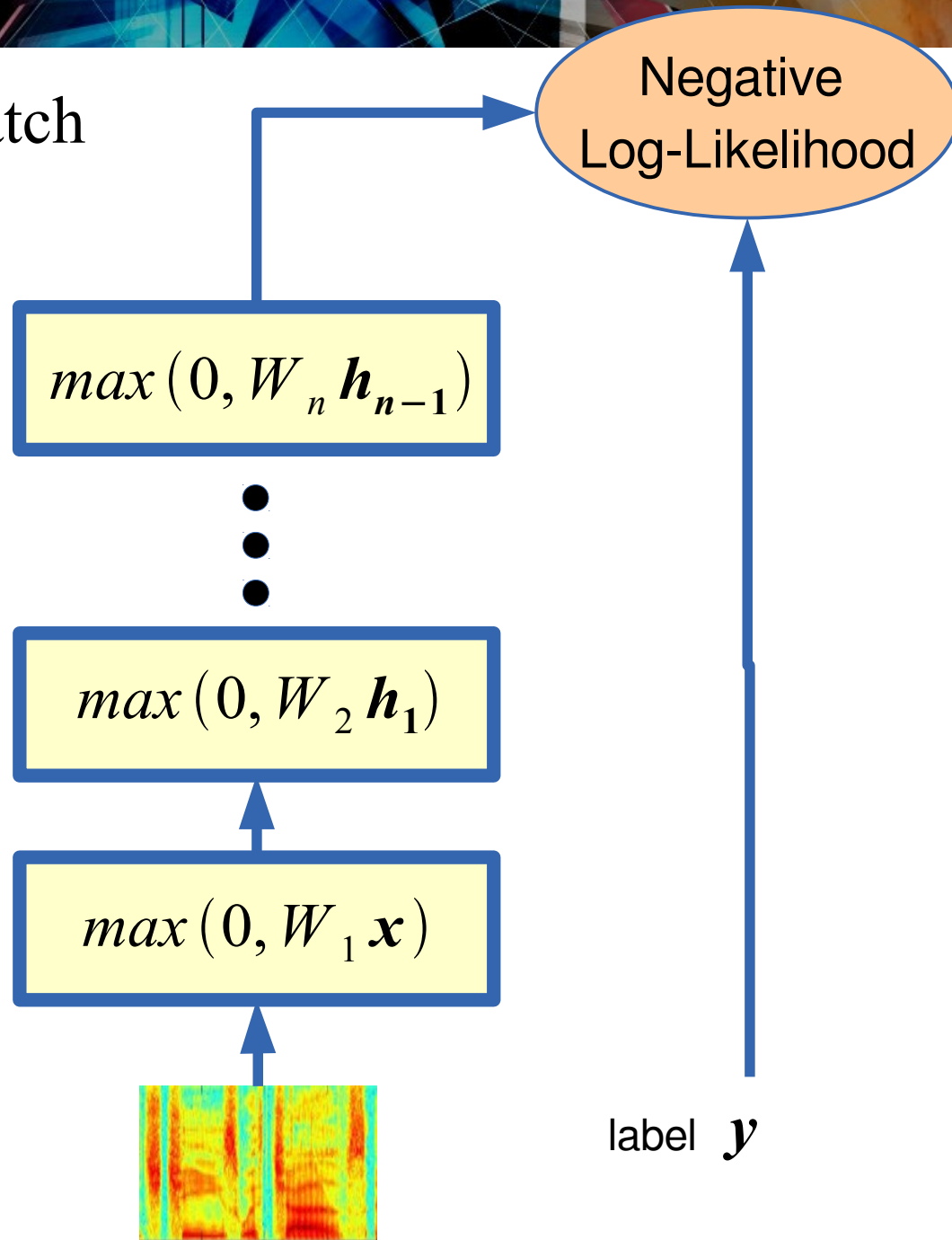
- Mini-batches of size 40
- Asynchronous SGD (using 100 copies of the network on a few hundred machines). This speeds up training at Google but it is not crucial.

Training

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MA Ranzato

- Given an input mini-batch

FPROP



Negative
Log-Likelihood

label \mathbf{y}

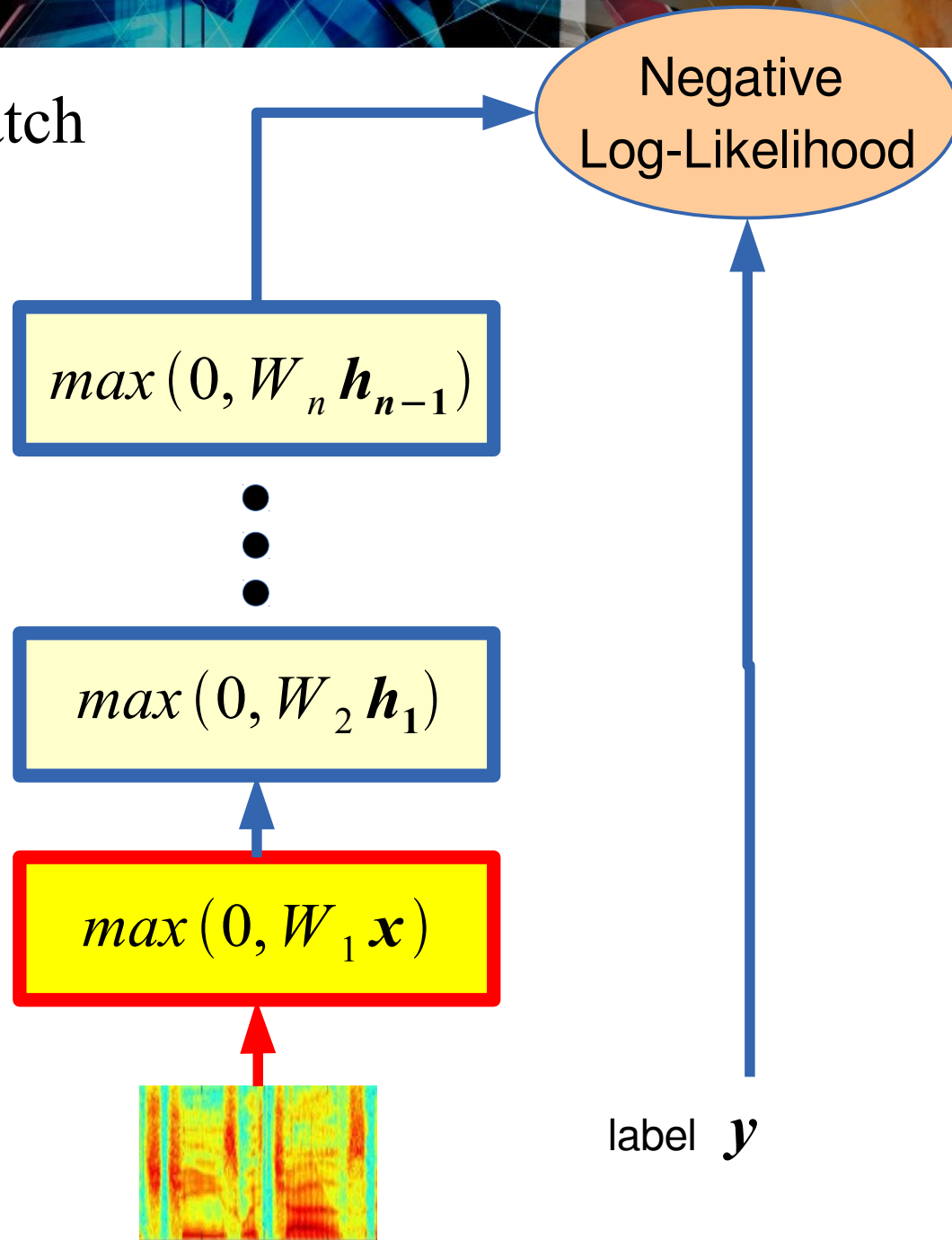
Training

Y LeCun
MA Ranzato

- Given an input mini-batch

FPROP

$$\mathbf{h}_2 = f(\mathbf{x}; W_1)$$



label \mathbf{y}

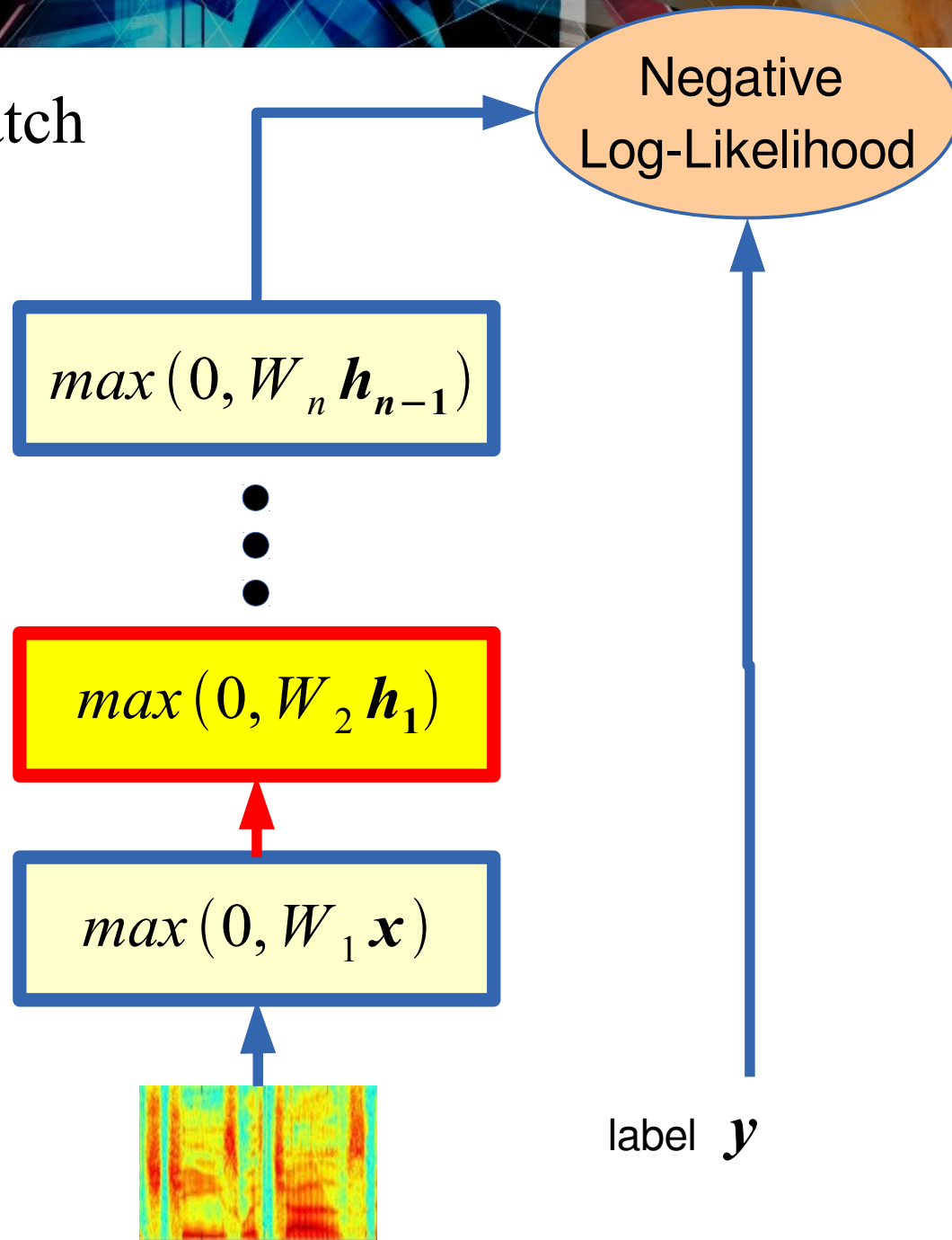
Training

Y LeCun
MA Ranzato

- Given an input mini-batch

FPROP

$$\mathbf{h}_2 = f(\mathbf{h}_1; W_2)$$



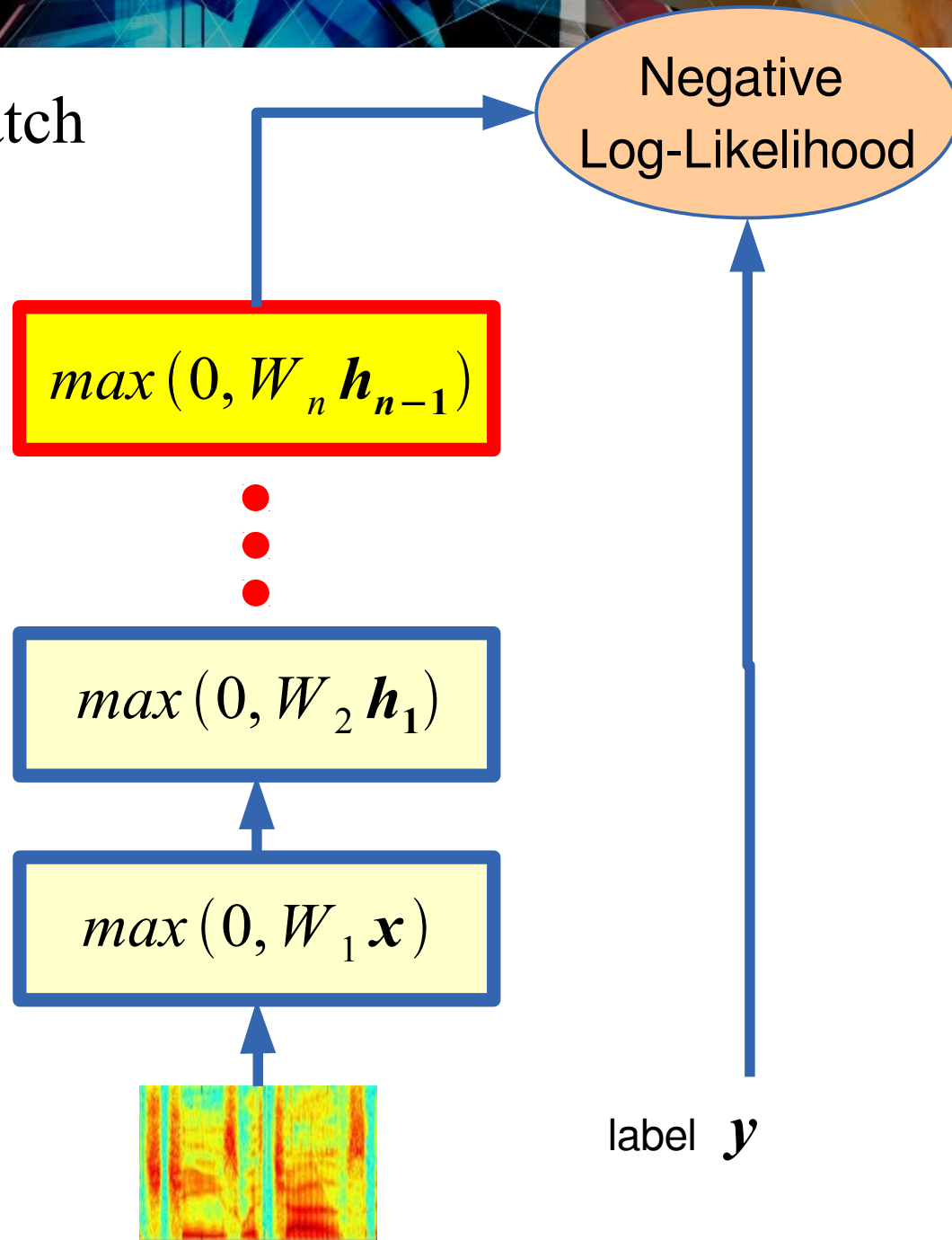
Training

Y LeCun
MA Ranzato

- Given an input mini-batch

FPROP

$$\mathbf{h}_n = f(\mathbf{h}_{n-1})$$



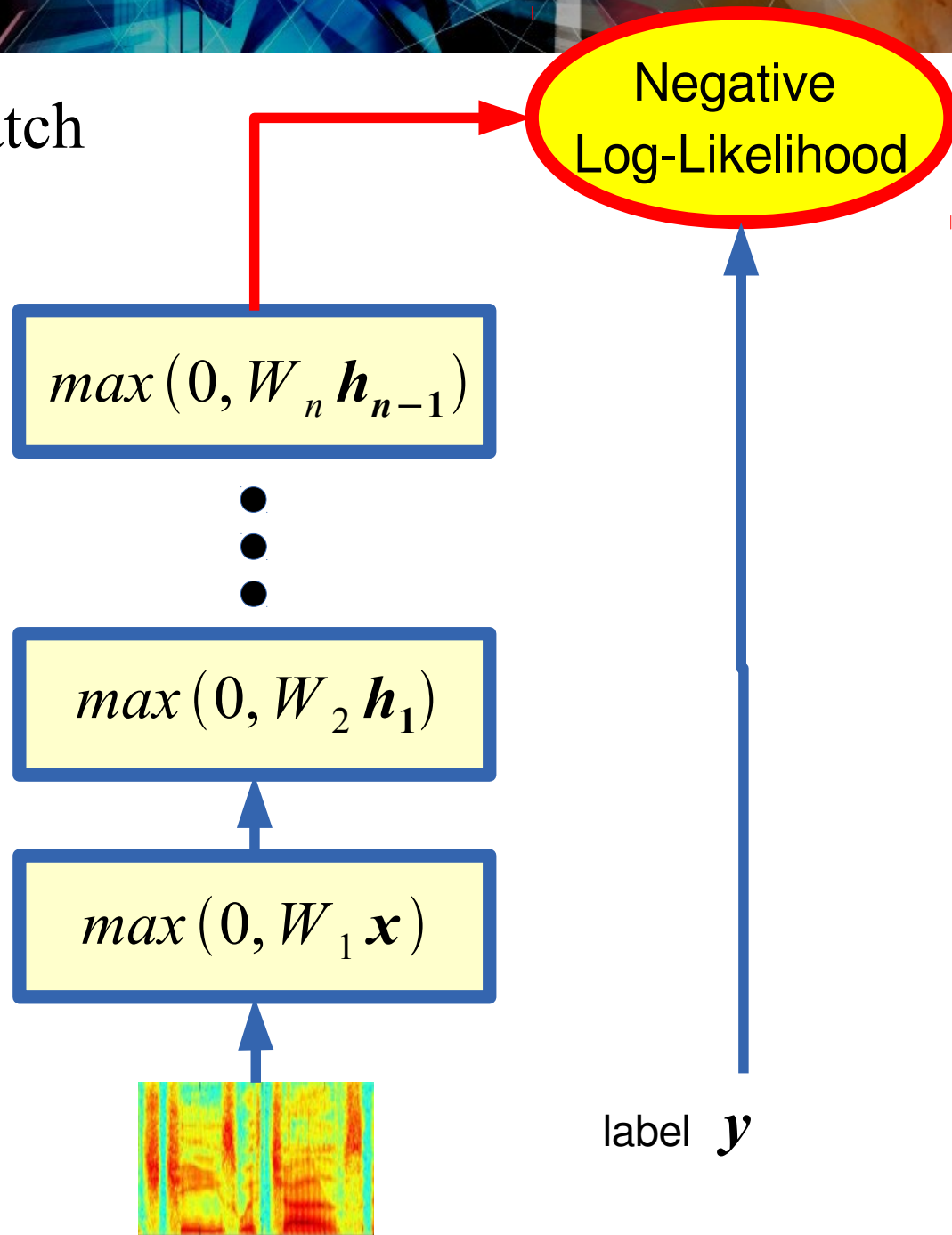
label \mathbf{y}

Training

Y LeCun
MA Ranzato

- Given an input mini-batch

FPROP



label \mathbf{y}

Training

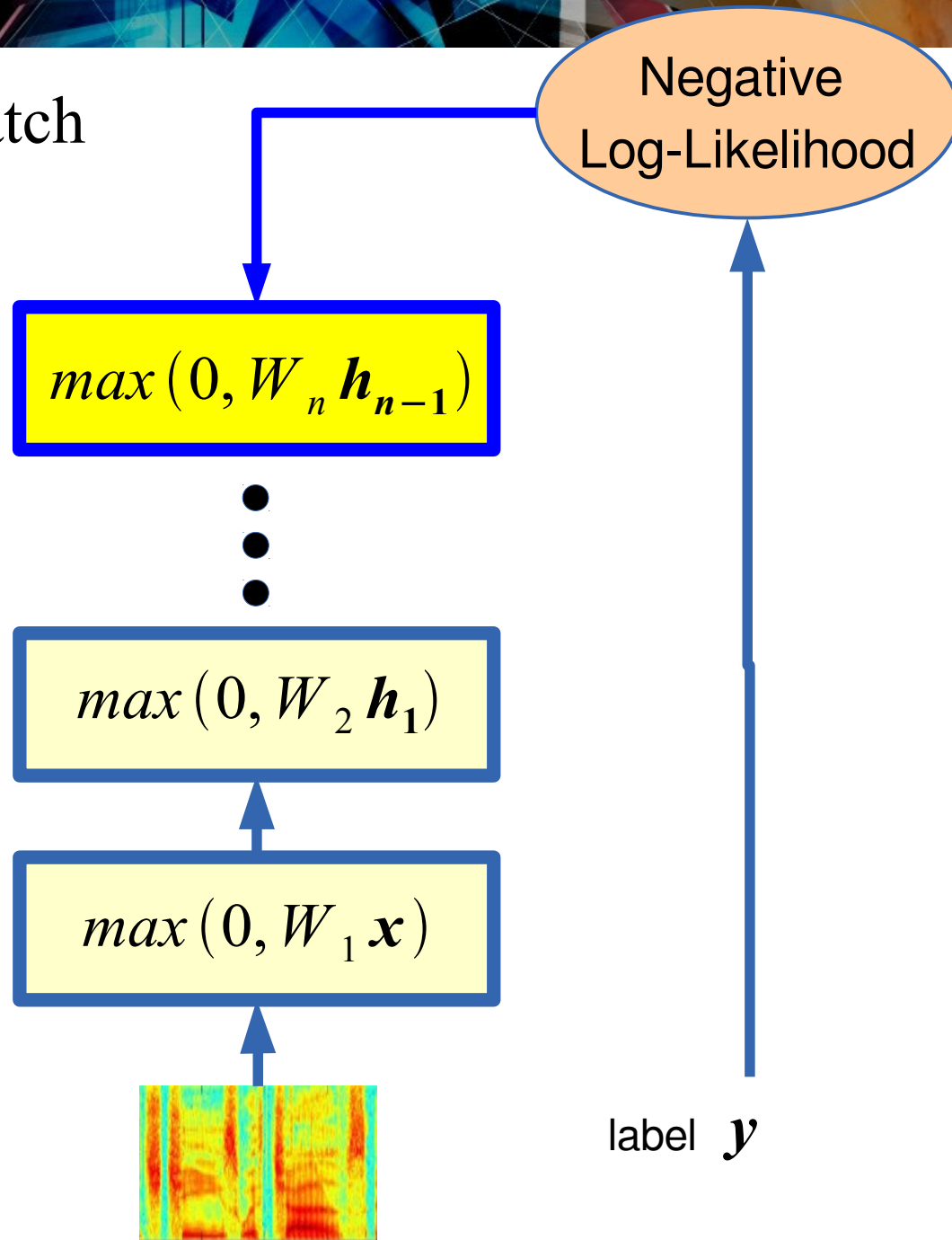
Y LeCun
MA Ranzato

- Given an input mini-batch

BPROP

$$\frac{\partial L}{\partial \mathbf{h}_{n-1}} = \frac{\partial L}{\partial \mathbf{h}_n} \frac{\partial \mathbf{h}_n}{\partial \mathbf{h}_{n-1}}$$

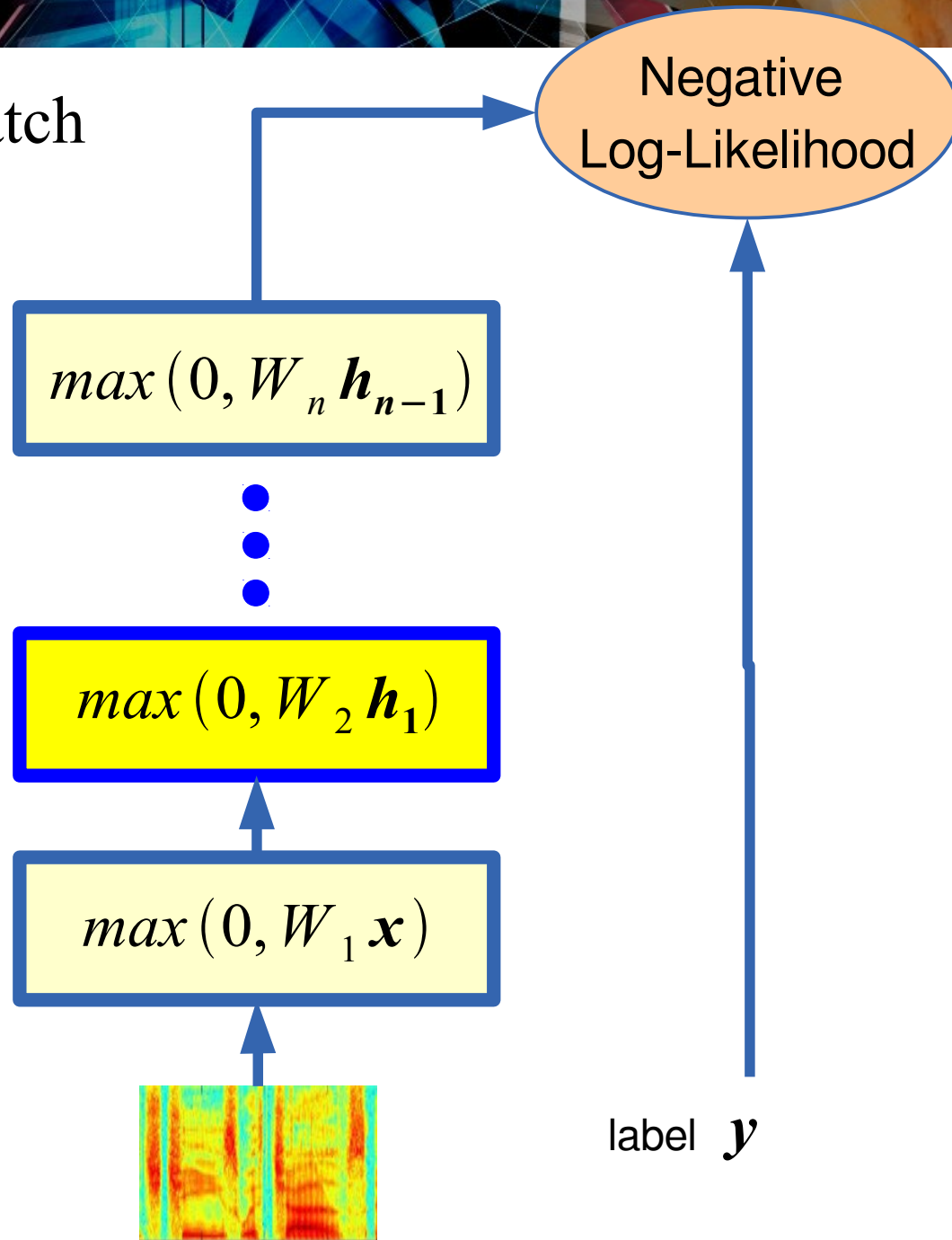
$$\frac{\partial L}{\partial W_n} = \frac{\partial L}{\partial \mathbf{h}_n} \frac{\partial \mathbf{h}_n}{\partial W_n}$$



Training

Y LeCun
MA Ranzato

- Given an input mini-batch



BPROP

$$\frac{\partial L}{\partial h_1} = \frac{\partial L}{\partial h_2} \frac{\partial h_2}{\partial h_1}$$

$$\frac{\partial L}{\partial W_2} = \frac{\partial L}{\partial h_2} \frac{\partial h_2}{\partial W_2}$$

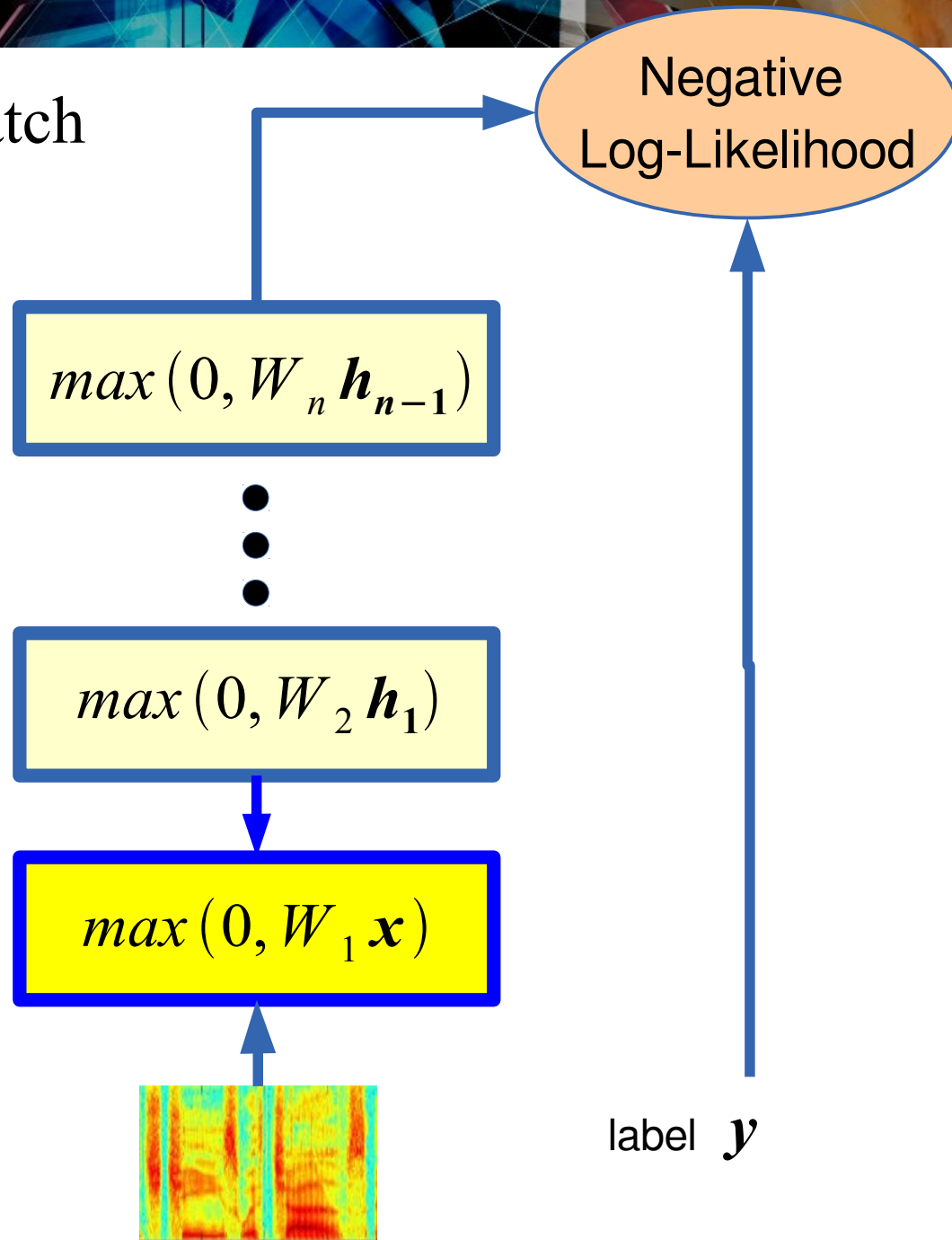
Training

Y LeCun
MA Ranzato

- Given an input mini-batch

BPROP

$$\frac{\partial L}{\partial W_1} = \frac{\partial L}{\partial \mathbf{h}_1} \frac{\partial \mathbf{h}_1}{\partial W_1}$$



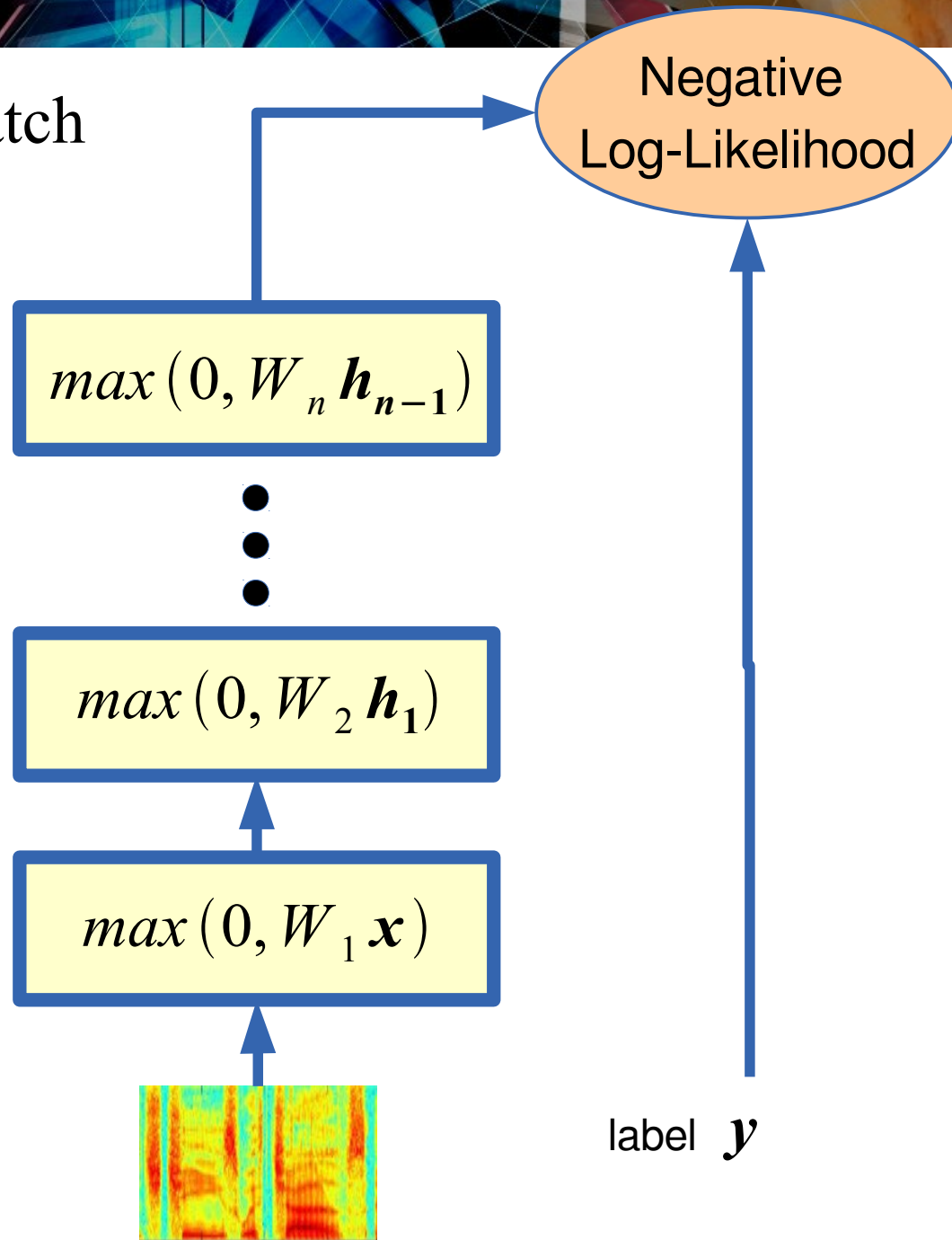
Training

Y LeCun
MA Ranzato

- Given an input mini-batch

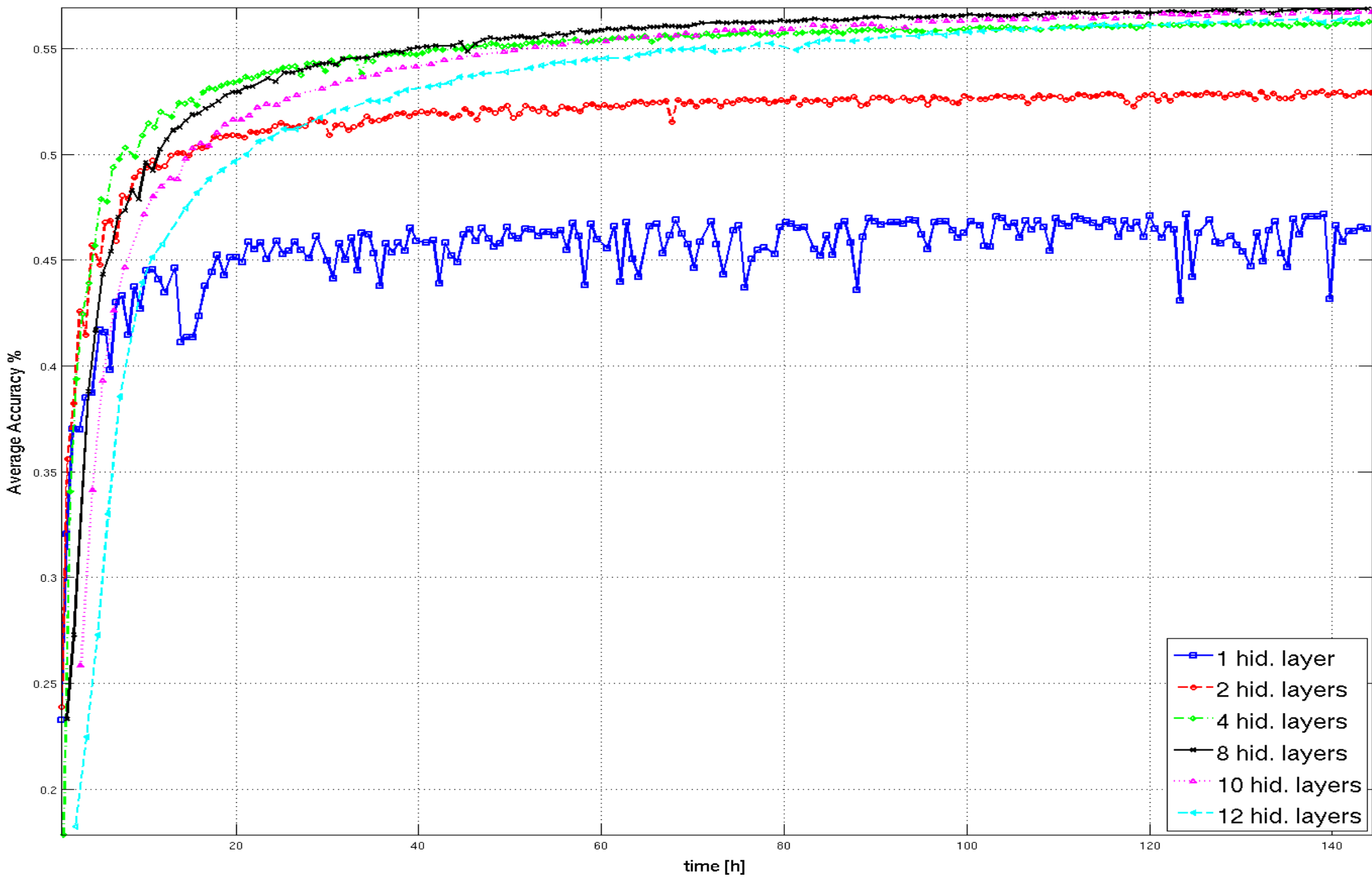
**Parameter
update**

$$\theta \leftarrow \theta - \eta \frac{\partial L}{\partial \theta}$$



Training

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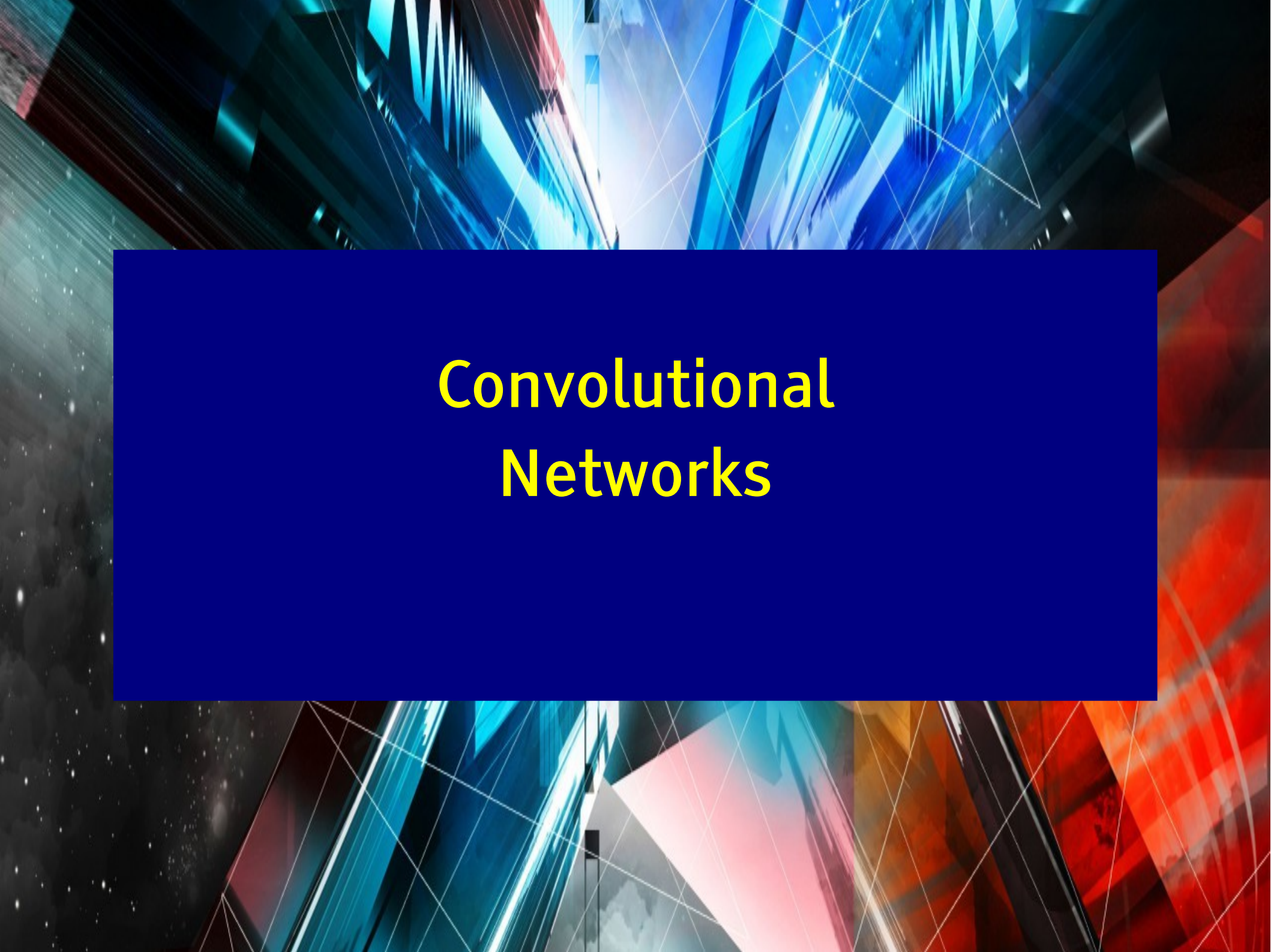


Word Error Rate

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Number of hidden layers	Word Error Rate %
1	16
2	12.8
4	11.4
8	10.9
12	11.1

GMM baseline: 15.4%



Convolutional Networks

Are deployed in many practical applications

- ▶ Image recognition, speech recognition, Google's and Baidu's photo taggers

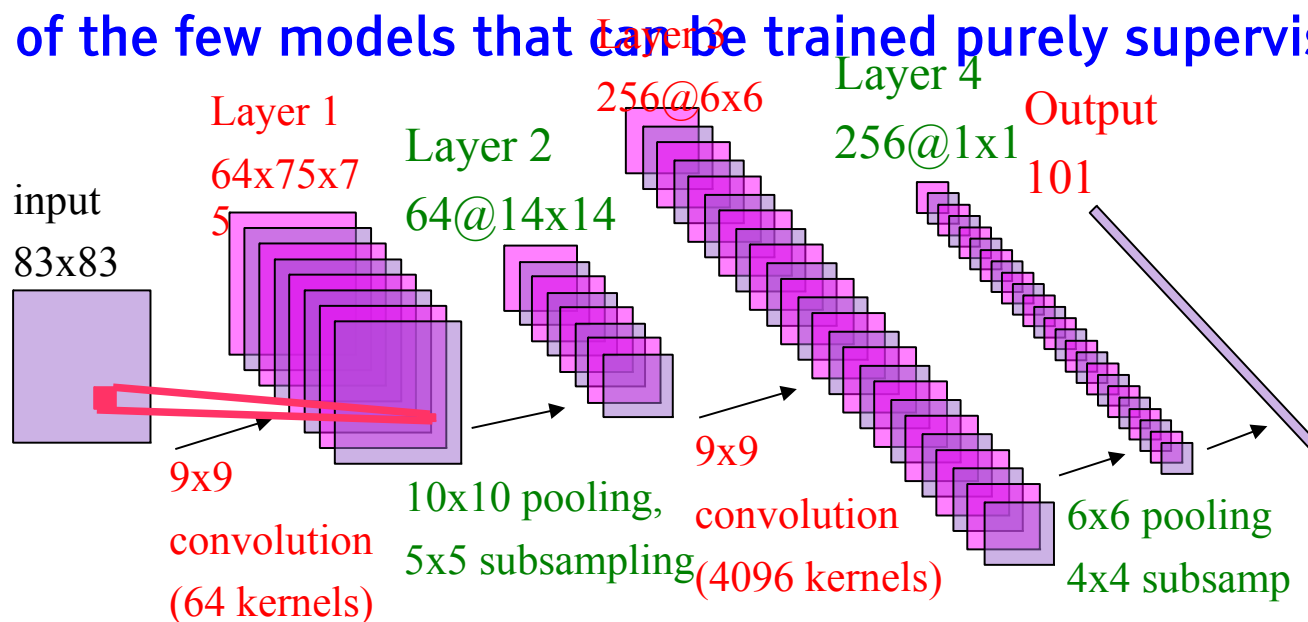
Have won several competitions

- ▶ ImageNet, Kaggle Facial Expression, Kaggle Multimodal Learning, German Traffic Signs, Connectomics, Handwriting....

Are applicable to array data where nearby values are correlated

- ▶ Images, sound, time-frequency representations, video, volumetric images, RGB-Depth images,.....

One of the few models that can be trained purely supervised

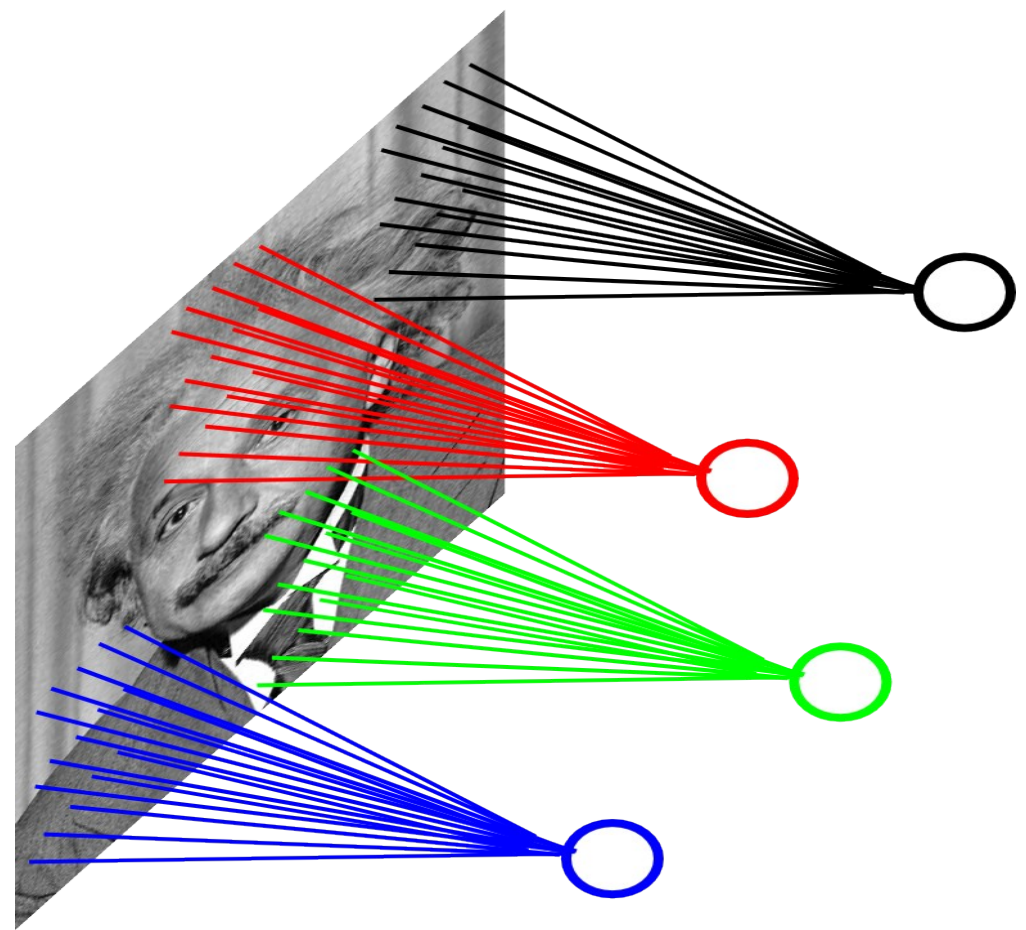
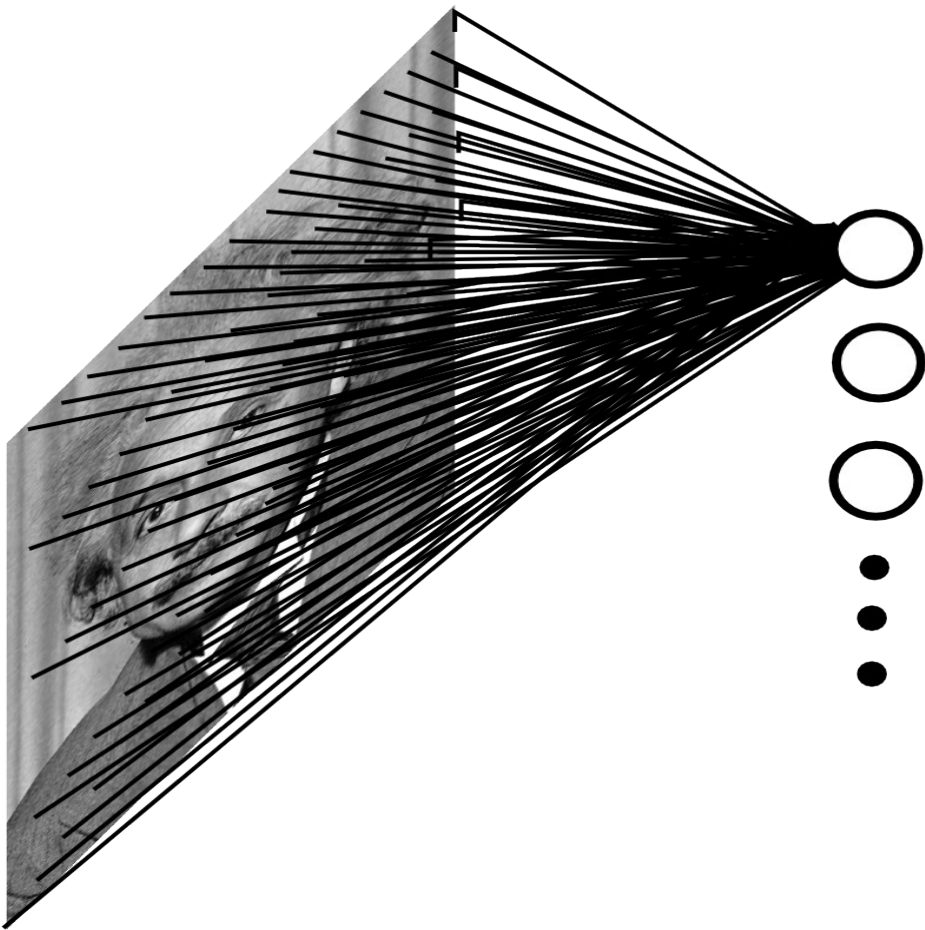


Fully-connected neural net in high dimension

Y LeCun
MA Ranzato

Example: 200x200 image

- ▶ Fully-connected, 400,000 hidden units = 16 billion parameters
- ▶ Locally-connected, 400,000 hidden units 10x10 fields = 40 million params
- ▶ Local connections capture local dependencies



Shared Weights & Convolutions: Exploiting Stationarity

Y LeCun
MA Ranzato

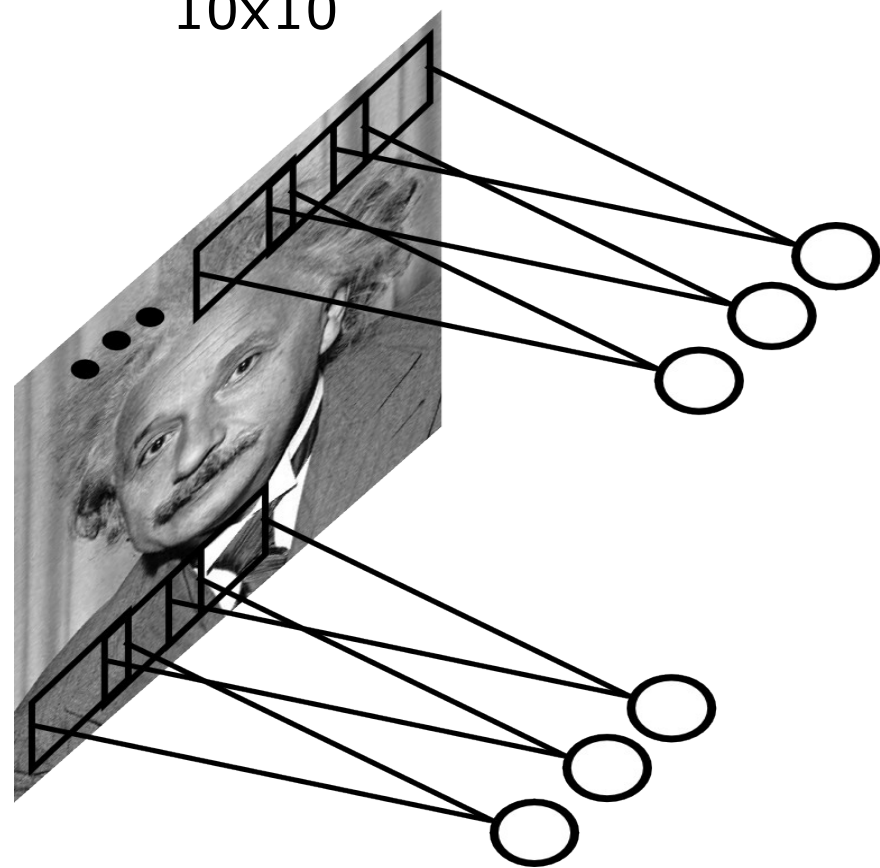
- Features that are useful on one part of the image and probably useful elsewhere.
- All units share the same set of weights
- Shift equivariant processing:
 - ▶ When the input shifts, the output also shifts but stays otherwise unchanged.
- Convolution
 - ▶ with a learned kernel (or filter)
 - ▶ Non-linearity: ReLU (rectified linear)

$$A_{ij} = \sum_{kl} W_{kl} X_{i+j, k+l}$$

- The filtered "image" Z is called a **feature map**
 $Z_{ij} = \max(0, A_{ij})$

■ Example: 200x200 image

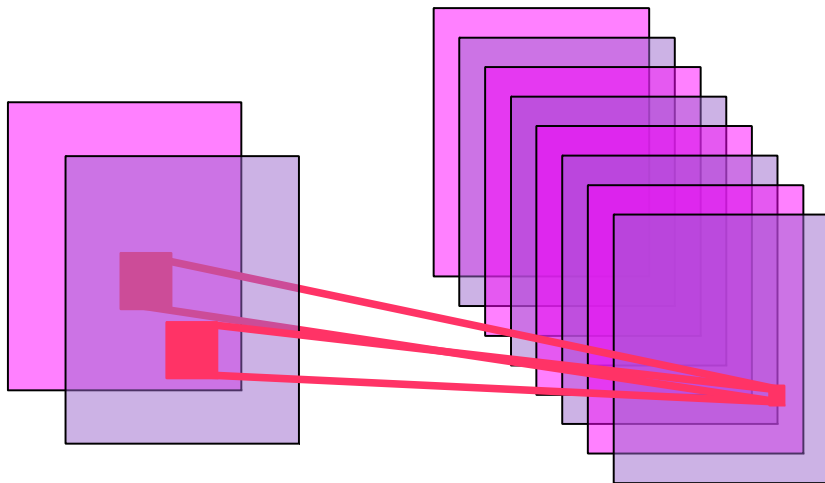
- ▶ 400,000 hidden units with 10x10 fields = 1000 params
- ▶ 10 feature maps of size 200x200, 10 filters of size 10x10



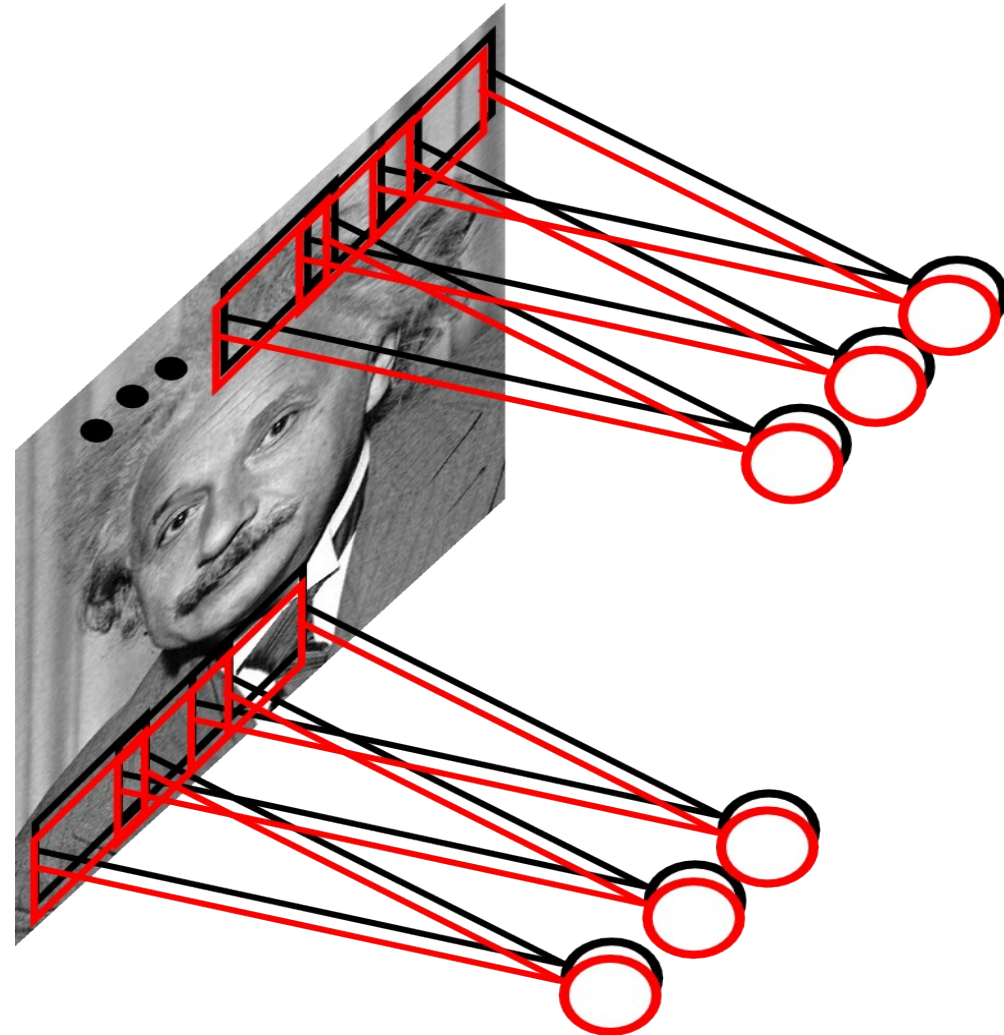
Multiple Convolutions with Different Kernels

Y LeCun
MA Ranzato

- Detects multiple motifs at each location
- The collection of units looking at the same patch is akin to a feature vector for that patch.
- The result is a 3D array, where each slice is a feature map.



Multiple convolutions

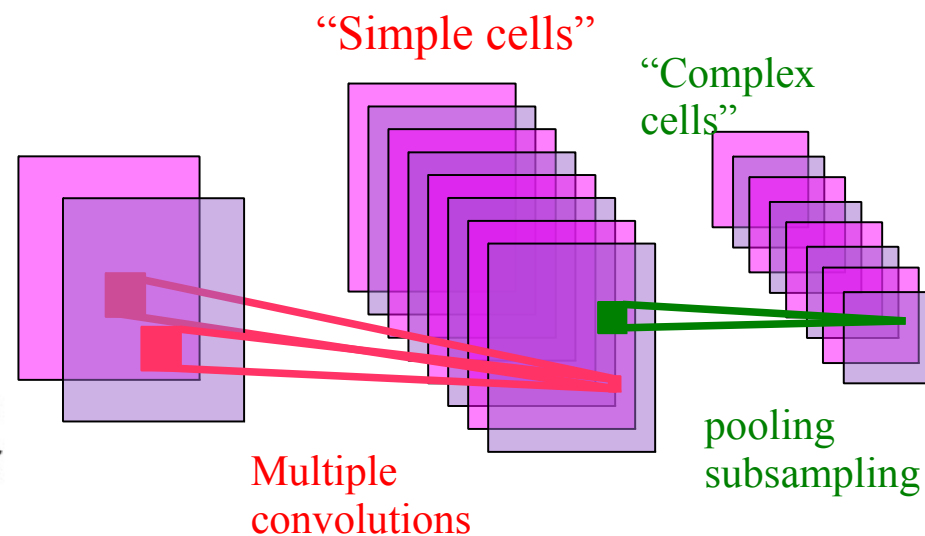
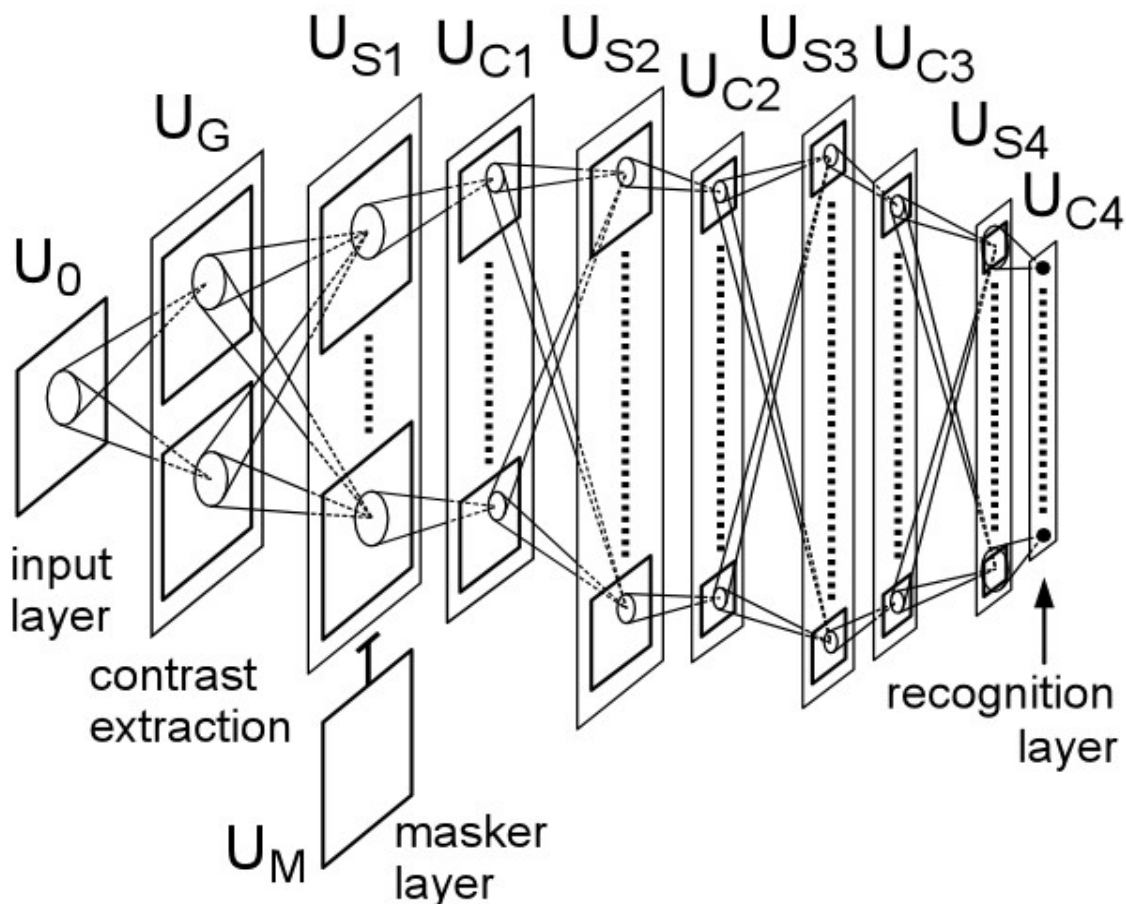


Early Hierarchical Feature Models for Vision

Y LeCun
MA Ranzato

[Hubel & Wiesel 1962]:

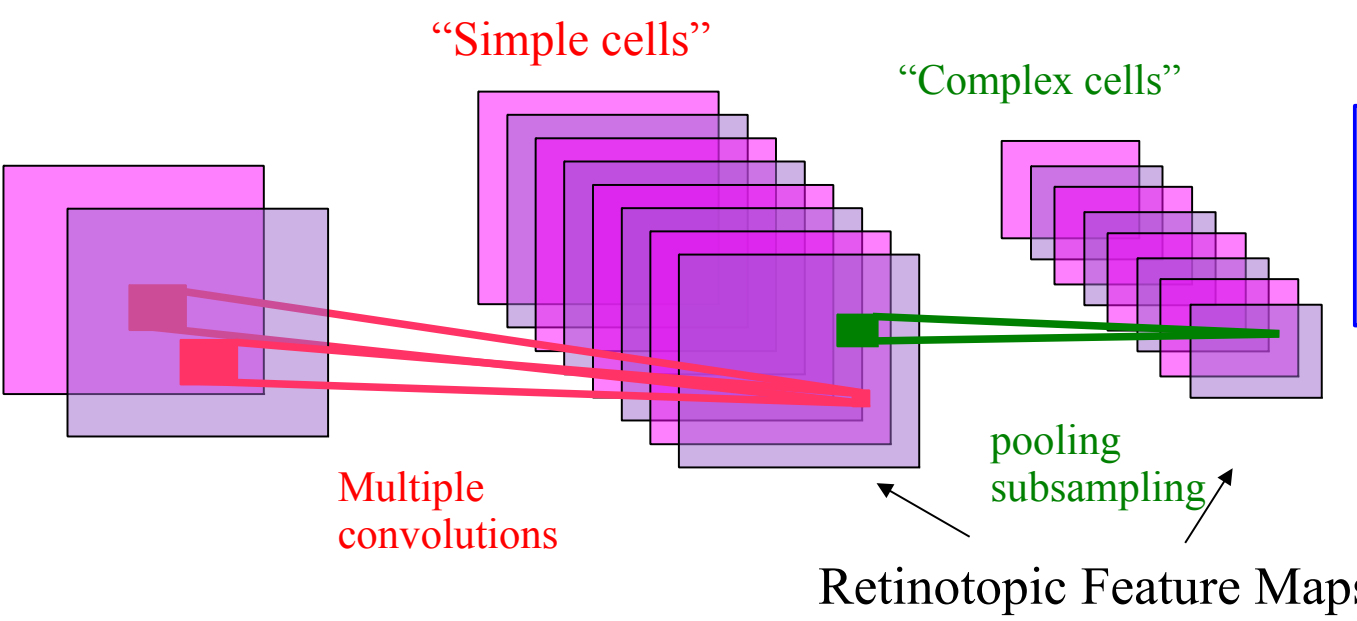
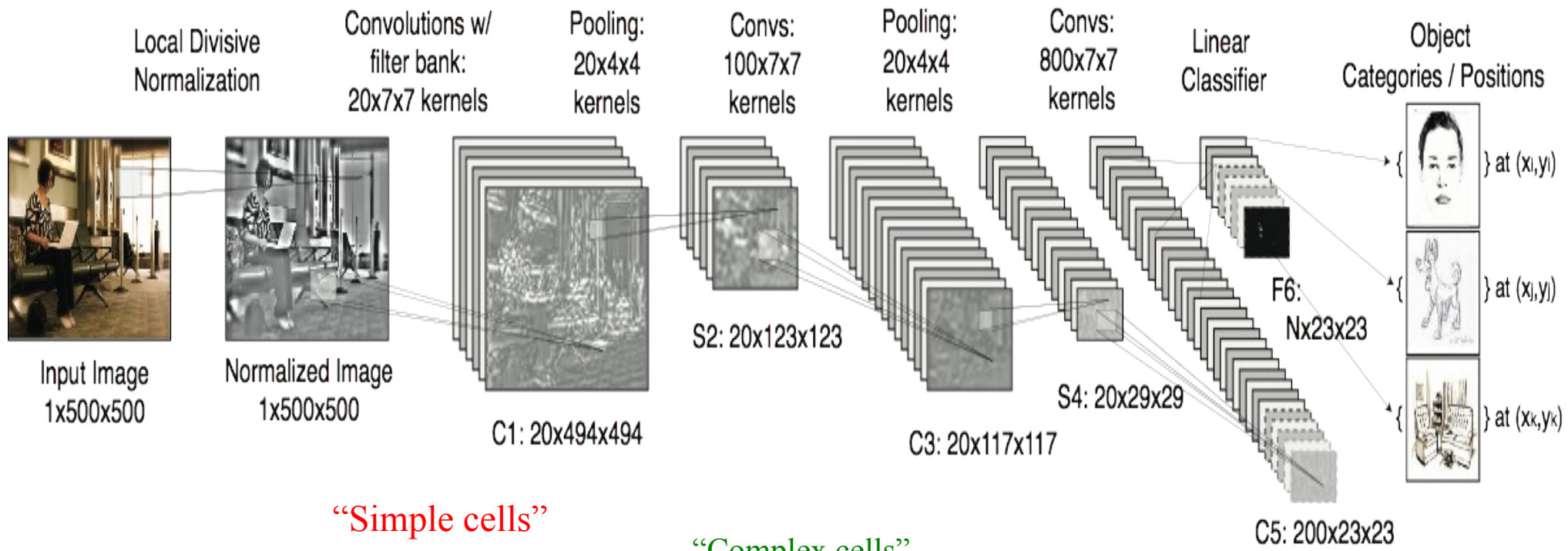
- ▶ **simple cells** detect local features
- ▶ **complex cells** “pool” the outputs of simple cells within a retinotopic neighborhood.



Cognitron & Neocognitron [Fukushima 1974-1982]

The Convolutional Net Model (Multistage Hubel-Wiesel system)

Y LeCun
MA Ranzato

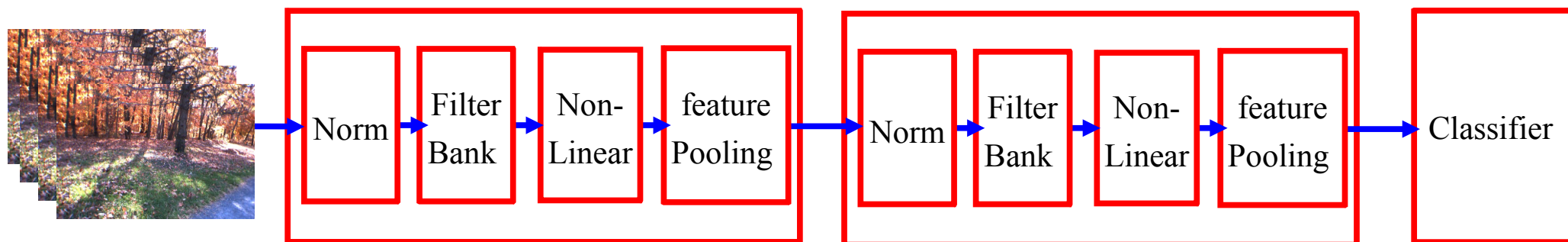


■ Training is supervised
■ With stochastic gradient descent

[LeCun et al. 89]
 [LeCun et al. 98]

Feature Transform: Normalization → Filter Bank → Non-Linearity → Pooling

Y LeCun
MA Ranzato



■ Stacking multiple stages of

- ▶ [Normalization → Filter Bank → Non-Linearity → Pooling].

■ Normalization: variations on whitening

- ▶ Subtractive: average removal, high pass filtering
- ▶ Divisive: local contrast normalization, variance normalization

■ Filter Bank: dimension expansion, projection on overcomplete basis

■ Non-Linearity: sparsification, saturation, lateral inhibition....

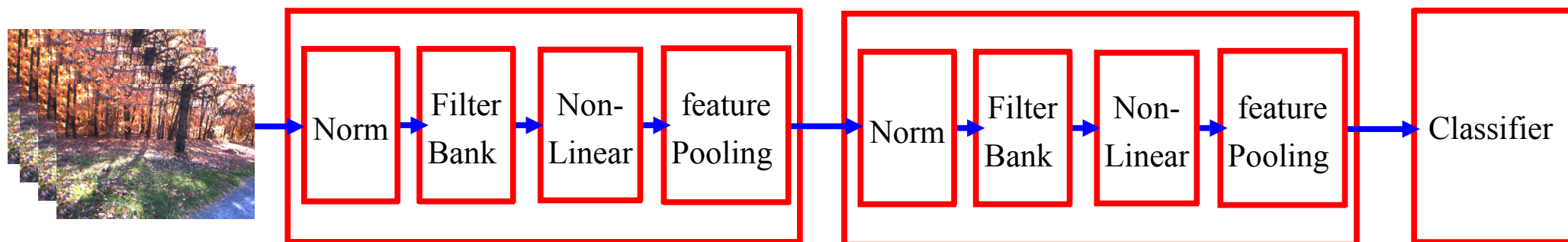
- ▶ Rectification, Component-wise shrinkage, tanh, winner-takes-all

■ Pooling: aggregation over space or feature type, subsampling

- ▶ X_i ; $L_p: \sqrt[p]{X_i^p}$; $PROB: \frac{1}{b} \log \left(\sum_i e^{bX_i} \right)$

Feature Transform: Normalization → Filter Bank → Non-Linearity → Pooling

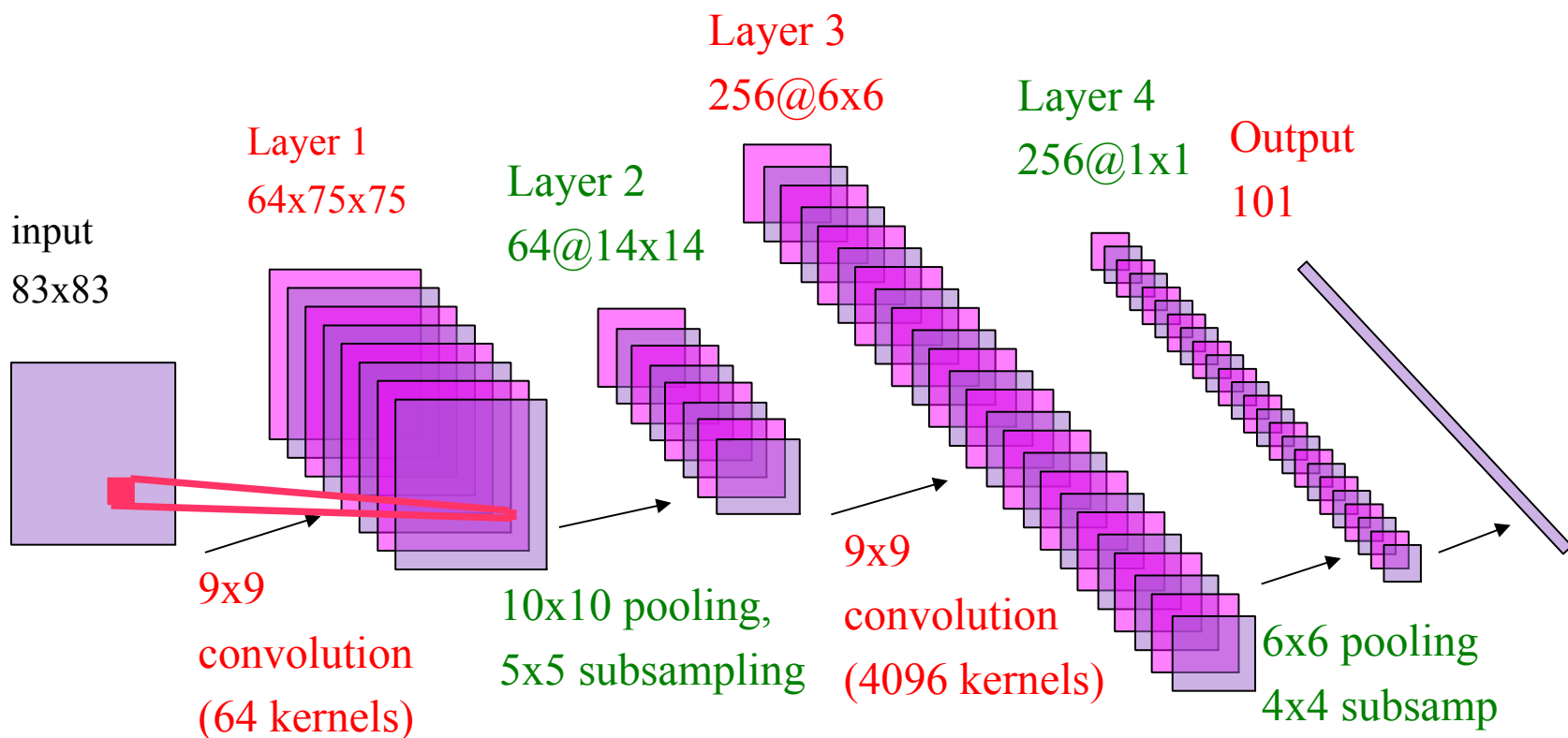
Y LeCun
MA Ranzato



- **Filter Bank → Non-Linearity = Non-linear embedding in high dimension**
- **Feature Pooling = contraction, dimensionality reduction, smoothing**
- **Learning the filter banks at every stage**
- **Creating a hierarchy of features**
- **Basic elements are inspired by models of the visual (and auditory) cortex**
 - ▶ Simple Cell + Complex Cell model of [Hubel and Wiesel 1962]
 - ▶ Many “traditional” feature extraction methods are based on this
 - ▶ SIFT, GIST, HoG, SURF...
- **[Fukushima 1974-1982], [LeCun 1988-now],**
 - ▶ since the mid 2000: Hinton, Seung, Poggio, Ng,....

Convolutional Network (ConvNet)

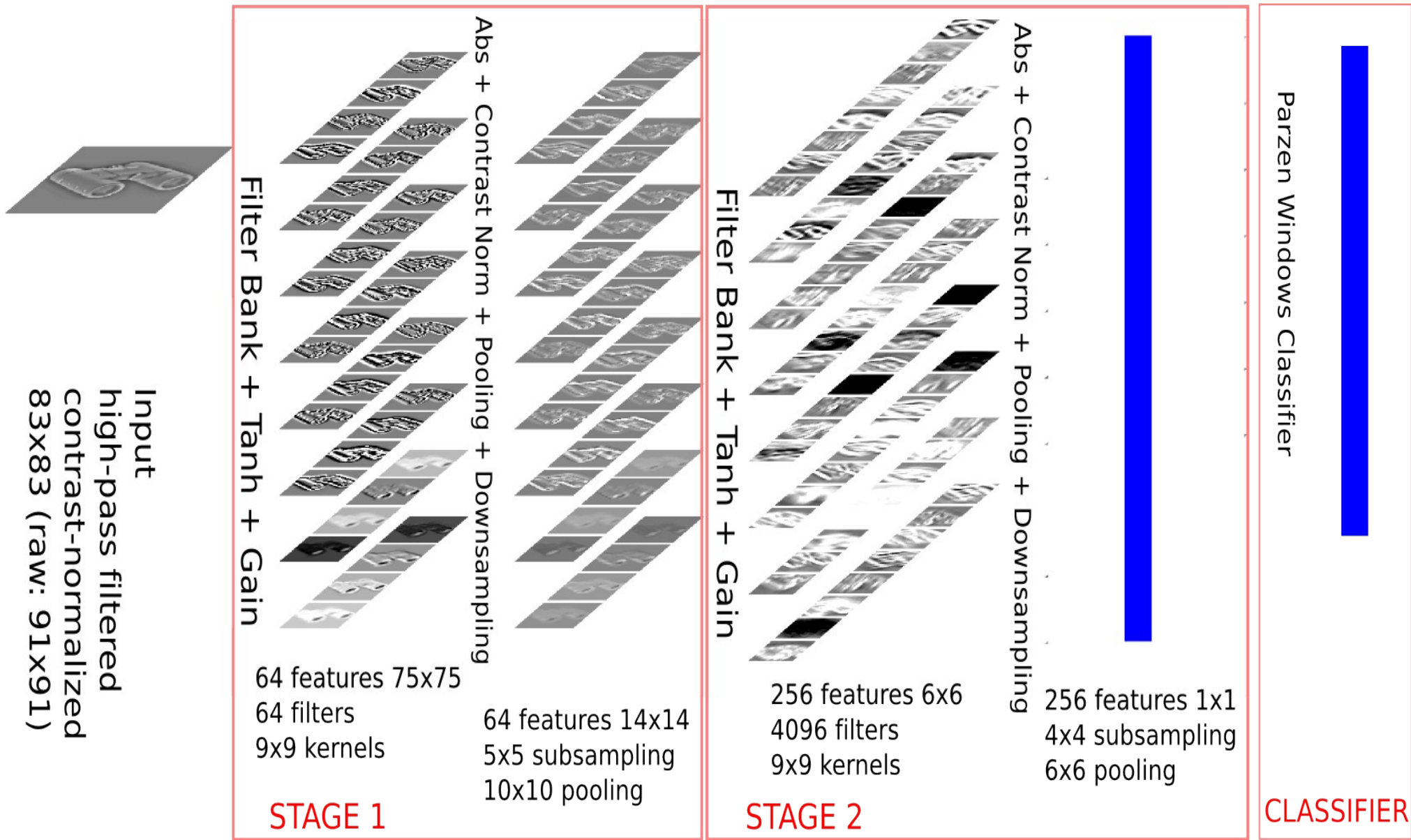
Y LeCun
MA Ranzato



- **Non-Linearity:** half-wave rectification, shrinkage function, sigmoid
- **Pooling:** average, L1, L2, max
- **Training:** Supervised (1988-2006), Unsupervised+Supervised (2006-now)

Convolutional Network Architecture

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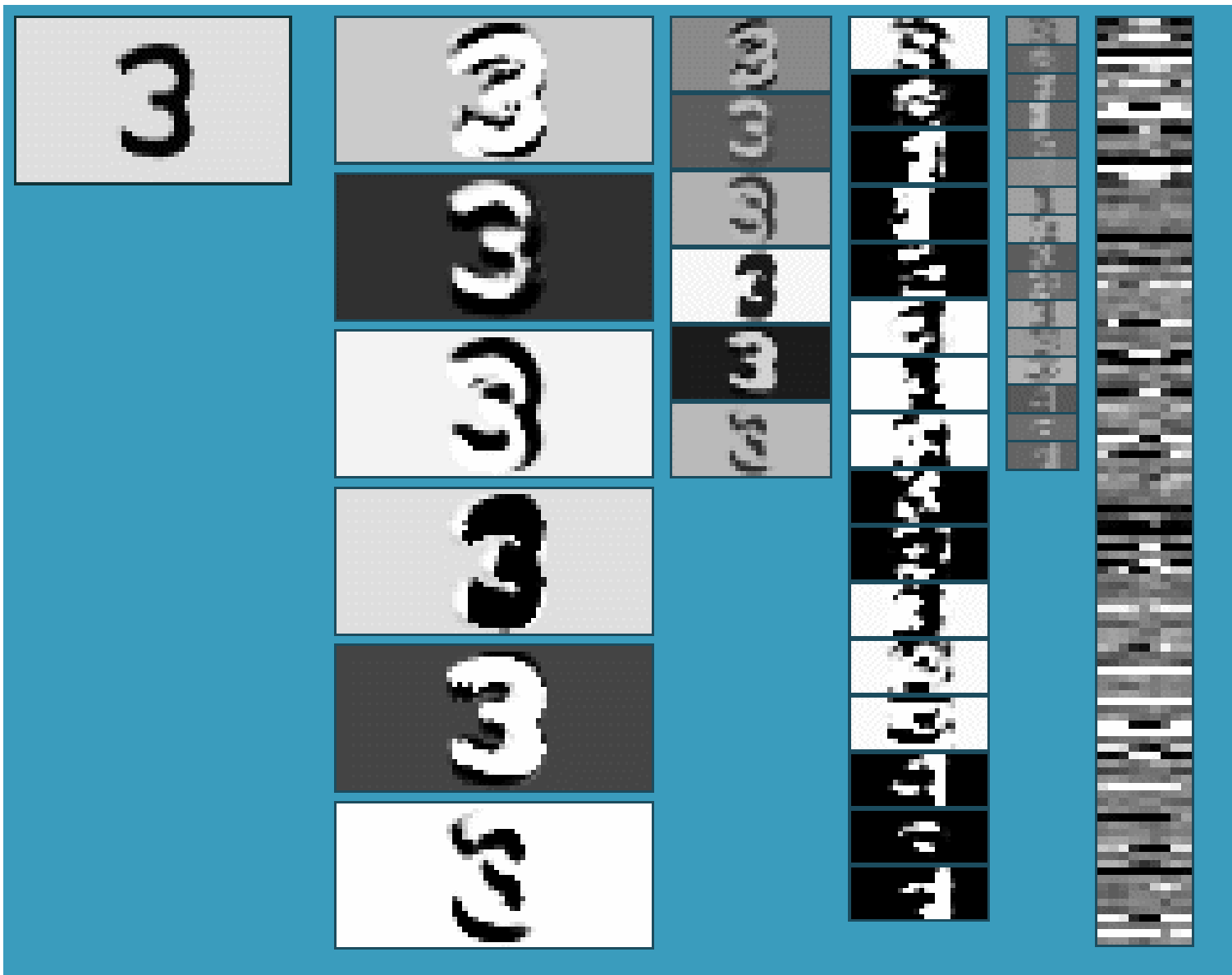


Convolutional Network (vintage 1990)

Y LeCun
MA Ranzato

■ filters → tanh → average-tanh → filters → tanh → average-tanh → filters → tanh

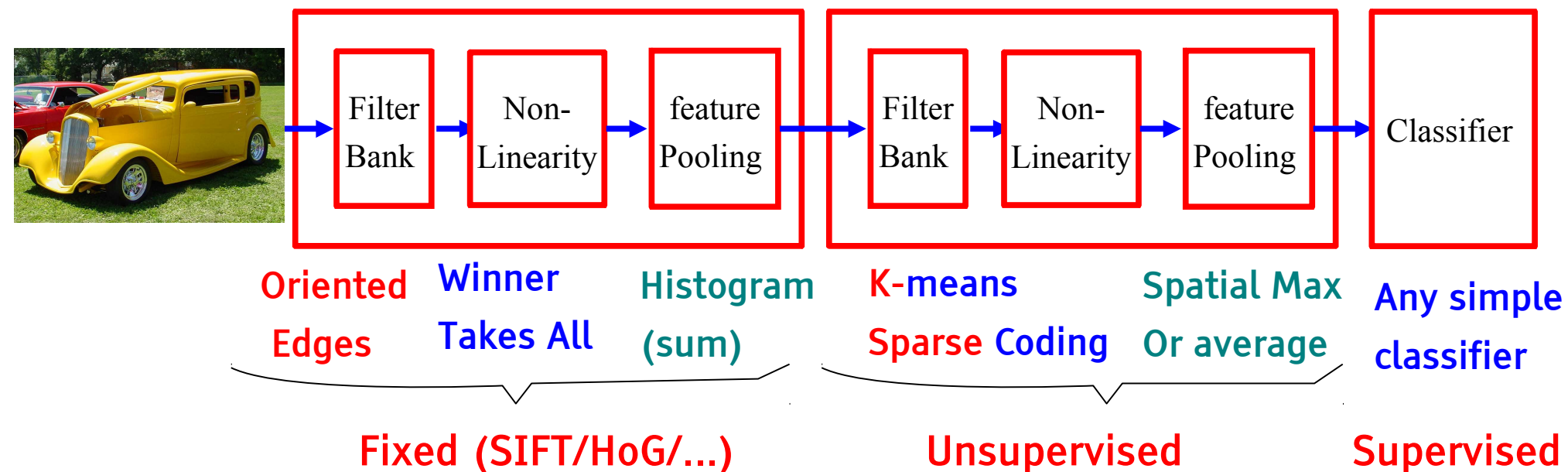
Curved manifold



Flatter manifold

"Mainstream" object recognition pipeline 2006-2012: somewhat similar to ConvNets

Y LeCun
MA Ranzato



■ Fixed Features + unsupervised mid-level features + simple classifier

- ▶ SIFT + Vector Quantization + Pyramid pooling + SVM
 - [Lazebnik et al. CVPR 2006]
- ▶ SIFT + Local Sparse Coding Macrofeatures + Pyramid pooling + SVM
 - [Boureau et al. ICCV 2011]
- ▶ SIFT + Fisher Vectors + Deformable Parts Pooling + SVM
 - [Perronin et al. 2012]

Tasks for Which Deep Convolutional Nets are the Best

Y LeCun
MA Ranzato

- Handwriting recognition MNIST (many), Arabic HWX (IDSIA)
- OCR in the Wild [2011]: StreetView House Numbers (NYU and others)
- Traffic sign recognition [2011] GTSRB competition (IDSIA, NYU)
- Pedestrian Detection [2013]: INRIA datasets and others (NYU)
- Volumetric brain image segmentation [2009] connectomics (IDSIA, MIT)
- Human Action Recognition [2011] Hollywood II dataset (Stanford)
- Object Recognition [2012] ImageNet competition
- Scene Parsing [2012] Stanford bgd, SiftFlow, Barcelona (NYU)
- Scene parsing from depth images [2013] NYU RGB-D dataset (NYU)
- Speech Recognition [2012] Acoustic modeling (IBM and Google)
- Breast cancer cell mitosis detection [2011] MITOS (IDSIA)

- The list of perceptual tasks for which ConvNets hold the record is growing.
- Most of these tasks (but not all) use purely supervised convnets.

- The whole architecture: simple cells and complex cells
- Local receptive fields
- Self-similar receptive fields over the visual field (convolutions)
- Pooling (complex cells)
- Non-Linearity: Rectified Linear Units (ReLU)
- LGN-like band-pass filtering and contrast normalization in the input
- Divisive contrast normalization (from Heeger, Simoncelli....)
 - ▶ Lateral inhibition
- Sparse/Overcomplete representations (Olshausen-Field....)
- Inference of sparse representations with lateral inhibition
- Sub-sampling ratios in the visual cortex
 - ▶ between 2 and 3 between V1-V2-V4
- Crowding and visual metamers give cues on the size of the pooling areas

Traffic Sign Recognition (GTSRB)

- ▶ German Traffic Sign Reco Bench
- ▶ 99.2% accuracy



House Number Recognition (Google)

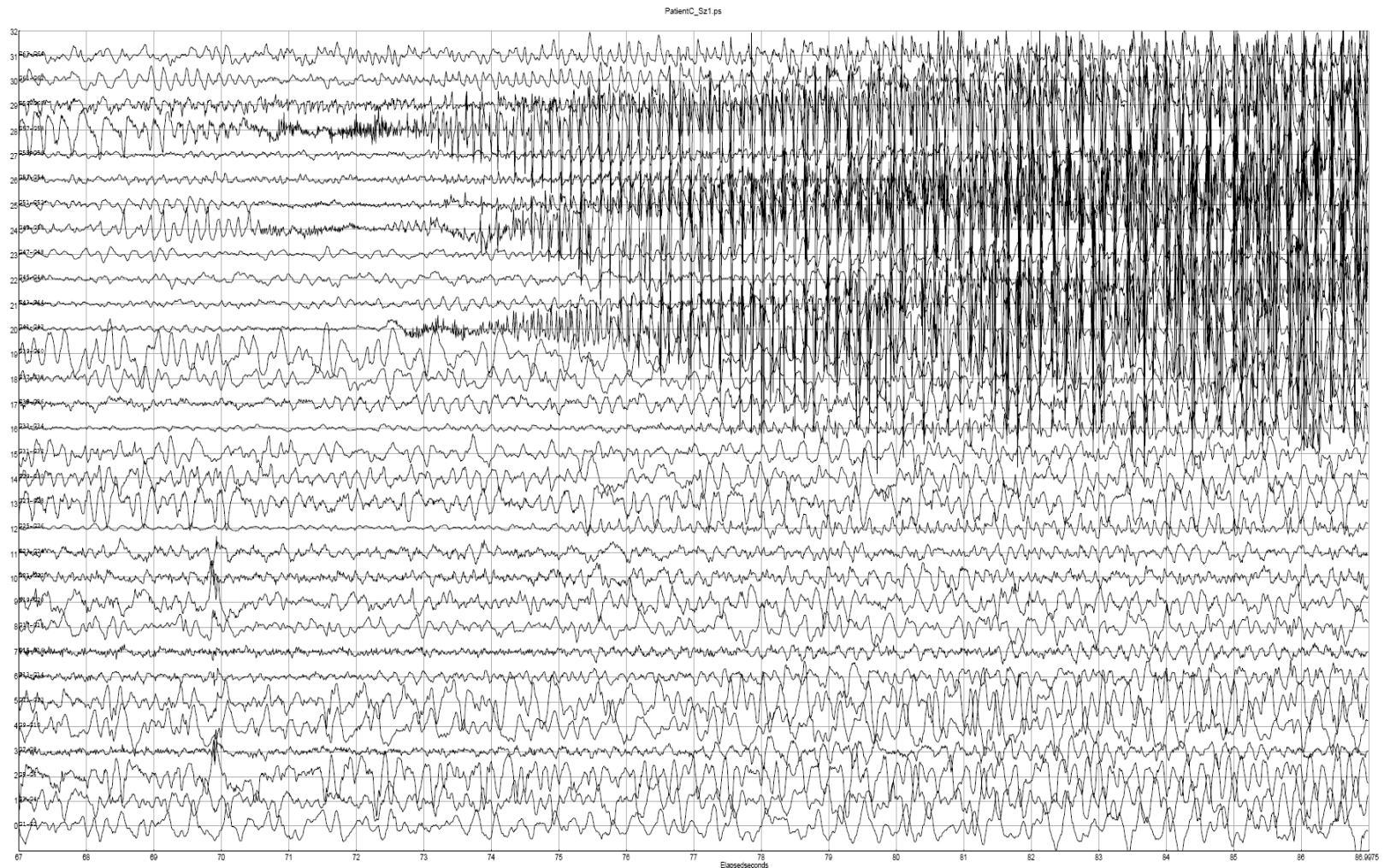
- ▶ Street View House Numbers
- ▶ 94.3 % accuracy



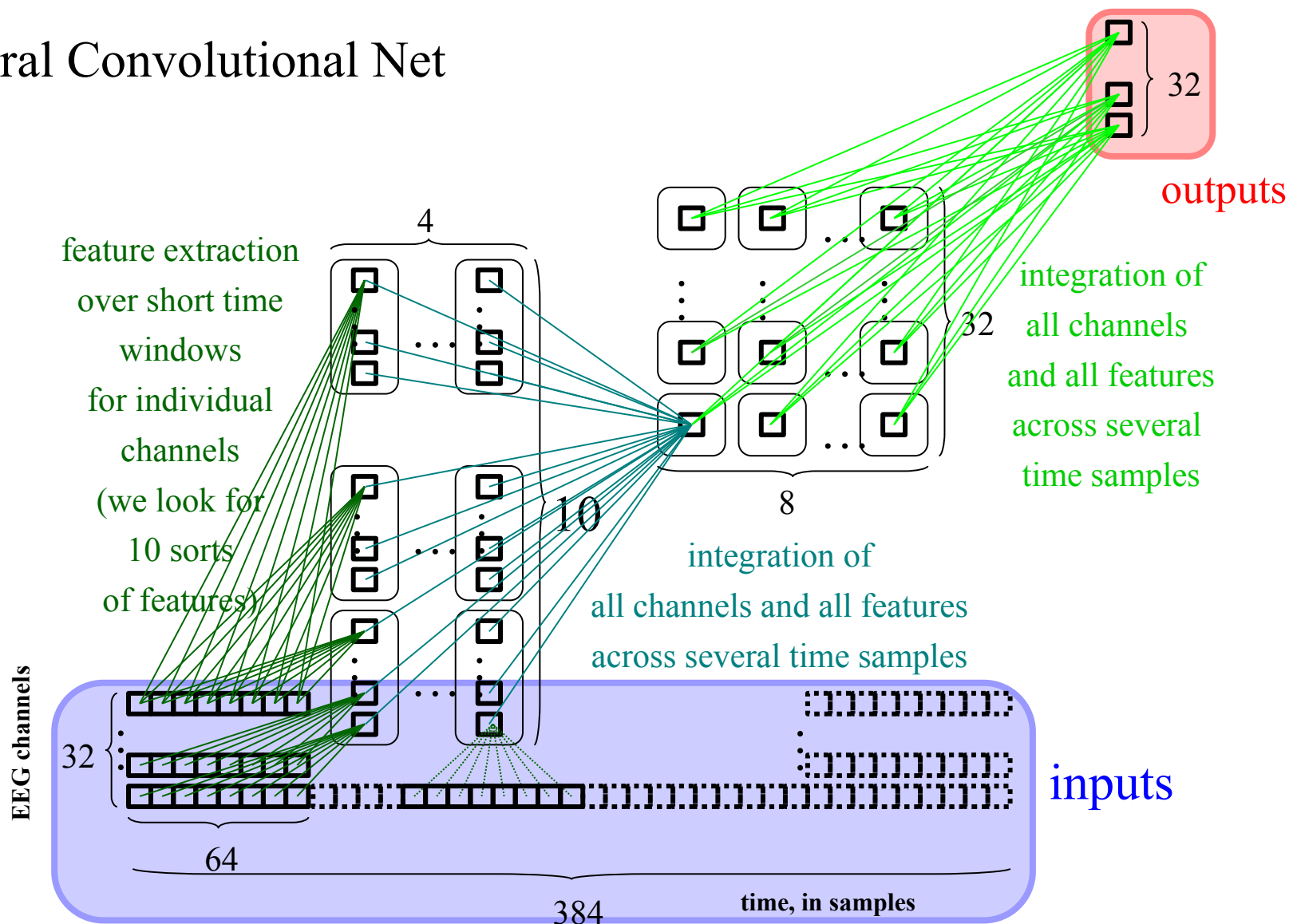
Prediction of Epilepsy Seizures from Intra-Cranial EEG

Y LeCun
MA Ranzato

Piotr Mirowski, Deepak Mahdevan (NYU Neurology), Yann LeCun



Temporal Convolutional Net

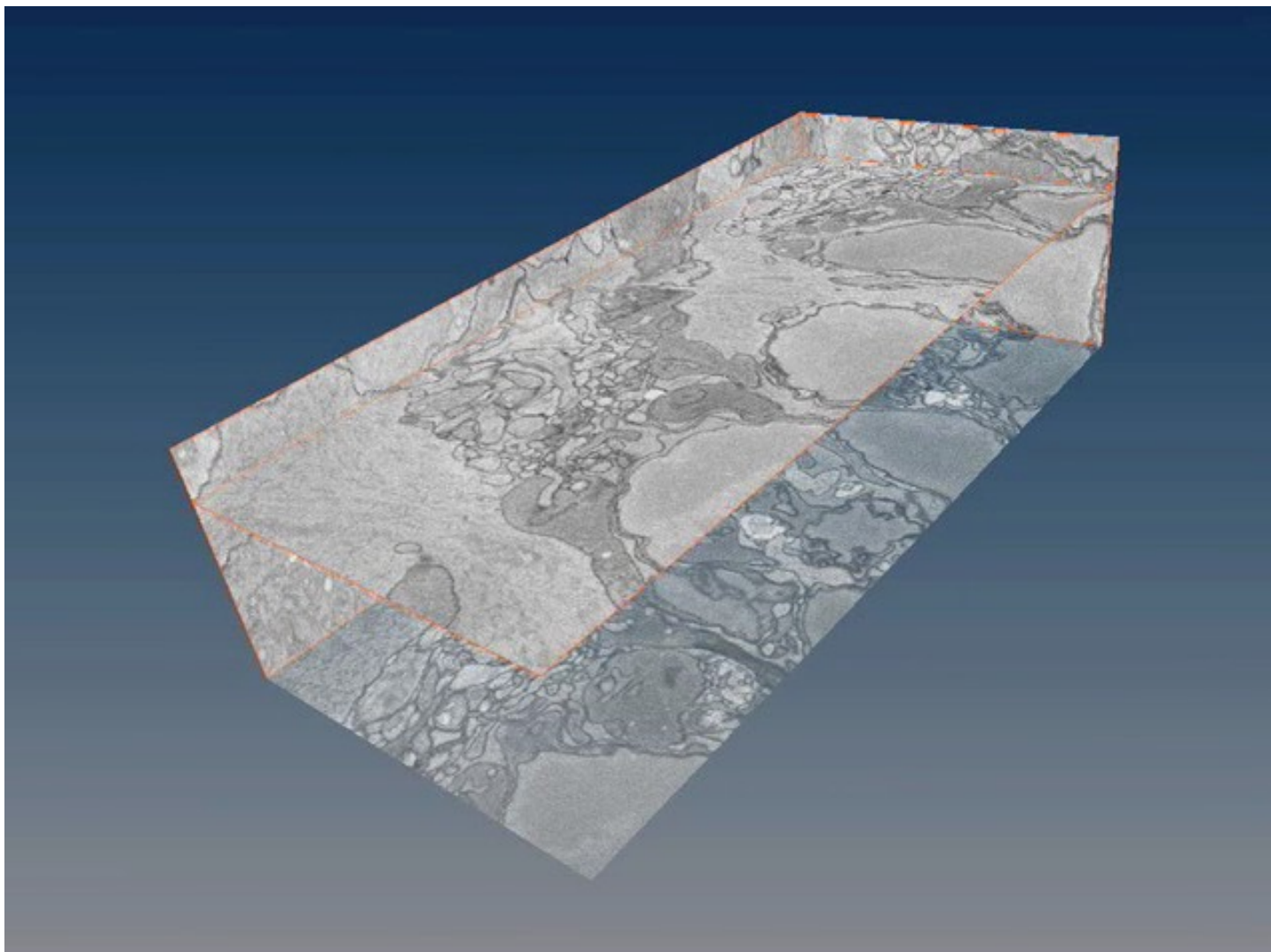


ConvNet in Connectomics

[Jain, Turaga, Seung 2007-present]

Y LeCun
MA Ranzato

3D convnet to segment volumetric images

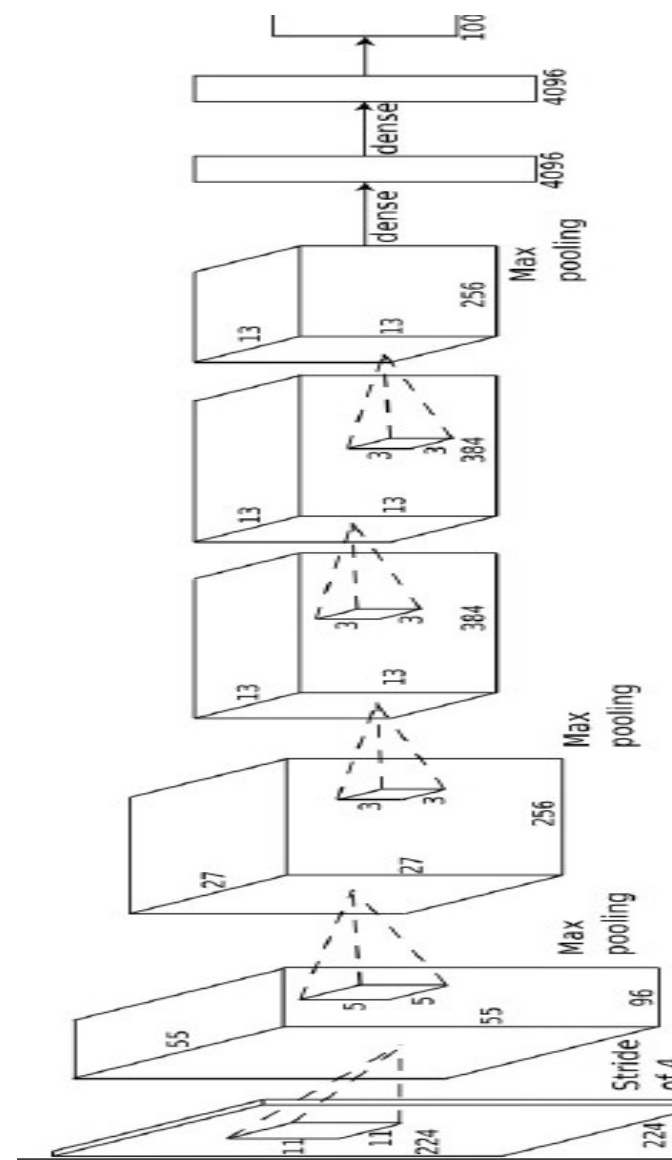


Object Recognition [Krizhevsky, Sutskever, Hinton 2012]

Y LeCun
MA Ranzato

Won the 2012 ImageNet LSVRC. 60 Million parameters, 832M MAC ops

4M	FULL CONNECT	4Mflop
16M	FULL 4096/ReLU	16M
37M	FULL 4096/ReLU	37M
	MAX POOLING	
442K	CONV 3x3/ReLU 256fm	74M
1.3M	CONV 3x3ReLU 384fm	224M
884K	CONV 3x3/ReLU 384fm	149M
	MAX POOLING 2x2sub	
	LOCAL CONTRAST NORM	
307K	CONV 11x11/ReLU 256fm	223M
	MAX POOL 2x2sub	
	LOCAL CONTRAST NORM	
35K	CONV 11x11/ReLU 96fm	105M

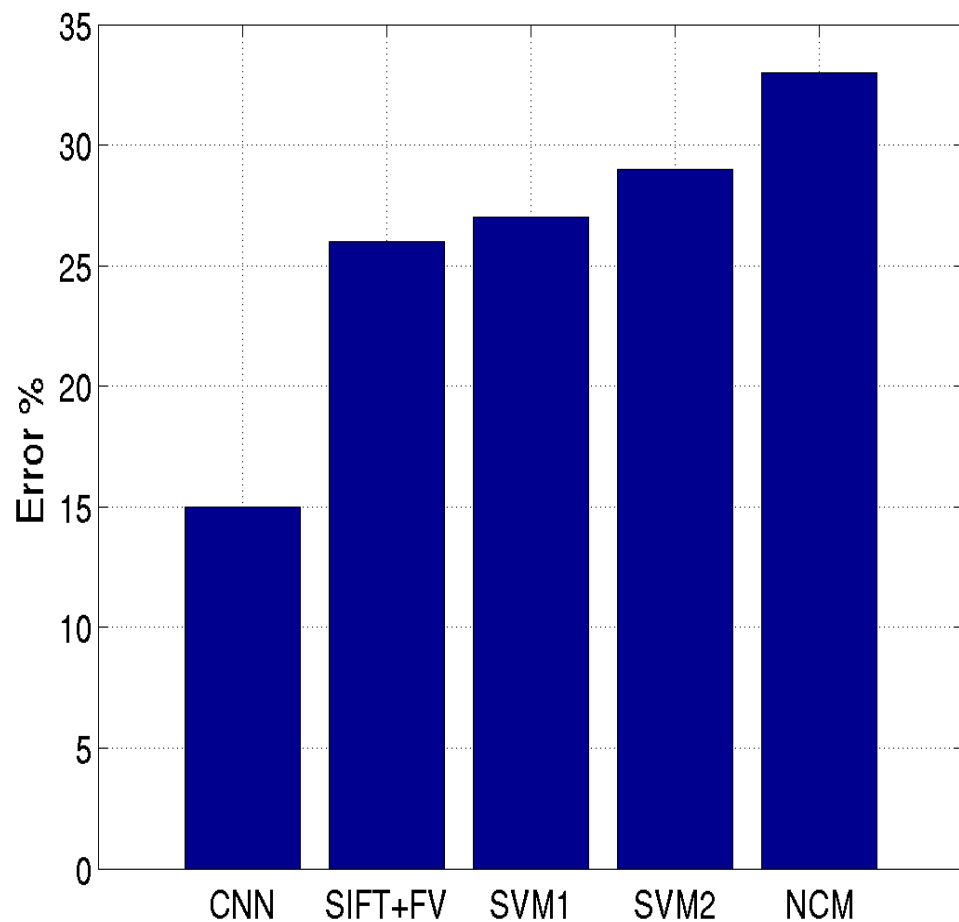


Object Recognition: ILSVRC 2012 results

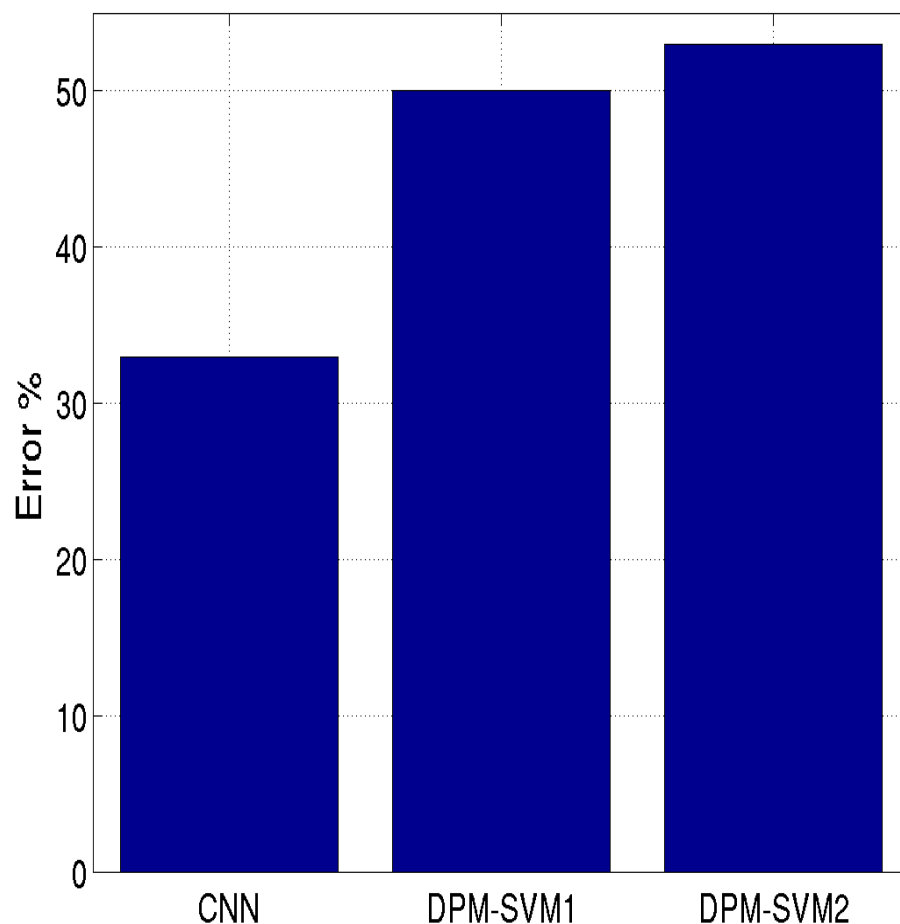
Y LeCun
MA Ranzato

- ImageNet Large Scale Visual Recognition Challenge
- 1000 categories, 1.5 Million labeled training samples

TASK 1 - CLASSIFICATION



TASK 2 - DETECTION



■ Method: large convolutional net

- ▶ 650K neurons, 832M synapses, 60M parameters
- ▶ Trained with backprop on GPU
- ▶ Trained “with all the tricks Yann came up with in the last 20 years, plus dropout” (Hinton, NIPS 2012)
- ▶ Rectification, contrast normalization,...

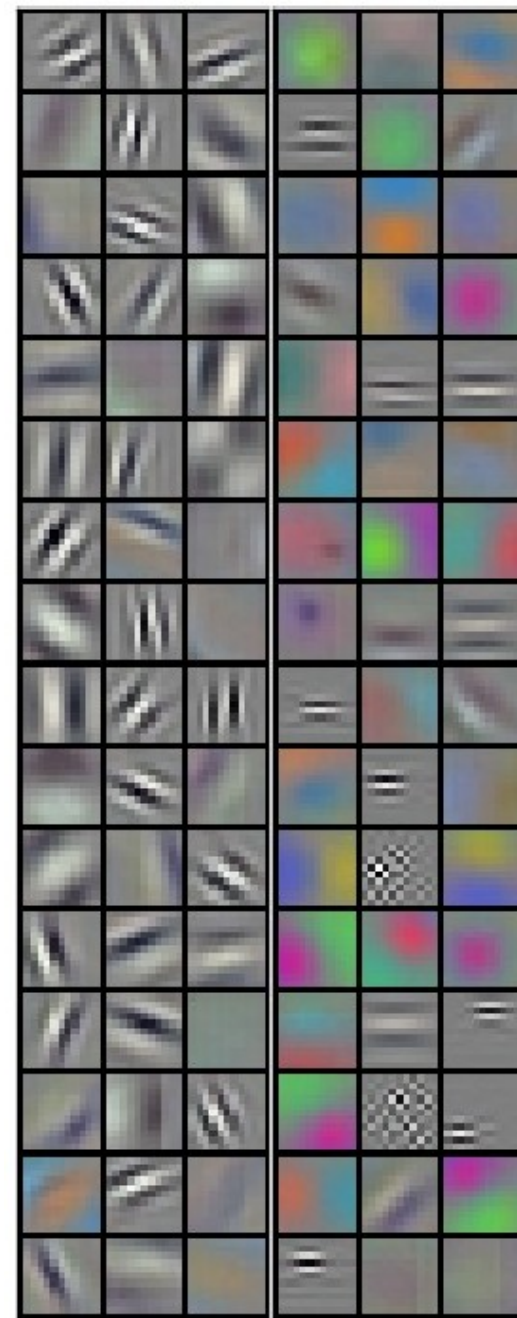
■ Error rate: 15% (whenever correct class isn't in top 5)

■ Previous state of the art: 25% error

■ A REVOLUTION IN COMPUTER VISION









■ Acquired by Google in Jan 2013

■ Deployed in Google+ Photo Tagging in May 2013



Object Recognition [Krizhevsky, Sutskever, Hinton 2012]

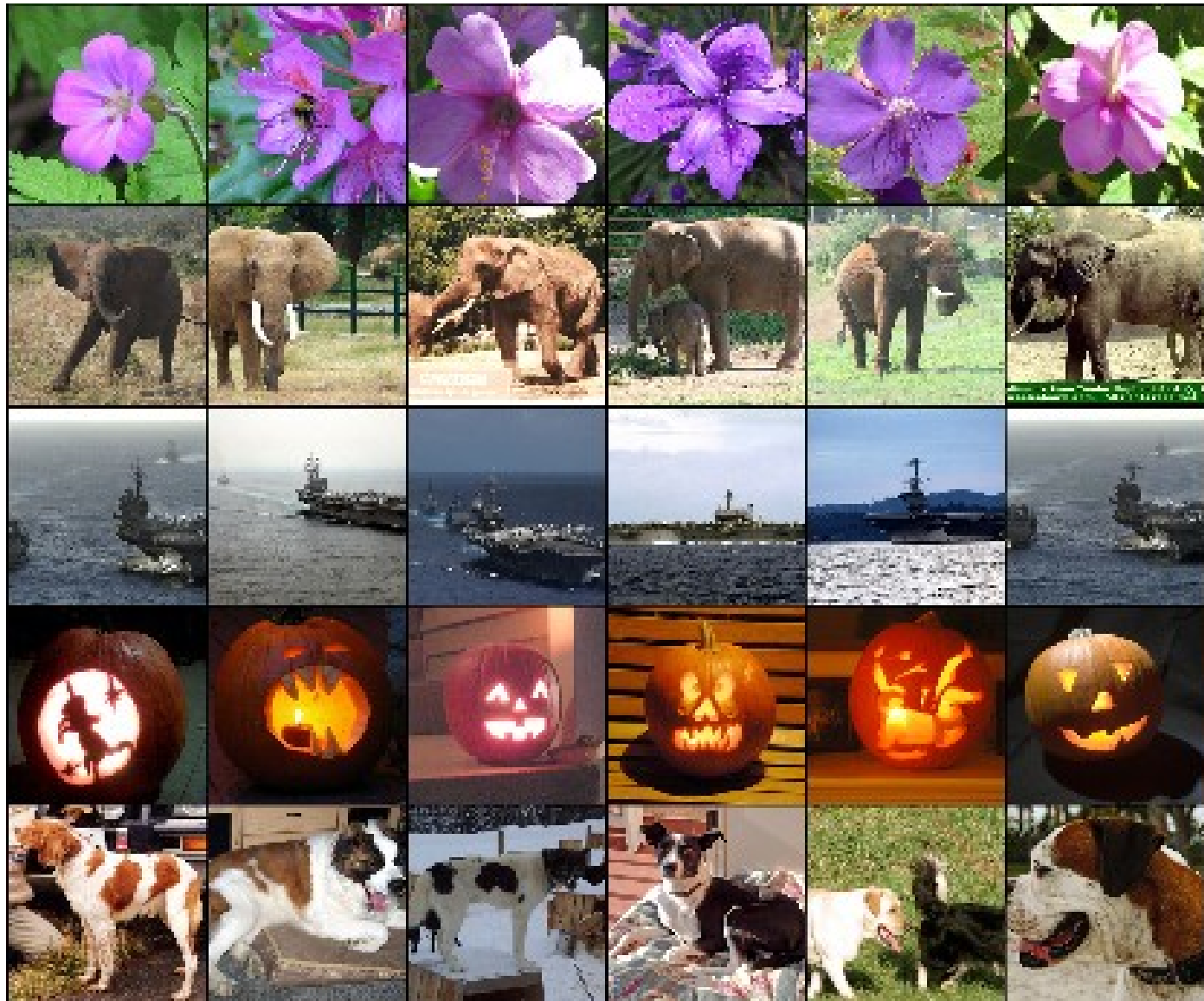
Y LeCun
MA Ranzato

																							
mite	container ship	motor scooter	leopard																				
<table border="1"> <tbody> <tr><td>mite</td></tr> <tr><td>black widow</td></tr> <tr><td>cockroach</td></tr> <tr><td>tick</td></tr> <tr><td>starfish</td></tr> </tbody> </table>	mite	black widow	cockroach	tick	starfish	<table border="1"> <tbody> <tr><td>container ship</td></tr> <tr><td>lifeboat</td></tr> <tr><td>amphibian</td></tr> <tr><td>fireboat</td></tr> <tr><td>drilling platform</td></tr> </tbody> </table>	container ship	lifeboat	amphibian	fireboat	drilling platform	<table border="1"> <tbody> <tr><td>motor scooter</td></tr> <tr><td>go-kart</td></tr> <tr><td>moped</td></tr> <tr><td>bumper car</td></tr> <tr><td>golfcart</td></tr> </tbody> </table>	motor scooter	go-kart	moped	bumper car	golfcart	<table border="1"> <tbody> <tr><td>leopard</td></tr> <tr><td>jaguar</td></tr> <tr><td>cheetah</td></tr> <tr><td>snow leopard</td></tr> <tr><td>Egyptian cat</td></tr> </tbody> </table>	leopard	jaguar	cheetah	snow leopard	Egyptian cat
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leopard																							
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Egyptian cat																							
																							
grille	mushroom	cherry	Madagascar cat																				
<table border="1"> <tbody> <tr><td>convertible</td></tr> <tr><td>grille</td></tr> <tr><td>pickup</td></tr> <tr><td>beach wagon</td></tr> <tr><td>fire engine</td></tr> </tbody> </table>	convertible	grille	pickup	beach wagon	fire engine	<table border="1"> <tbody> <tr><td>agaric</td></tr> <tr><td>mushroom</td></tr> <tr><td>jelly fungus</td></tr> <tr><td>gill fungus</td></tr> <tr><td>dead-man's-fingers</td></tr> </tbody> </table>	agaric	mushroom	jelly fungus	gill fungus	dead-man's-fingers	<table border="1"> <tbody> <tr><td>dalmatian</td></tr> <tr><td>grape</td></tr> <tr><td>elderberry</td></tr> <tr><td>ffordshire bullterrier</td></tr> <tr><td>currant</td></tr> </tbody> </table>	dalmatian	grape	elderberry	ffordshire bullterrier	currant	<table border="1"> <tbody> <tr><td>squirrel monkey</td></tr> <tr><td>spider monkey</td></tr> <tr><td>titi</td></tr> <tr><td>indri</td></tr> <tr><td>howler monkey</td></tr> </tbody> </table>	squirrel monkey	spider monkey	titi	indri	howler monkey
convertible																							
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currant																							
squirrel monkey																							
spider monkey																							
titi																							
indri																							
howler monkey																							

TEST IMAGE



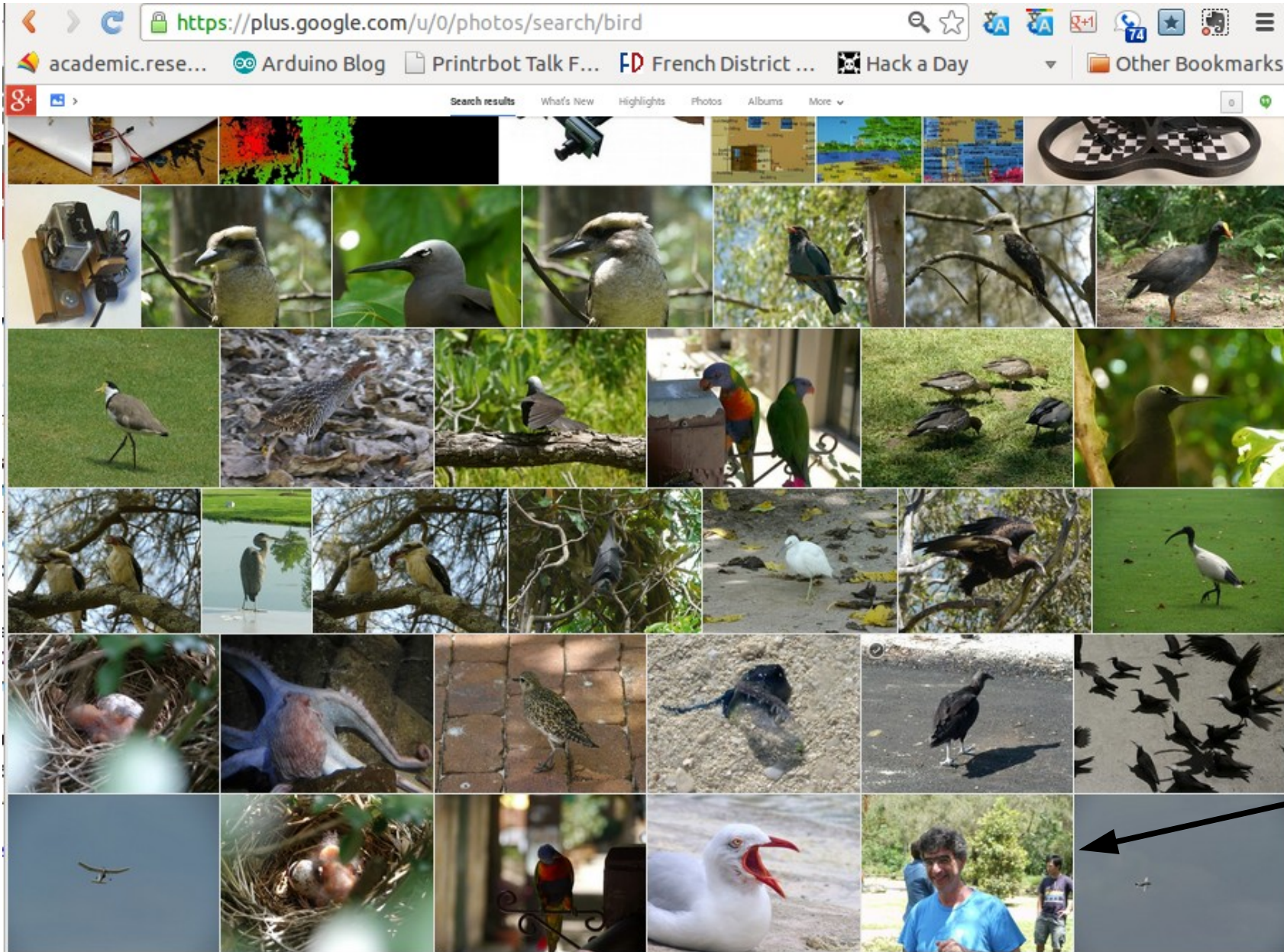
RETRIEVED IMAGES



ConvNet-Based Google+ Photo Tagger

Y LeCun
MA Ranzato

Search results for "bird"



Samy Bengio
???



Another ImageNet-trained ConvNet [Zeiler & Fergus 2013]

Y LeCun
MA Ranzato

Convolutional Net with 8 layers, input is 224x224 pixels

- ▶ conv-pool-conv-pool-conv-conv-conv-full-full-full
- ▶ Rectified-Linear Units (ReLU): $y = \max(0, x)$
- ▶ Divisive contrast normalization across features [Jarrett et al. ICCV 2009]

Trained on ImageNet 2012 training set

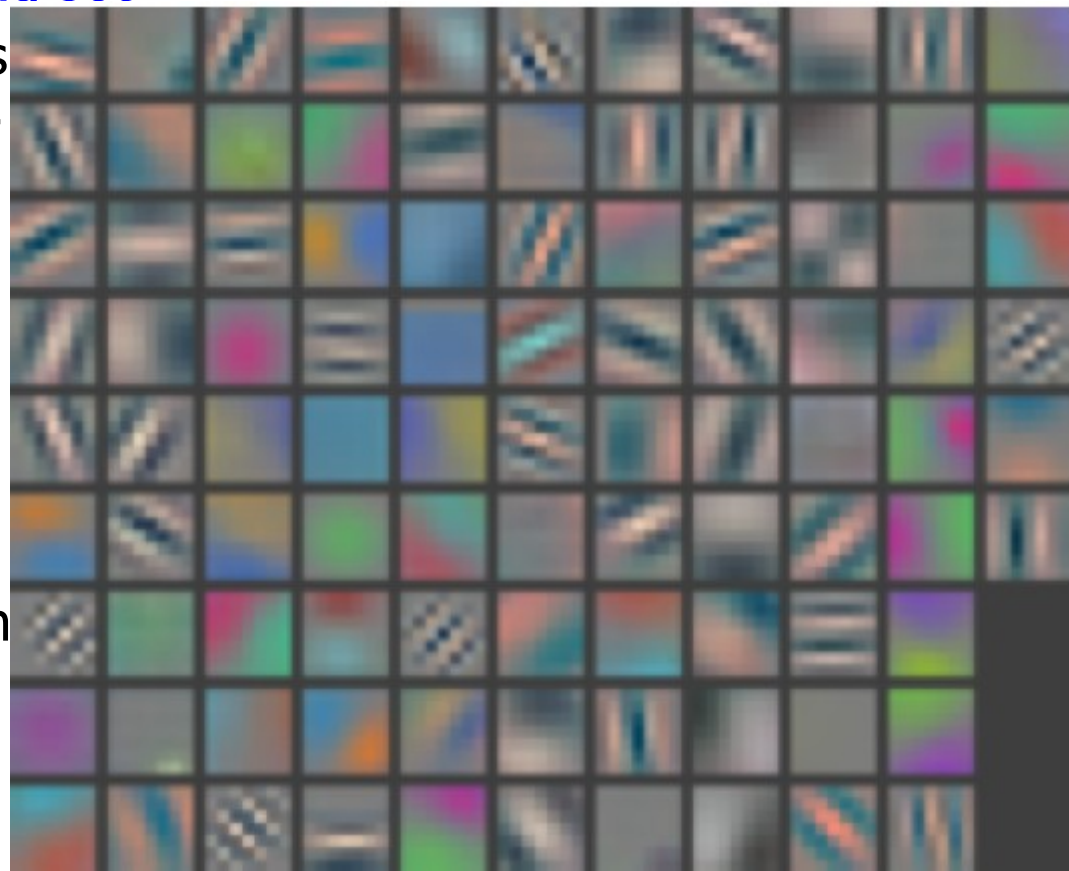
- ▶ 1.3M images, 1000 classes
- ▶ 10 different crops/flips per

Regularization: Dropout

- ▶ [Hinton 2012]
- ▶ zeroing random subsets of

Stochastic gradient descent

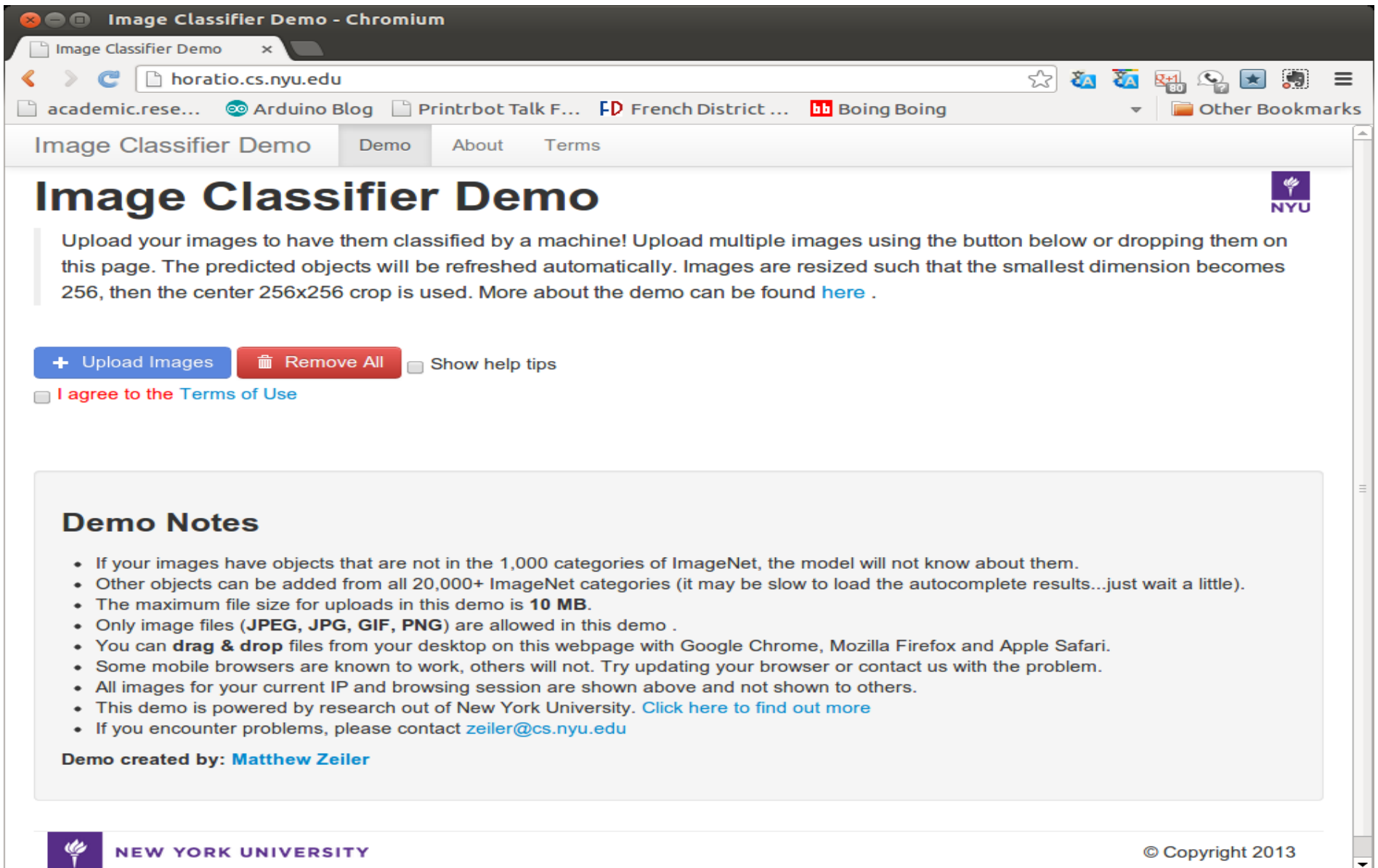
- ▶ for 70 epochs (7-10 days)
- ▶ With learning rate annealing



Object Recognition on-line demo [Zeiler & Fergus 2013]

Y LeCun
MA Ranzato

<http://horatio.cs.nyu.edu>



The screenshot shows a web browser window titled "Image Classifier Demo - Chromium". The address bar displays "horatio.cs.nyu.edu". The page content includes a navigation menu with "Image Classifier Demo", "Demo", "About", and "Terms". The main heading is "Image Classifier Demo" with the NYU logo. Below the heading is a paragraph explaining the demo: "Upload your images to have them classified by a machine! Upload multiple images using the button below or dropping them on this page. The predicted objects will be refreshed automatically. Images are resized such that the smallest dimension becomes 256, then the center 256x256 crop is used. More about the demo can be found [here](#) .". There are three buttons: "+ Upload Images" (blue), "Remove All" (red), and "Show help tips" (grey). Below these is a checkbox for "I agree to the [Terms of Use](#)". A "Demo Notes" section contains a bulleted list of instructions and contact information. At the bottom, it says "Demo created by: [Matthew Zeiler](#)". The footer features the NYU logo and "NEW YORK UNIVERSITY" on the left, and "© Copyright 2013" on the right.

Image Classifier Demo - Chromium

Image Classifier Demo x

horatio.cs.nyu.edu

academic.rese... Arduino Blog Printrbot Talk F... French District ... Boing Boing

Other Bookmarks

Image Classifier Demo Demo About Terms

Image Classifier Demo

NYU

Upload your images to have them classified by a machine! Upload multiple images using the button below or dropping them on this page. The predicted objects will be refreshed automatically. Images are resized such that the smallest dimension becomes 256, then the center 256x256 crop is used. More about the demo can be found [here](#) .

+ Upload Images Remove All Show help tips

I agree to the [Terms of Use](#)

Demo Notes

- If your images have objects that are not in the 1,000 categories of ImageNet, the model will not know about them.
- Other objects can be added from all 20,000+ ImageNet categories (it may be slow to load the autocomplete results...just wait a little).
- The maximum file size for uploads in this demo is **10 MB**.
- Only image files (**JPEG, JPG, GIF, PNG**) are allowed in this demo .
- You can **drag & drop** files from your desktop on this webpage with Google Chrome, Mozilla Firefox and Apple Safari.
- Some mobile browsers are known to work, others will not. Try updating your browser or contact us with the problem.
- All images for your current IP and browsing session are shown above and not shown to others.
- This demo is powered by research out of New York University. [Click here to find out more](#)
- If you encounter problems, please contact zeiler@cs.nyu.edu

Demo created by: [Matthew Zeiler](#)

NEW YORK UNIVERSITY

© Copyright 2013

ConvNet trained on ImageNet [Zeiler & Fergus 2013]

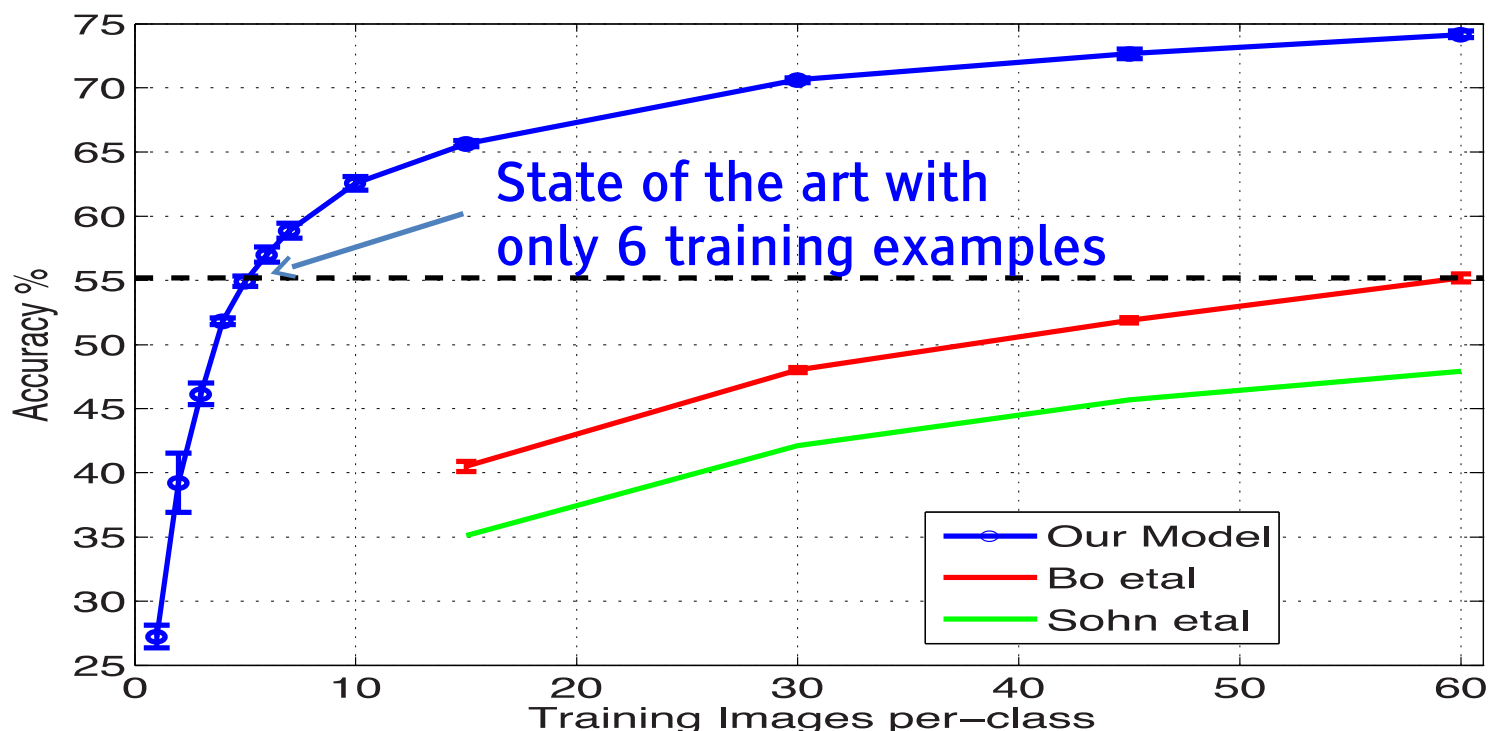
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MA Ranzato

Error %	Val Top-1	Val Top-5	Test Top-5
Deng <i>et al.</i> SIFT + FV [7]	--	--	26.2
Krizhevsky <i>et al.</i> [12], 1 convnet	40.7	18.2	--
Krizhevsky <i>et al.</i> [12], 5 convnets	38.1	16.4	16.4
*Krizhevsky <i>et al.</i> [12], 1 convnets	39.0	16.6	--
*Krizhevsky <i>et al.</i> [12], 7 convnets	36.7	15.4	15.3
Our replication of [12], 1 convnet	41.7	19.0	--
1 convnet - our model	38.4 ± 0.05	16.5 ± 0.05	--
5 convnets - our model (a)	36.7	15.3	15.3
1 convnet - tweaked model (b)	37.5	16.0	16.1
6 convnets, (a) & (b) combined	36.0	14.7	14.8

Features are generic: Caltech 256

Y LeCun
MA Ranzato

- Network first trained on ImageNet.
- Last layer chopped off
- Last layer trained on Caltech 256,
- first layers N-1 kept fixed.



- State of the art accuracy with only 6 training samples/class

# Train	Acc % 15/class	Acc % 30/class	Acc % 45/class	Acc % 60/class
Sohn <i>et al.</i> [16]	35.1	42.1	45.7	47.9
Bo <i>et al.</i> [3]	40.5 ± 0.4	48.0 ± 0.2	51.9 ± 0.2	55.2 ± 0.3
Non-pretr.	9.0 ± 1.4	22.5 ± 0.7	31.2 ± 0.5	38.8 ± 1.4
ImageNet-pretr.	65.7 ± 0.2	70.6 ± 0.2	72.7 ± 0.4	74.2 ± 0.3

Features are generic: PASCAL VOC 2012

Y LeCun
MA Ranzato

- Network first trained on ImageNet.
- Last layer trained on Pascal VOC, keeping N-1 first layers fixed.

Acc %	[15]	[19]	Ours	Acc %	[15]	[19]	Ours
Airplane	92.0	97.3	96.0	Dining table	63.2	77.8	67.7
Bicycle	74.2	84.2	77.1	Dog	68.9	83.0	87.8
Bird	73.0	80.8	88.4	Horse	78.2	87.5	86.0
Boat	77.5	85.3	85.5	Motorbike	81.0	90.1	85.1
Bottle	54.3	60.8	55.8	Person	91.6	95.0	90.9
Bus	85.2	89.9	85.8	Potted plant	55.9	57.8	52.2
Car	81.9	86.8	78.6	Sheep	69.4	79.2	83.6
Cat	76.4	89.3	91.2	Sofa	65.4	73.4	61.1
Chair	65.2	75.4	65.0	Train	86.7	94.5	91.8
Cow	63.2	77.8	74.4	Tv/monitor	77.4	80.7	76.1
Mean	74.3	82.2	79.0	# won	0	15	5

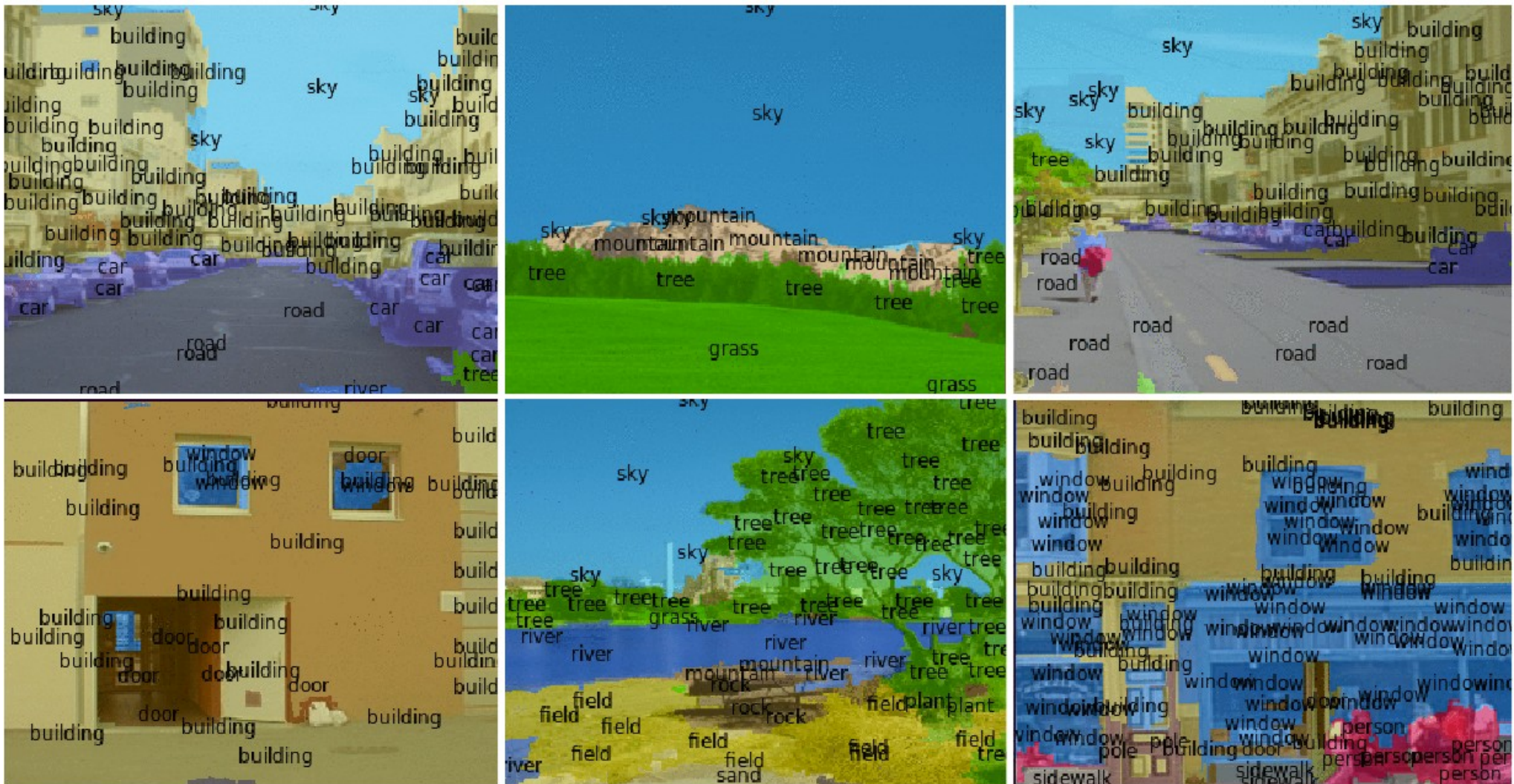
[15] K. Sande, J. Uijlings, C. Snoek, and A. Smeulders. Hybrid coding for selective search. In PASCAL VOC Classification Challenge 2012,

[19] S. Yan, J. Dong, Q. Chen, Z. Song, Y. Pan, W. Xia, Z. Huang, Y. Hua, and S. Shen. Generalized hierarchical matching for sub-category aware object classification. In PASCAL VOC Classification Challenge 2012

Semantic Labeling: Labeling every pixel with the object it belongs to

Y LeCun
MA Ranzato

- Would help identify obstacles, targets, landing sites, dangerous areas
- Would help line up depth map with edge maps



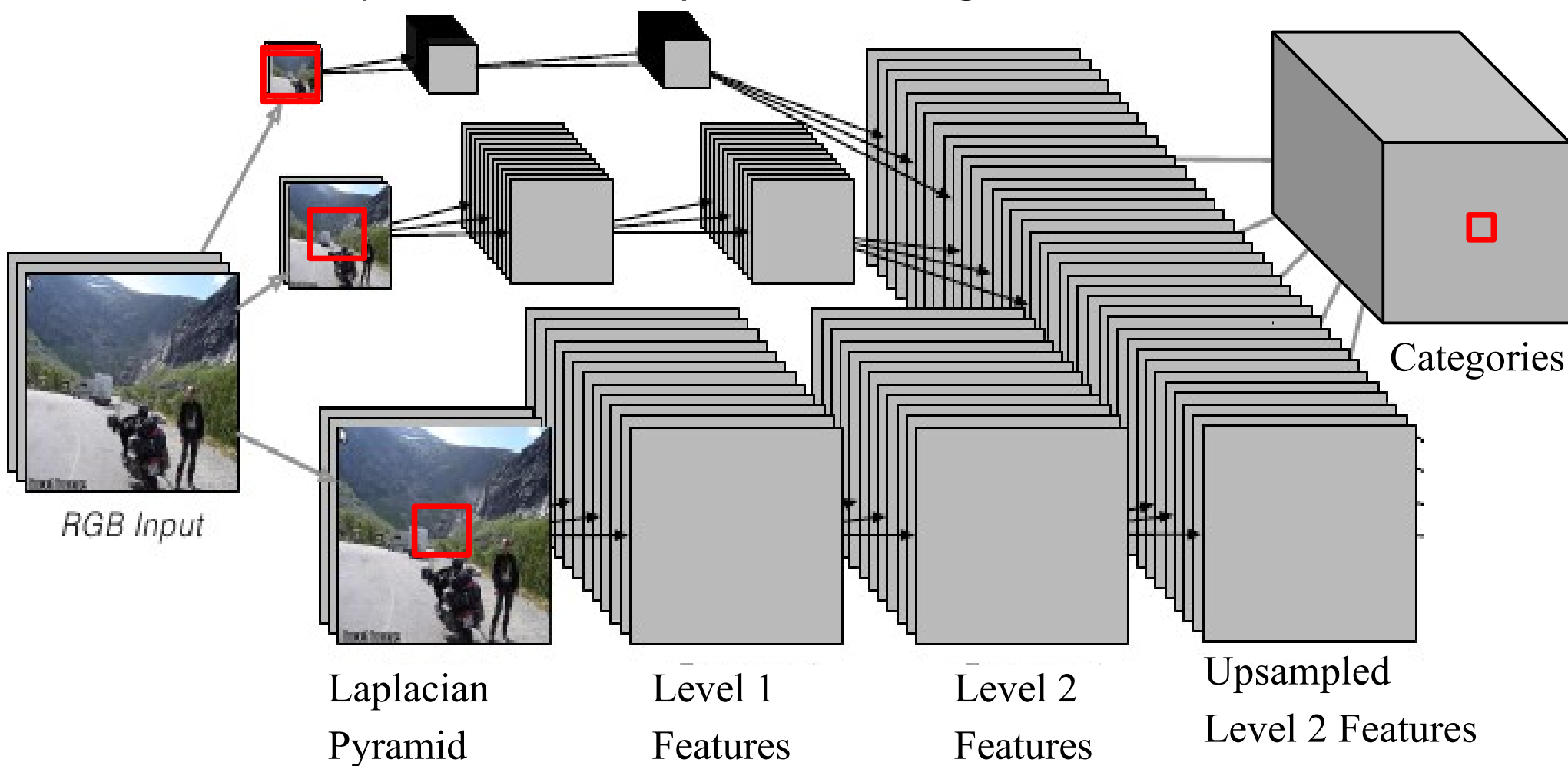
[Farabet et al. ICML 2012, PAMI 2013]

Scene Parsing/Labeling: ConvNet Architecture

Y LeCun
MA Ranzato

Each output sees a large input context:

- ▶ **46x46** window at full rez; **92x92** at $\frac{1}{2}$ rez; **184x184** at $\frac{1}{4}$ rez
- ▶ [7x7conv]->[2x2pool]->[7x7conv]->[2x2pool]->[7x7conv]->
- ▶ Trained supervised on fully-labeled images



Stanford Background Dataset [Gould 1009]: 8 categories

	Pixel Acc.	Class Acc.	CT (sec.)
Gould <i>et al.</i> 2009 [14]	76.4%	-	10 to 600s
Munoz <i>et al.</i> 2010 [32]	76.9%	66.2%	12s
Tighe <i>et al.</i> 2010 [46]	77.5%	-	10 to 300s
Socher <i>et al.</i> 2011 [45]	78.1%	-	?
Kumar <i>et al.</i> 2010 [22]	79.4%	-	< 600s
Lempitzky <i>et al.</i> 2011 [28]	81.9%	72.4%	> 60s
singlescale convnet	66.0 %	56.5 %	0.35s
multiscale convnet	78.8 %	72.4%	0.6s
multiscale net + superpixels	80.4%	74.56%	0.7s
multiscale net + gPb + cover	80.4%	75.24%	61s
multiscale net + CRF on gPb	81.4%	76.0%	60.5s

Scene Parsing/Labeling: Performance

Y LeCun
MA Ranzato

- SIFT Flow Dataset
- [Liu 2009]:
- 33 categories

	Pixel Acc.	Class Acc.
Liu <i>et al.</i> 2009 [31]	74.75%	-
Tighe <i>et al.</i> 2010 [44]	76.9%	29.4%
raw multiscale net ¹	67.9%	45.9%
multiscale net + superpixels ¹	71.9%	50.8%
multiscale net + cover ¹	72.3%	50.8%
multiscale net + cover ²	78.5%	29.6%

- Barcelona dataset
- [Tighe 2010]:
- 170 categories.

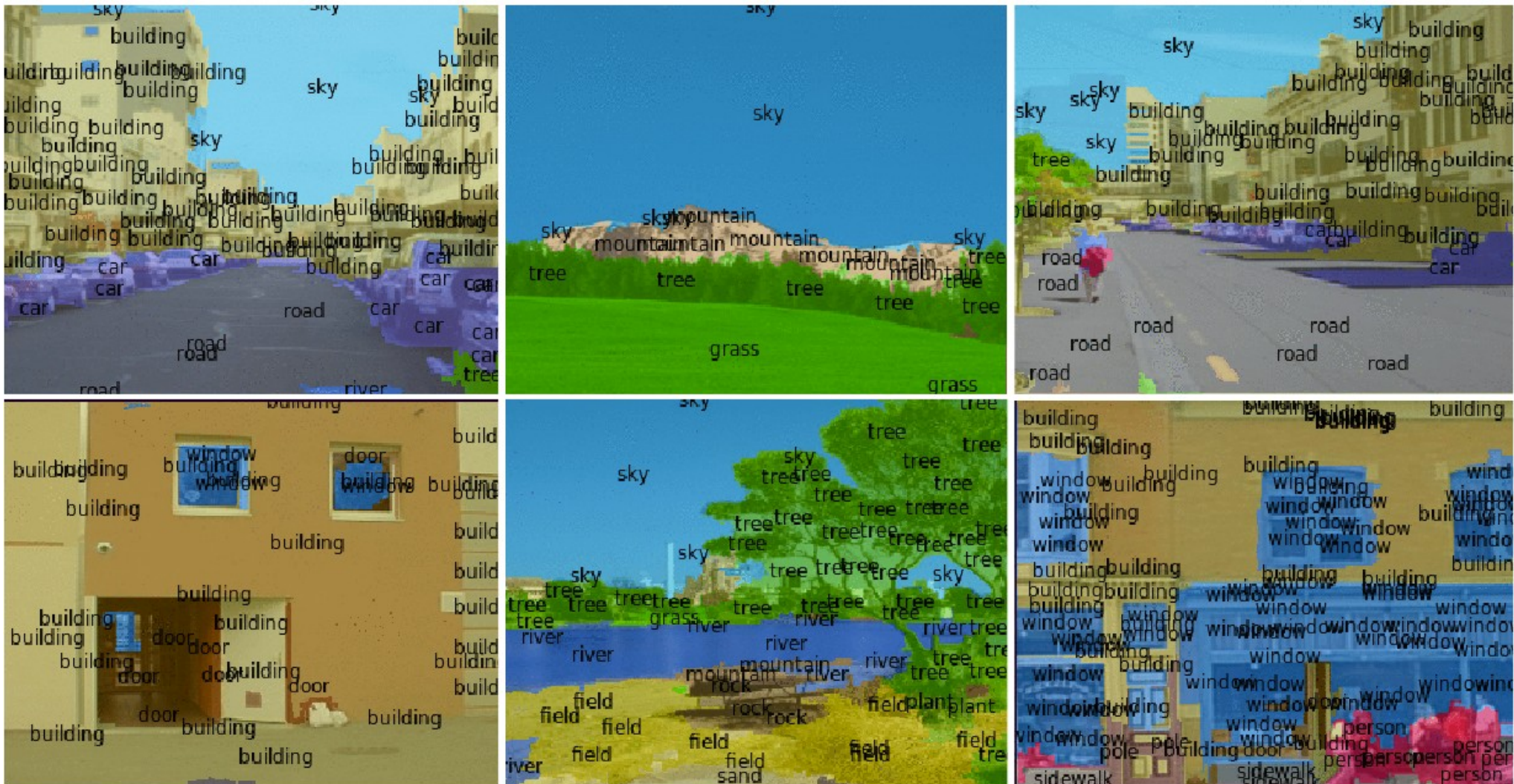
	Pixel Acc.	Class Acc.
Tighe <i>et al.</i> 2010 [44]	66.9%	7.6%
raw multiscale net ¹	37.8%	12.1%
multiscale net + superpixels ¹	44.1%	12.4%
multiscale net + cover ¹	46.4%	12.5%
multiscale net + cover ²	67.8%	9.5%

[Farabet et al. IEEE T. PAMI 2012]

Scene Parsing/Labeling: SIFT Flow dataset (33 categories)

Y LeCun
MA Ranzato

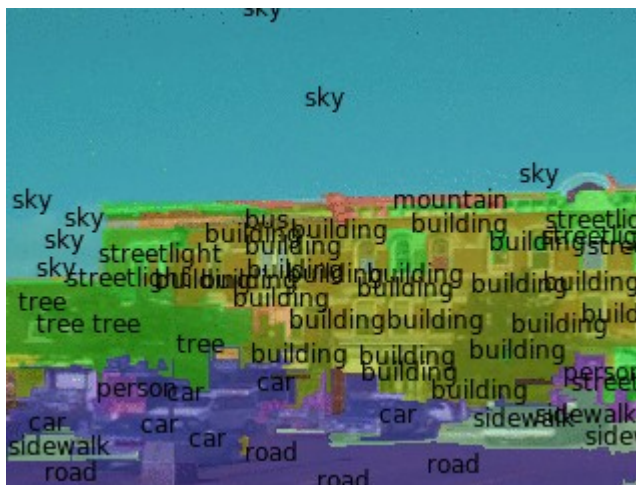
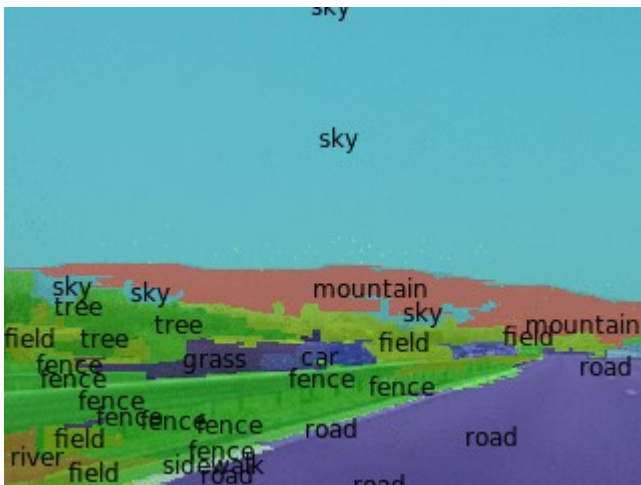
■ Samples from the SIFT-Flow dataset (Liu)



[Farabet et al. ICML 2012, PAMI 2013]

Scene Parsing/Labeling: SIFT Flow dataset (33 categories)

Y LeCun
MA Ranzato



[Farabet et al. ICML 2012, PAMI 2013]

Scene Parsing/Labeling

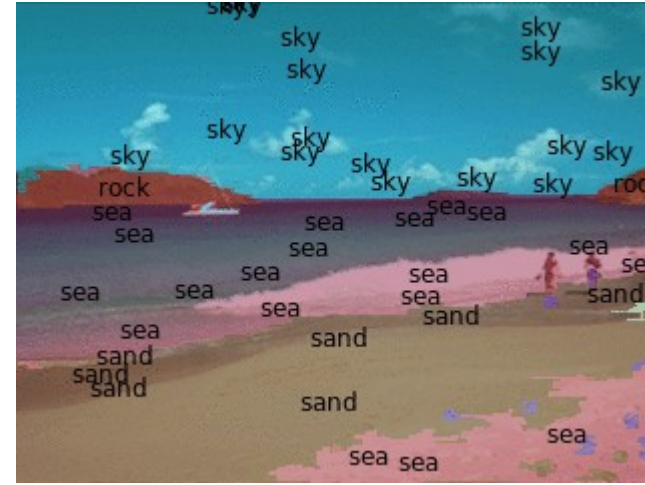
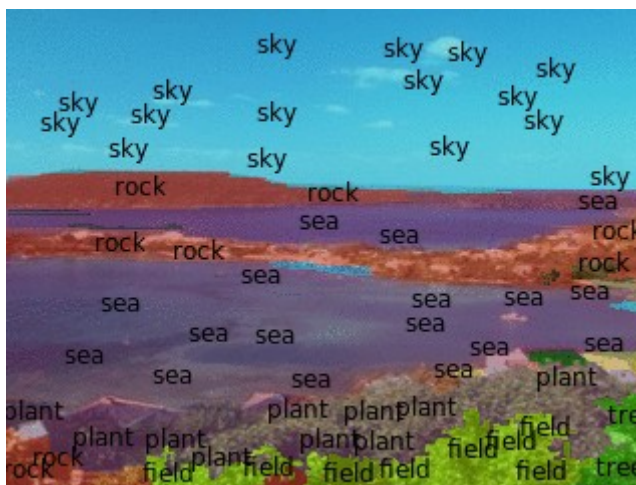
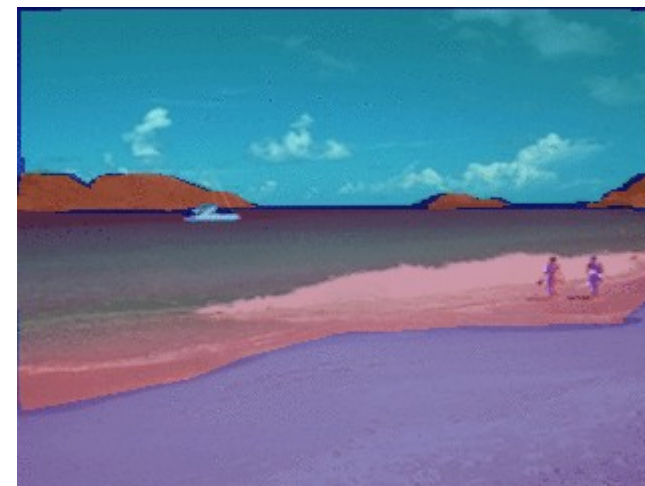
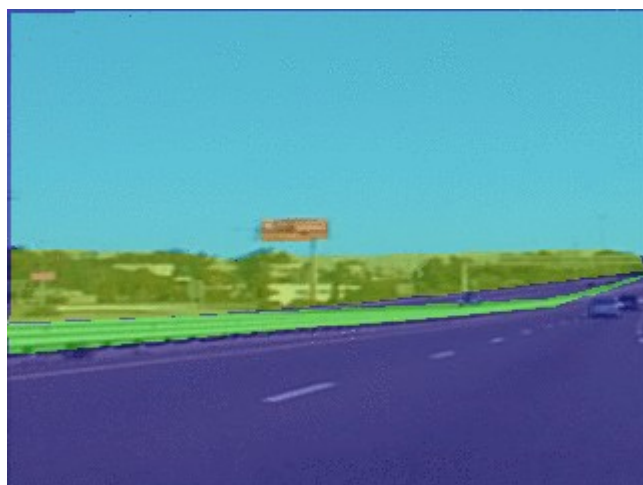
Y LeCun
MA Ranzato



[Farabet et al. ICML 2012, PAMI 2013]

Scene Parsing/Labeling

Y LeCun
MA Ranzato



[Farabet et al. ICML 2012, PAMI 2013]

Scene Parsing/Labeling

Y LeCun
MA Ranzato



[Farabet et al. ICML 2012, PAMI 2013]

Scene Parsing/Labeling

Y LeCun
MA Ranzato



[Farabet et al. ICML 2012, PAMI 2013]

Scene Parsing/Labeling

Y LeCun
MA Ranzato



- No post-processing
- Frame-by-frame
- ConvNet runs at 50ms/frame on Virtex-6 FPGA hardware
 - ▶ But communicating the features over ethernet limits system performance

Scene Parsing/Labeling: Temporal Consistency

Y LeCun
MA Ranzato



■ Causal method for temporal consistency

[Couprie, Farabet, Najman, LeCun ICLR 2013, ICIP 2013]

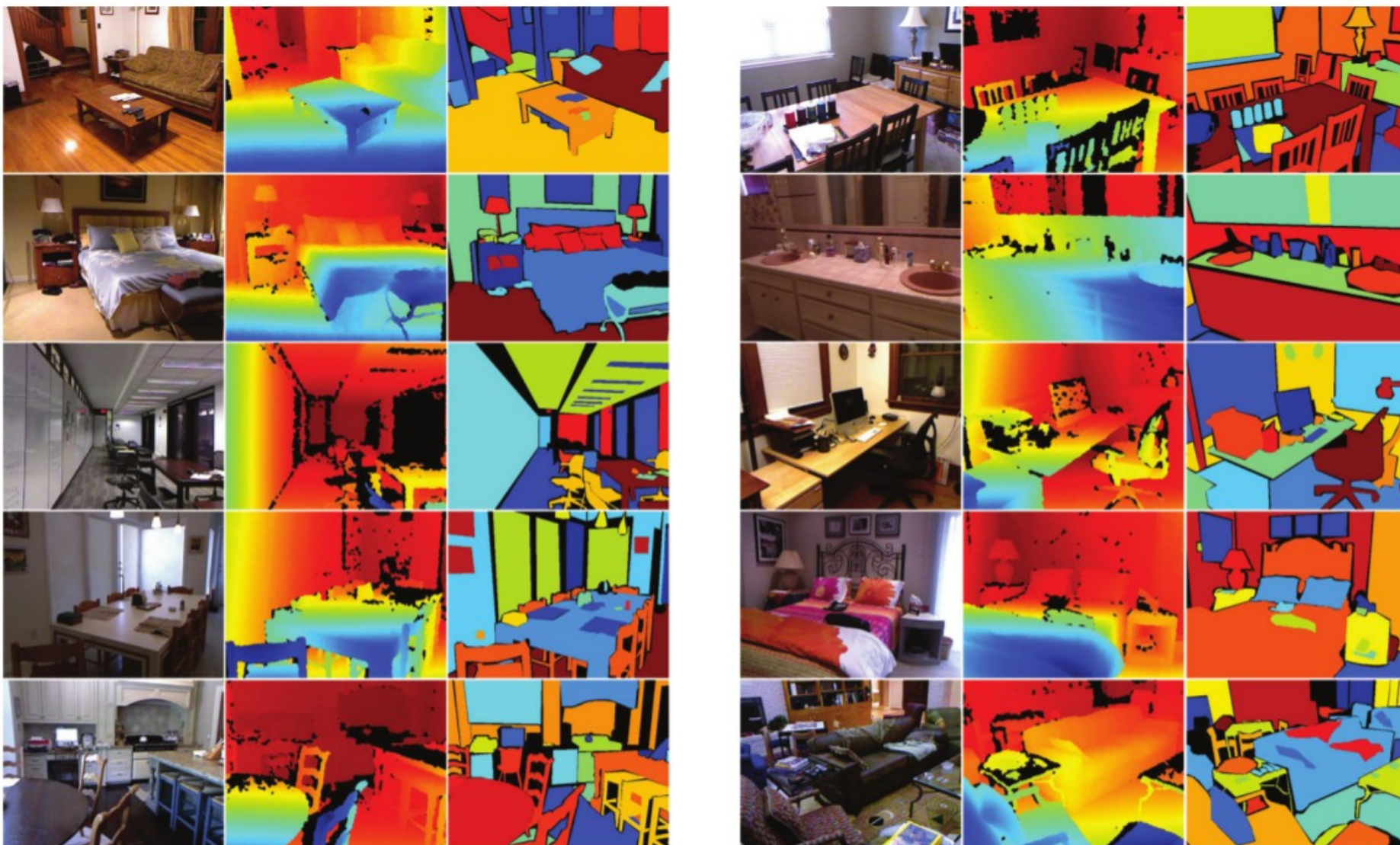
NYU RGB-Depth Indoor Scenes Dataset

Y LeCun
MA Ranzato

407024 RGB-D images of apartments

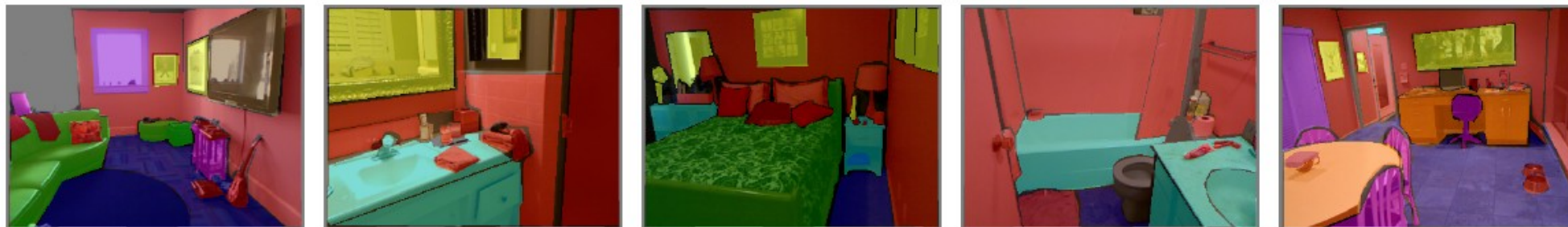
[Silberman et al. 2012]

1449 labeled frames, 894 object categories

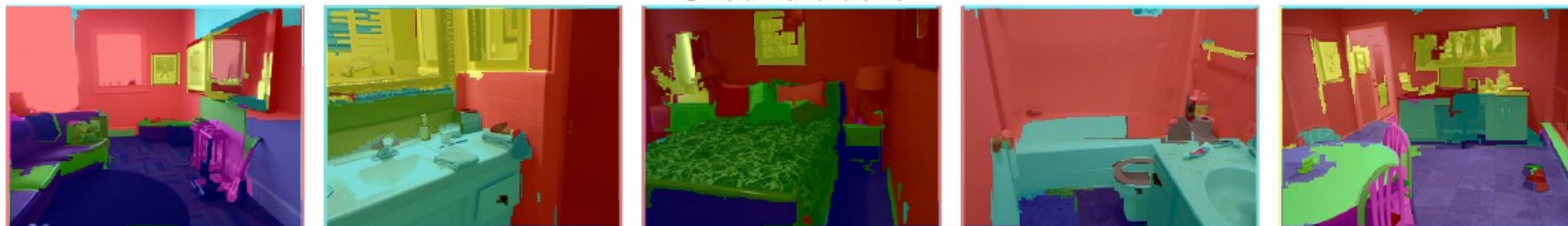


Scene Parsing/Labeling on RGB+Depth Images















Y LeCun
MA Ranzato



Ground truths



Our results

- | | | | | | | |
|-----------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|
|  wall |  books |  chair |  furniture |  sofa |  object |  TV |
|  bed |  ceiling |  floor |  pict./deco |  table |  window |  uknw |

[Couprie, Farabet, Najman, LeCun ICLR 2013, ICIP 2013]

Scene Parsing/Labeling on RGB+Depth Images

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MA Ranzato

■ wall ■ books ■ chair ■ furniture ■ sofa ■ object ■ TV
■ bed ■ ceiling ■ floor ■ pict./deco ■ table ■ window ■ uknw



Ground truths



Our results

[Couprie, Farabet, Najman, LeCun ICLR 2013, ICIP 2013]

Semantic Segmentation on RGB+D Images and Videos

Y LeCun
MA Ranzato



[Couprie, Farabet, Najman, LeCun ICLR 2013, ICIP 2013]

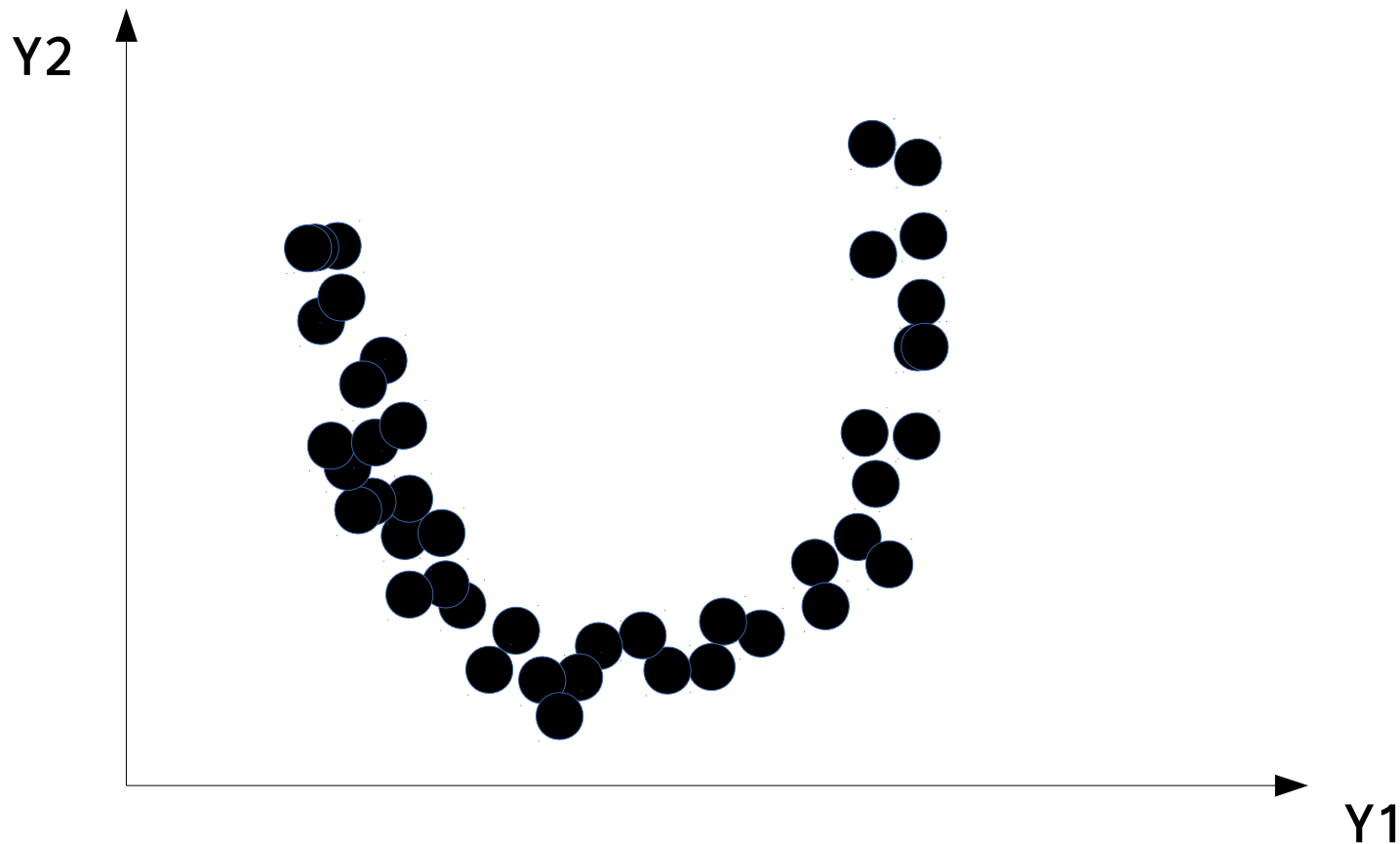


Energy-Based Unsupervised Learning

Energy-Based Unsupervised Learning

Y LeCun
MA Ranzato

- Learning an **energy function** (or contrast function) that takes
 - ▶ Low values on the data manifold
 - ▶ Higher values everywhere else



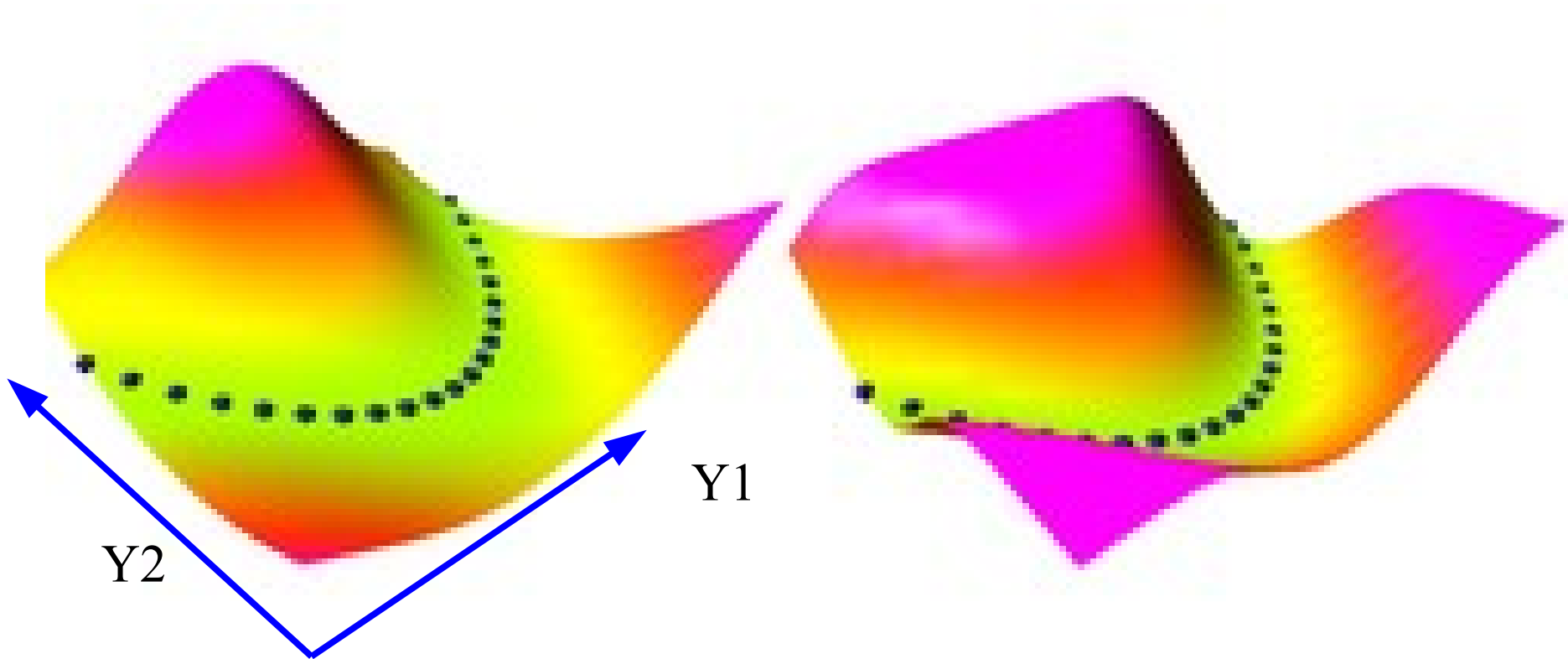
Capturing Dependencies Between Variables with an Energy Function

Y LeCun
MA Ranzato

■ The energy surface is a “contrast function” that takes low values on the data manifold, and higher values everywhere else

▶ Special case: energy = negative log density

▶ Example: the samples live in the manifold $Y_2 = (Y_1)^2$

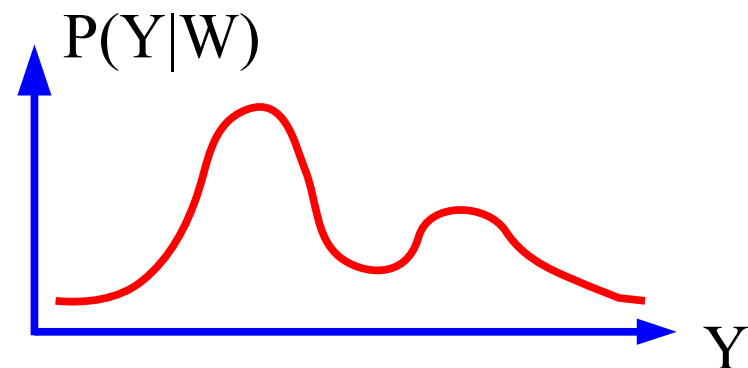


Transforming Energies into Probabilities (if necessary)

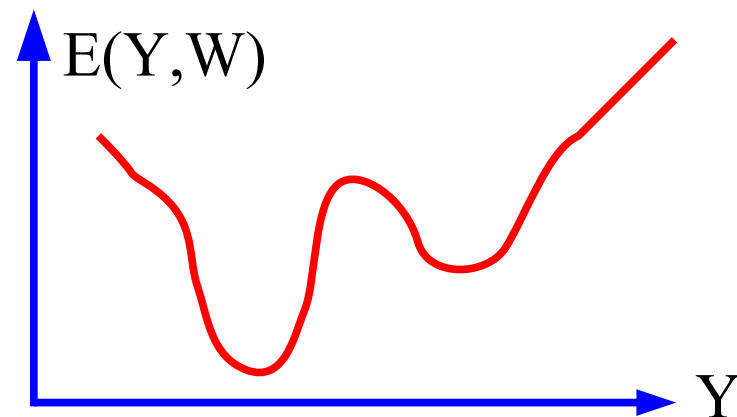
Y LeCun
MA Ranzato

- The energy can be interpreted as an unnormalized negative log density
- Gibbs distribution: Probability proportional to $\exp(-\text{energy})$
 - ▶ Beta parameter is akin to an inverse temperature
- Don't compute probabilities unless you absolutely have to
 - ▶ Because the denominator is often intractable

$$P(Y|W) = \frac{e^{-\beta E(Y,W)}}{\int_y e^{-\beta E(y,W)}}$$

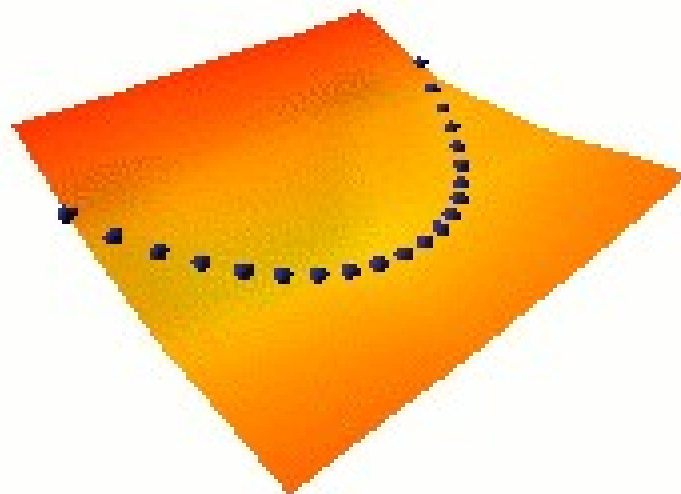
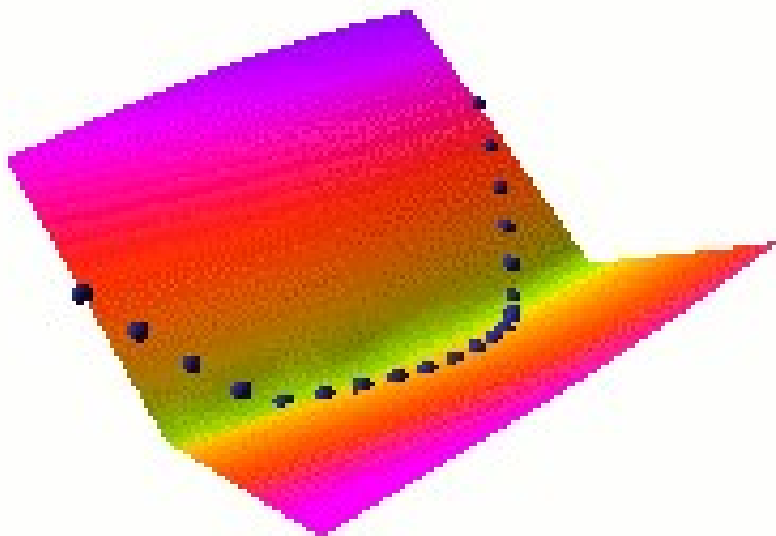


$$E(Y,W) \propto -\log P(Y|W)$$



parameterized energy function $E(Y,W)$

- ▶ Make the energy low on the samples
- ▶ Make the energy higher everywhere else
- ▶ Making the energy low on the samples is easy
- ▶ **But how do we make it higher everywhere else?**



Seven Strategies to Shape the Energy Function

Y LeCun
MA Ranzato

1. build the machine so that the volume of low energy stuff is constant
 - ▶ PCA, K-means, GMM, square ICA
2. push down of the energy of data points, push up everywhere else
 - ▶ Max likelihood (needs tractable partition function)
3. push down of the energy of data points, push up on chosen locations
 - ▶ contrastive divergence, Ratio Matching, Noise Contrastive Estimation, Minimum Probability Flow
4. minimize the gradient and maximize the curvature around data points
 - ▶ score matching
5. train a dynamical system so that the dynamics goes to the manifold
 - ▶ denoising auto-encoder
6. use a regularizer that limits the volume of space that has low energy
 - ▶ Sparse coding, sparse auto-encoder, PSD
7. if $E(Y) = \|Y - G(Y)\|^2$, make $G(Y)$ as "constant" as possible.
 - ▶ Contracting auto-encoder, saturating auto-encoder

#1: constant volume of low energy

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MA Ranzato

1. build the machine so that the volume of low energy stuff is constant

▶ PCA, K-means, GMM, square ICA...

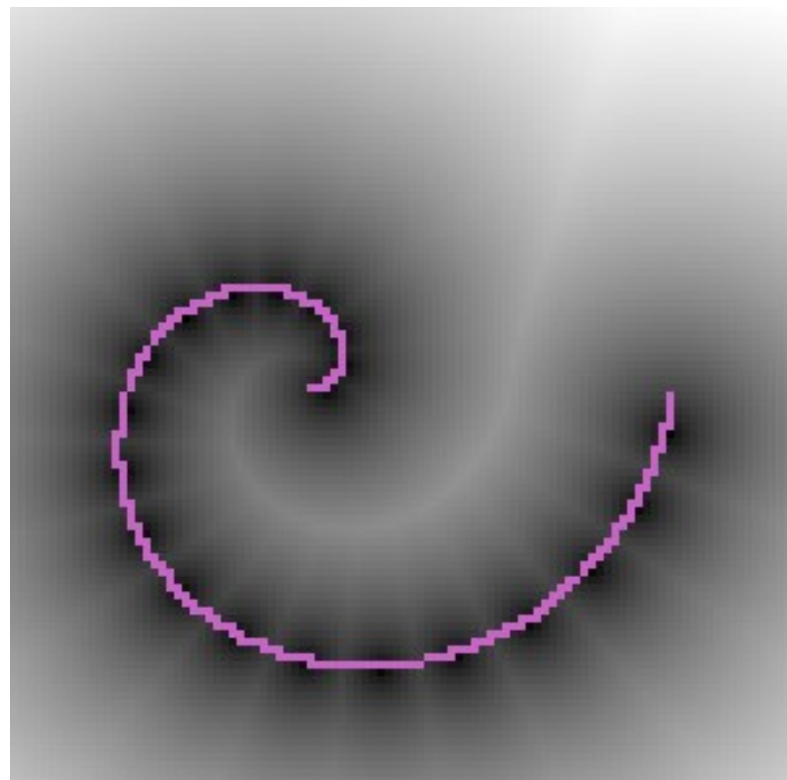
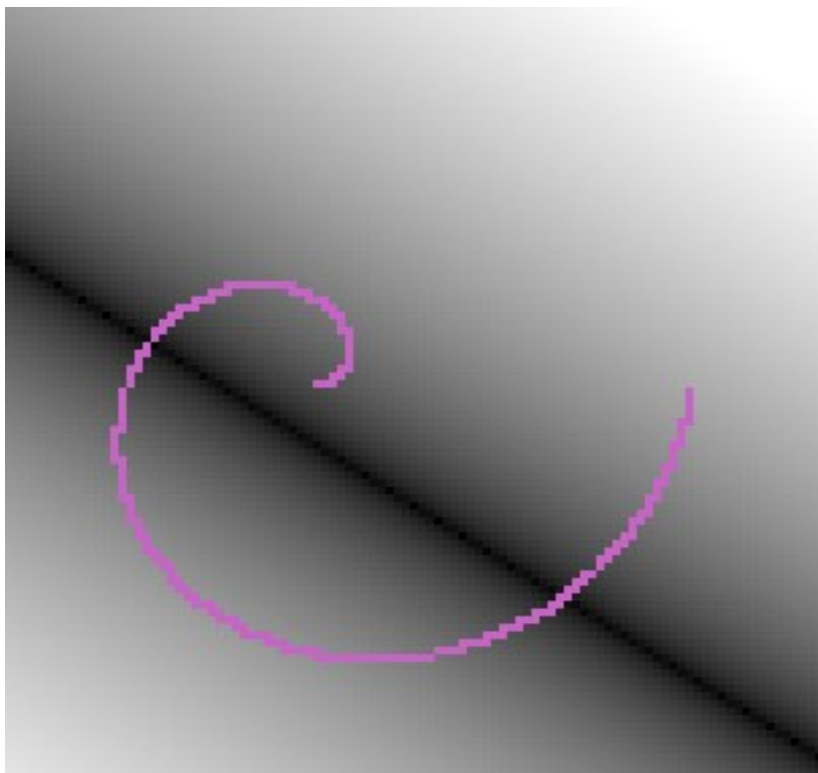
PCA

$$E(Y) = \|W^T WY - Y\|^2$$

K-Means,

Z constrained to 1-of-K code

$$E(Y) = \min_z \sum_i \|Y - W_i Z_i\|^2$$



#2: push down of the energy of data points, push up everywhere else

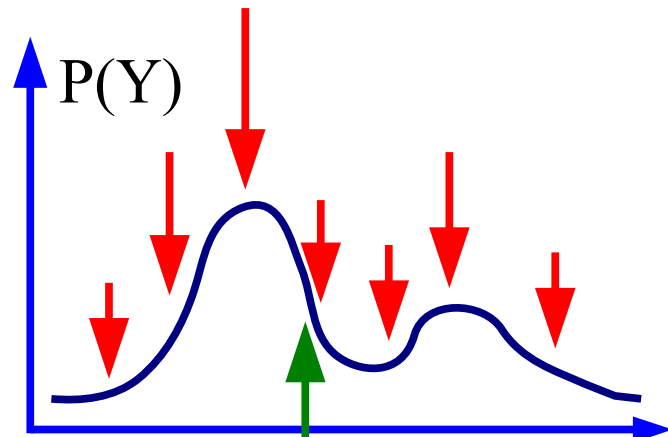
Max likelihood (requires a tractable partition function)

Maximizing $P(Y|W)$ on training samples

$$P(Y|W) = \frac{e^{-\beta E(Y,W)}}{\int_y e^{-\beta E(y,W)}$$

make this big (green arrow pointing to numerator)

make this small (red arrow pointing to denominator)

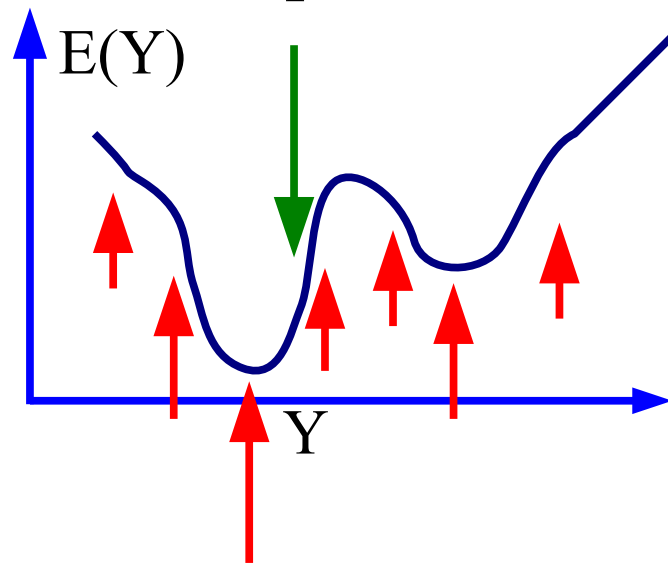


Minimizing $-\log P(Y,W)$ on training samples

$$L(Y, W) = E(Y, W) + \frac{1}{\beta} \log \int_y e^{-\beta E(y,W)}$$

make this small (green arrow pointing to $E(Y, W)$)

make this big (red arrow pointing to the integral term)



#2: push down of the energy of data points, push up everywhere else

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Gradient of the negative log-likelihood loss for one sample Y :

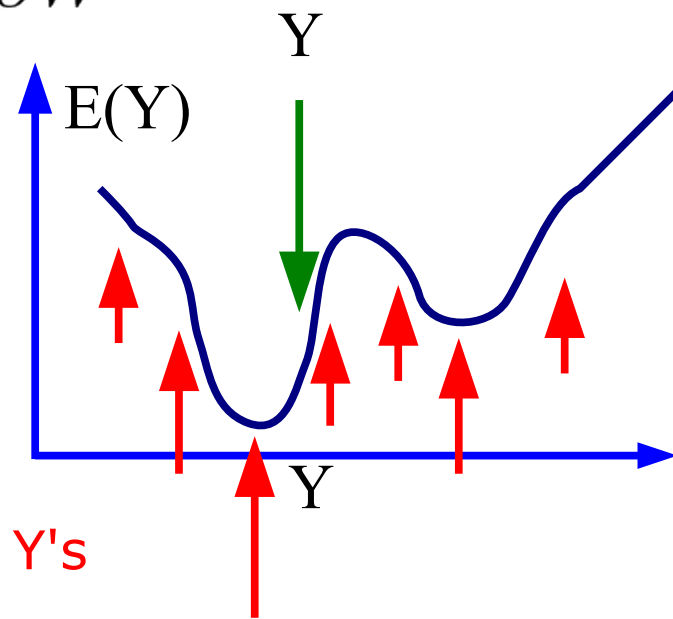
$$\frac{\partial L(Y, W)}{\partial W} = \frac{\partial E(Y, W)}{\partial W} - \int_y P(y|W) \frac{\partial E(y, W)}{\partial W}$$

Gradient descent:

$$W \leftarrow W - \eta \frac{\partial L(Y, W)}{\partial W}$$

Pushes down on the energy of the samples

Pulls up on the energy of low-energy Y 's



$$W \leftarrow W - \eta \frac{\partial E(Y, W)}{\partial W} + \eta \int_y P(y|W) \frac{\partial E(y, W)}{\partial W}$$

#3. push down of the energy of data points, push up on chosen locations

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MA Ranzato

- **contrastive divergence, Ratio Matching, Noise Contrastive Estimation, Minimum Probability Flow**
- **Contrastive divergence: basic idea**
 - ▶ Pick a training sample, lower the energy at that point
 - ▶ From the sample, move down in the energy surface with noise
 - ▶ Stop after a while
 - ▶ Push up on the energy of the point where we stopped
 - ▶ This creates grooves in the energy surface around data manifolds
 - ▶ CD can be applied to any energy function (not just RBMs)
- **Persistent CD: use a bunch of “particles” and remember their positions**
 - ▶ Make them roll down the energy surface with noise
 - ▶ Push up on the energy wherever they are
 - ▶ Faster than CD

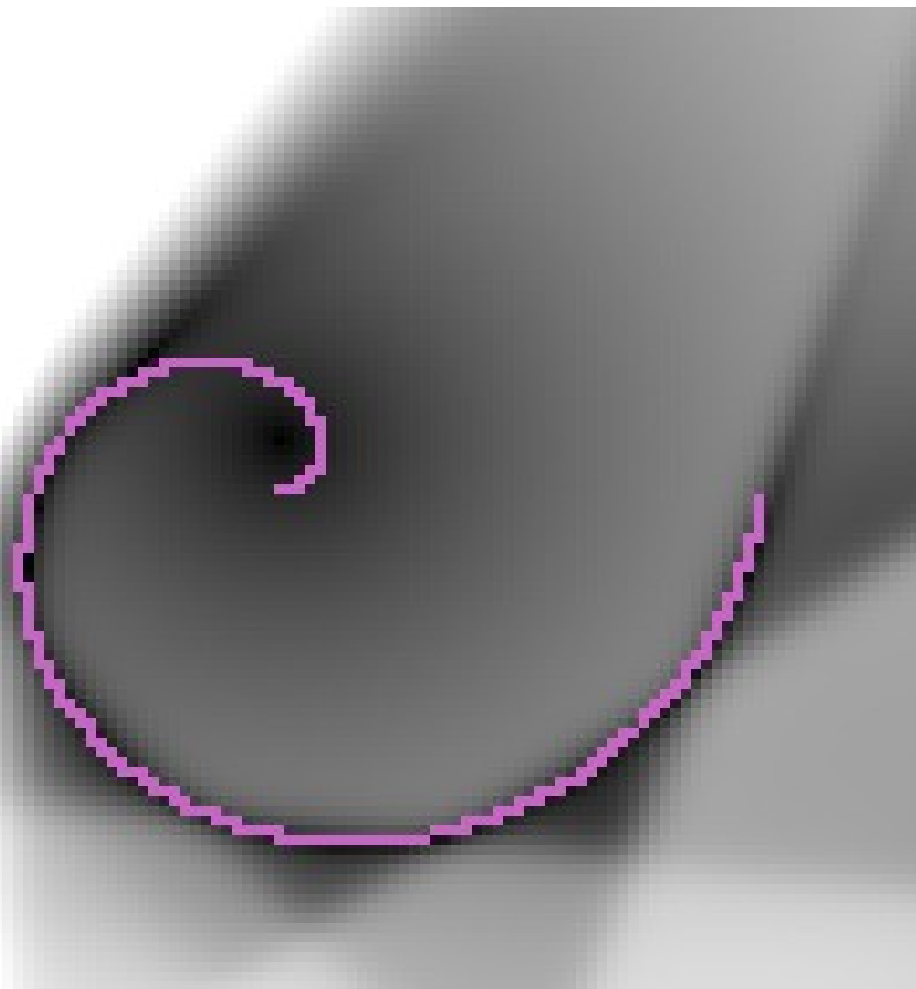
■ RBM

$$E(Y, Z) = -Z^T W Y \quad E(Y) = -\log \sum_z e^{Z^T W Y}$$

#6. use a regularizer that limits the volume of space that has low energy

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MA Ranzato

■ Sparse coding, sparse auto-encoder, Predictive Sparse Decomposition



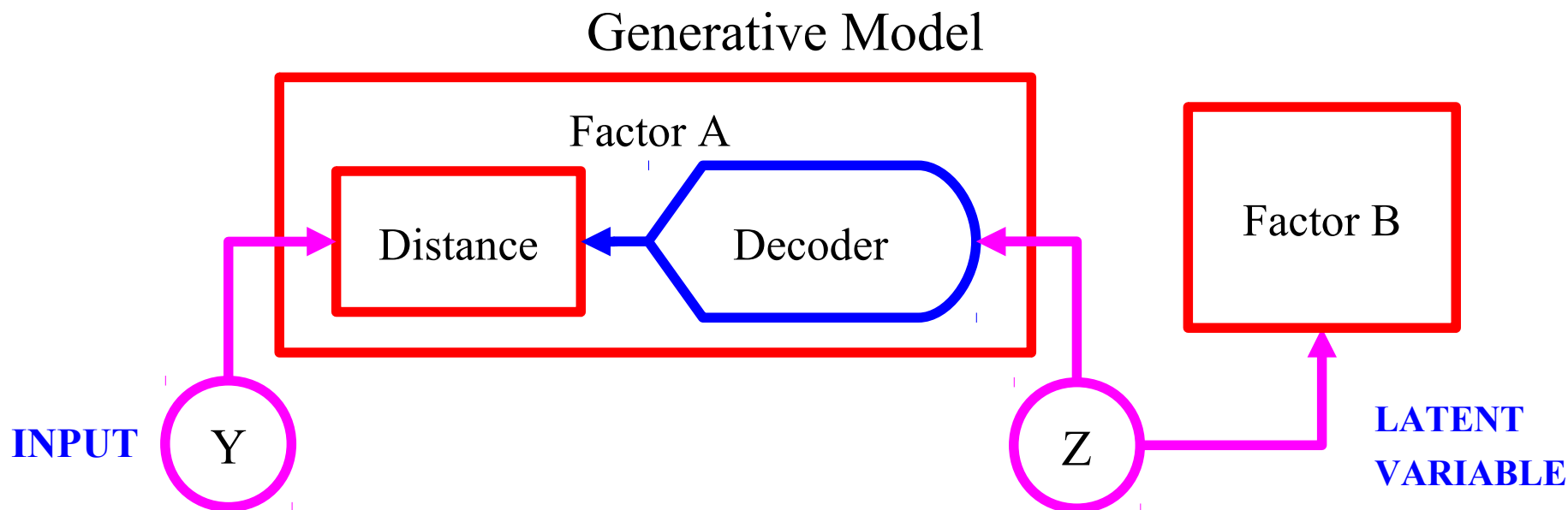


**Sparse Modeling,
Sparse Auto-Encoders,
Predictive Sparse Decomposition
LISTA**

How to Speed Up Inference in a Generative Model?

Y LeCun
MA Ranzato

- Factor Graph with an asymmetric factor
- Inference $Z \rightarrow Y$ is easy
 - ▶ Run Z through deterministic decoder, and sample Y
- Inference $Y \rightarrow Z$ is hard, particularly if Decoder function is many-to-one
 - ▶ MAP: minimize sum of two factors with respect to Z
 - ▶ $Z^* = \operatorname{argmin}_z \text{Distance}[\text{Decoder}(Z), Y] + \text{FactorB}(Z)$
- Examples: K-Means (1 of K), Sparse Coding (sparse), Factor Analysis

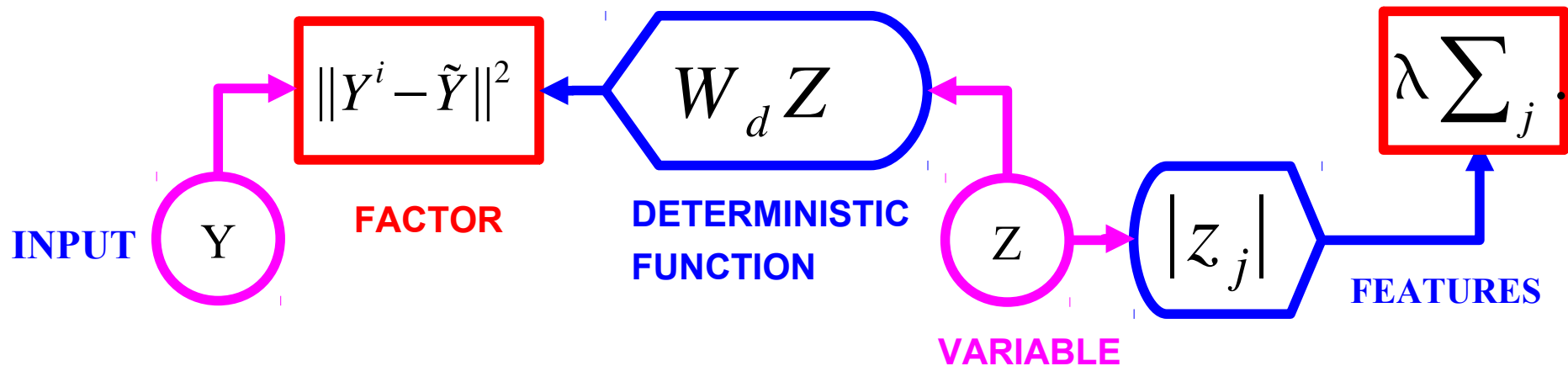


[Olshausen & Field 1997]

■ Sparse linear reconstruction

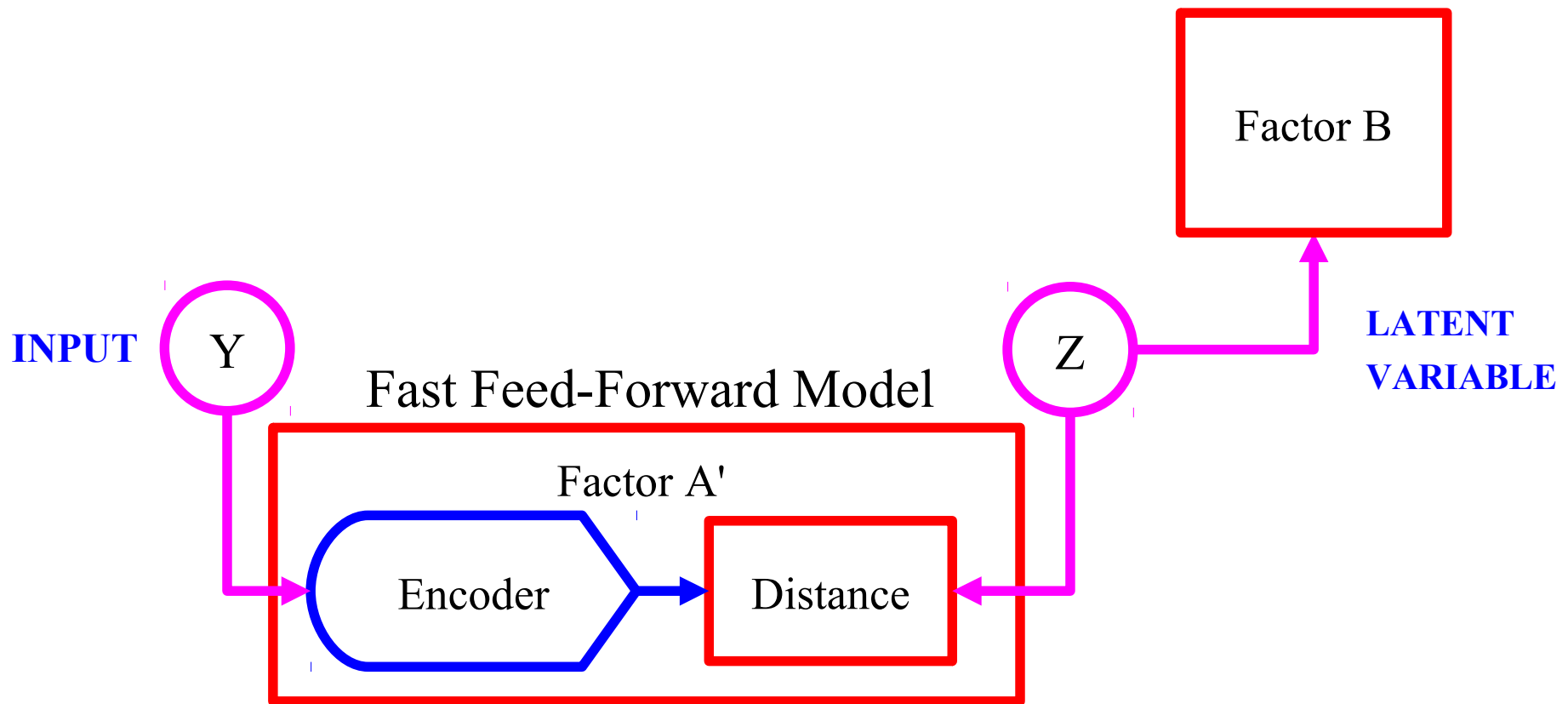
■ Energy = reconstruction_error + code_prediction_error + code_sparsity

$$E(Y^i, Z) = \|Y^i - W_d Z\|^2 + \lambda \sum_j |z_j|$$



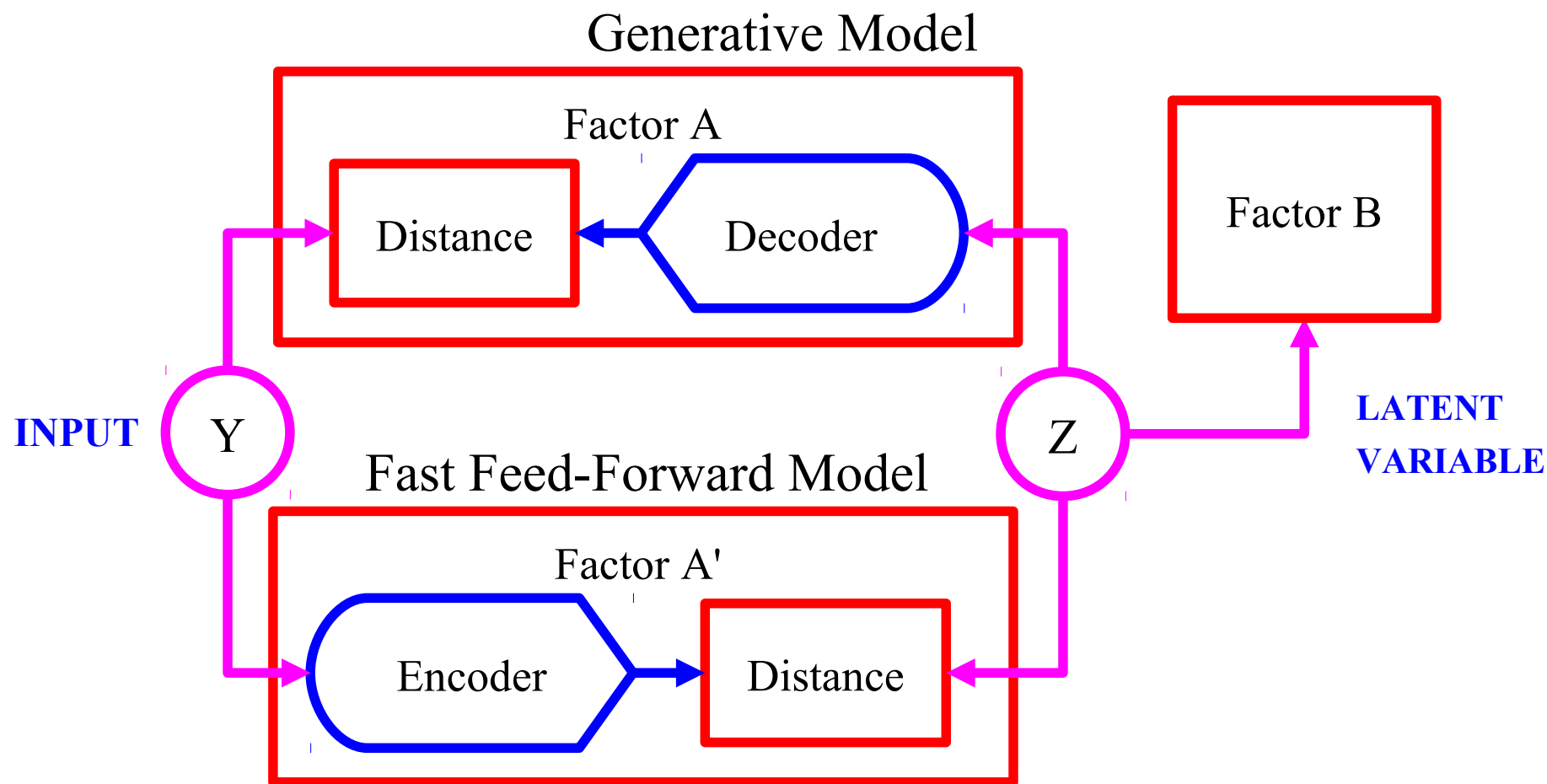
■ Inference is slow $Y \rightarrow \hat{Z} = \operatorname{argmin}_Z E(Y, Z)$

Examples: most ICA models, Product of Experts



[Kavukcuoglu, Ranzato, LeCun, rejected by every conference, 2008-2009]

- Train a “simple” feed-forward function to predict the result of a complex optimization on the data points of interest

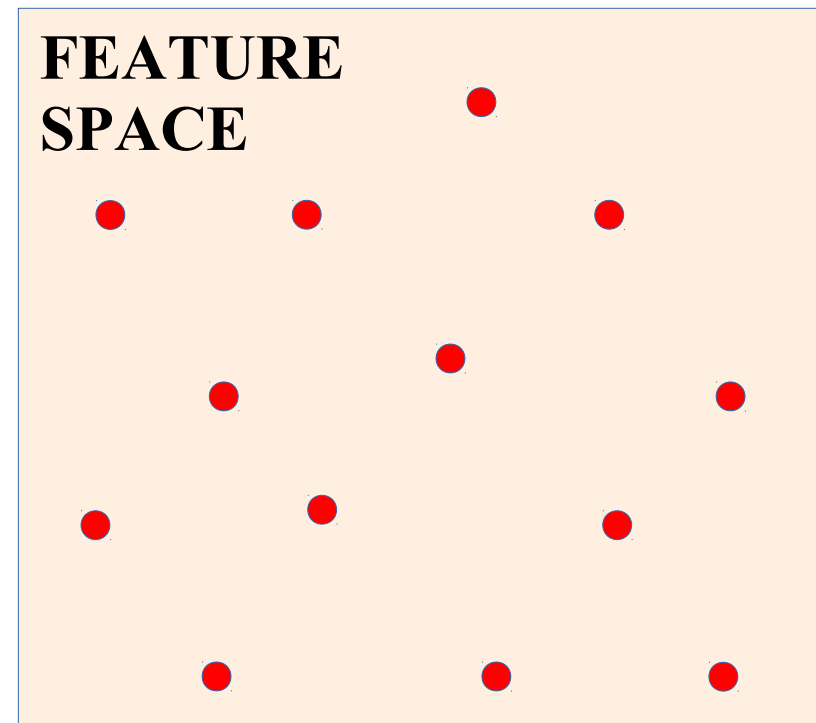
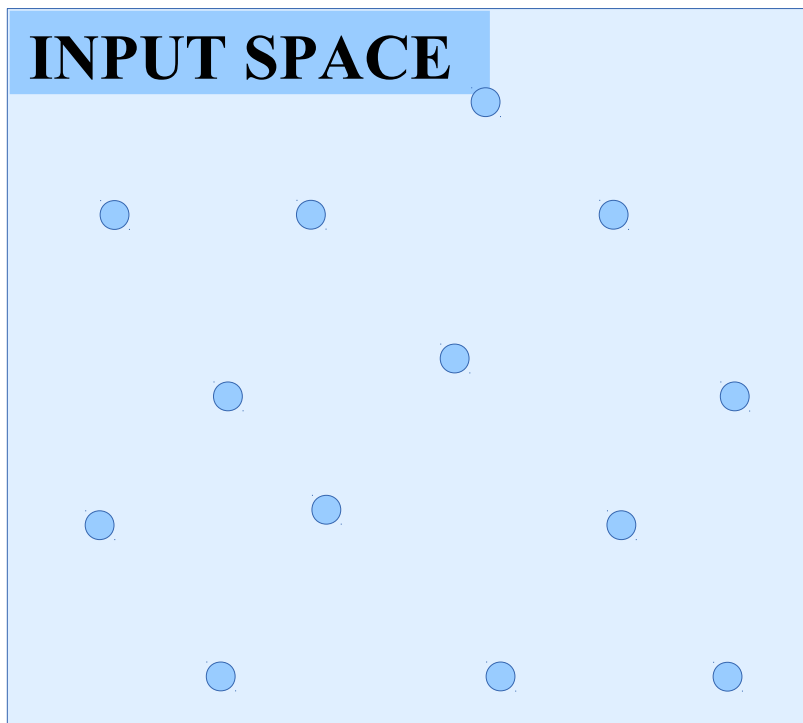


1. Find optimal Z_i for all Y_i ; 2. Train Encoder to predict Z_i from Y_i

Why Limit the Information Content of the Code?

Y LeCun
MA Ranzato

- Training sample
- Input vector which is **NOT** a training sample
- Feature vector

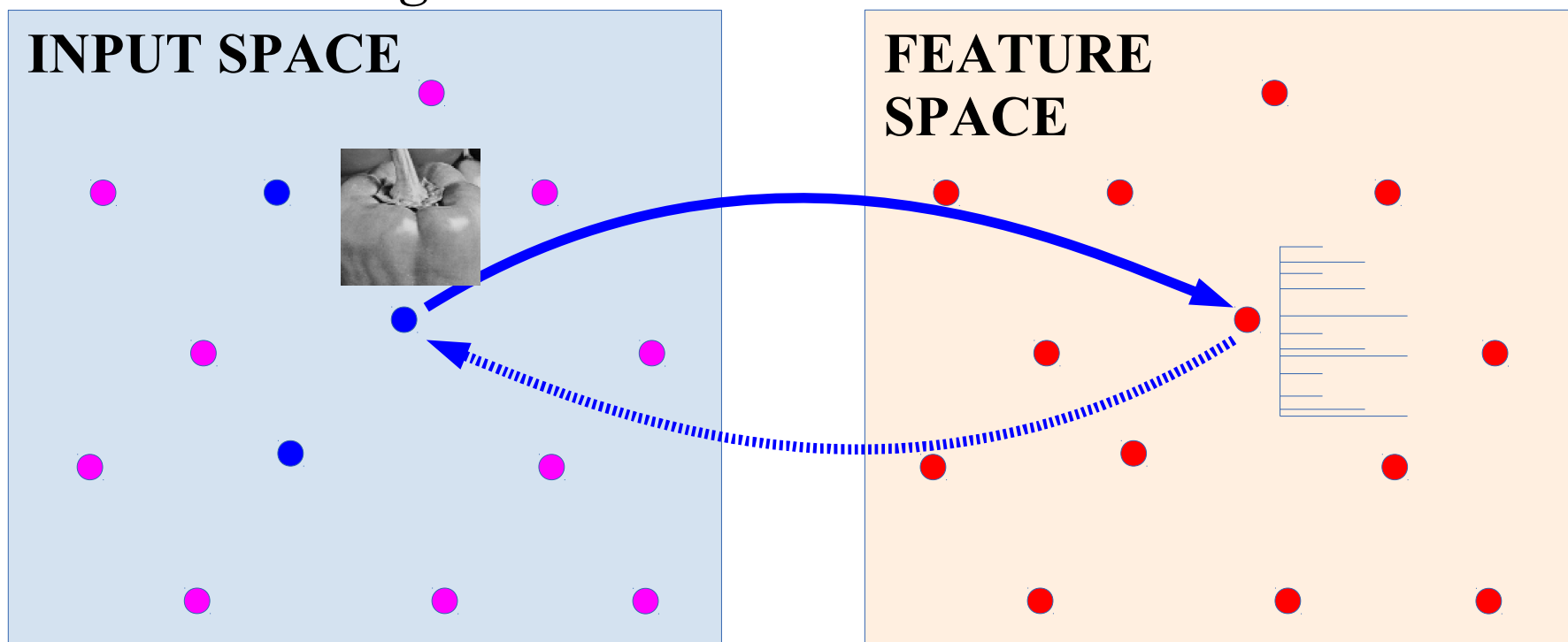


Why Limit the Information Content of the Code?

Y LeCun
MA Ranzato

- Training sample
- Input vector which is NOT a training sample
- Feature vector

Training based on minimizing the reconstruction error over the training set

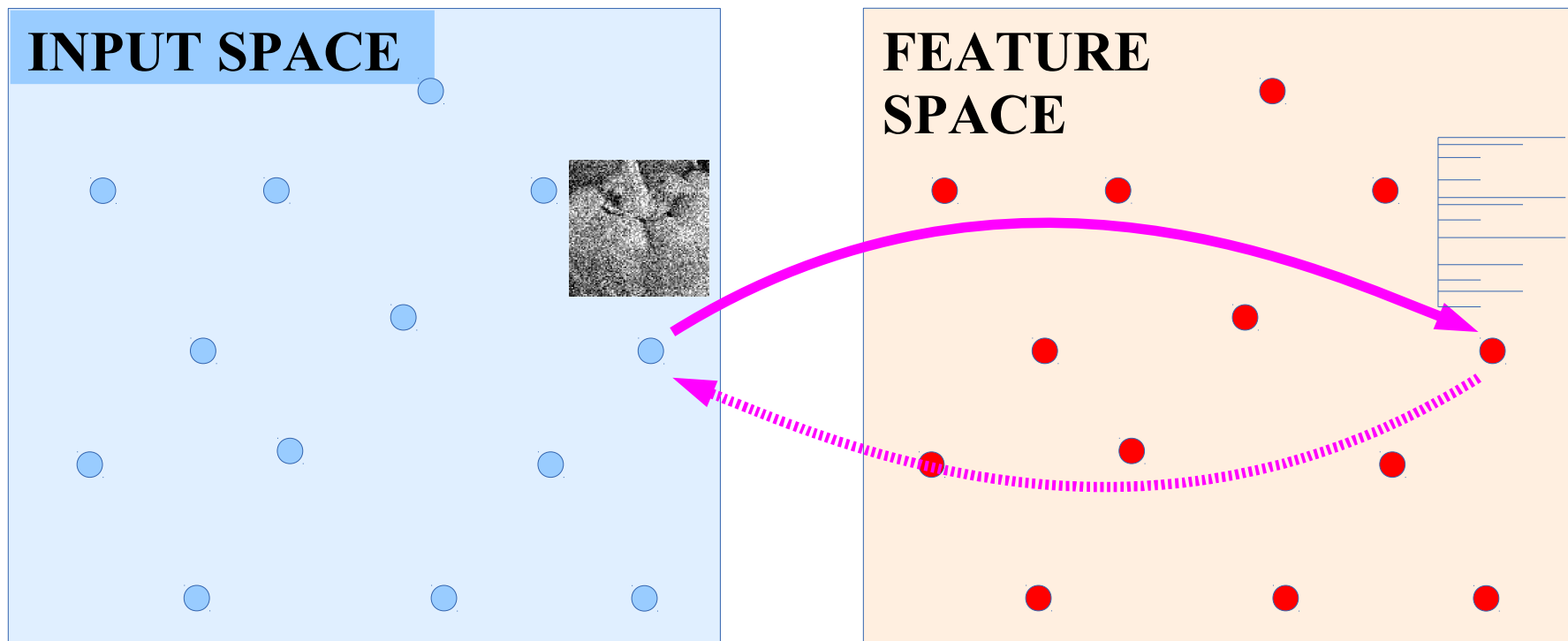


Why Limit the Information Content of the Code?

Y LeCun
MA Ranzato

- Training sample
- Input vector which is **NOT** a training sample
- Feature vector

*BAD: machine does not learn structure from training data!!
It just copies the data.*

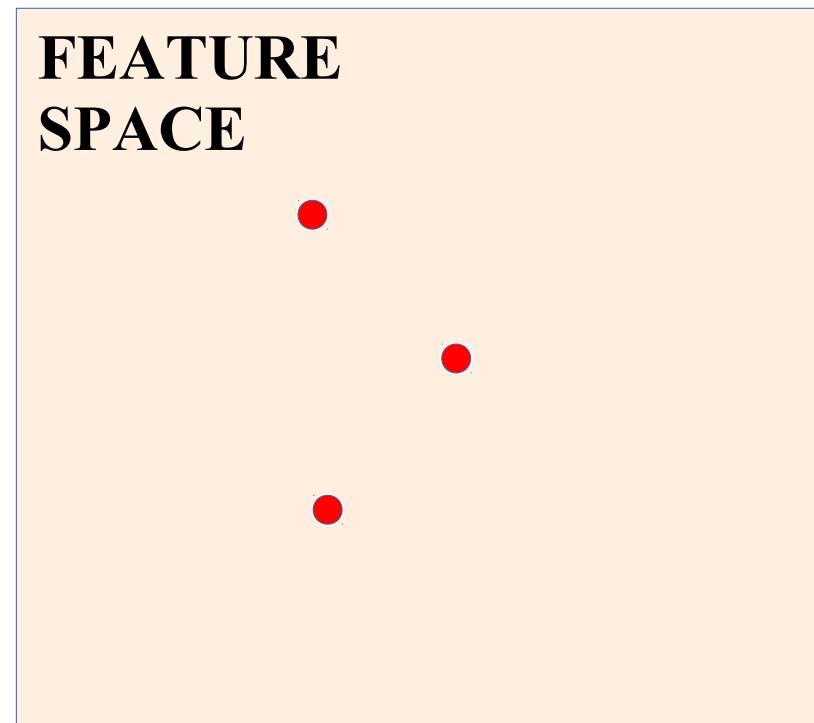
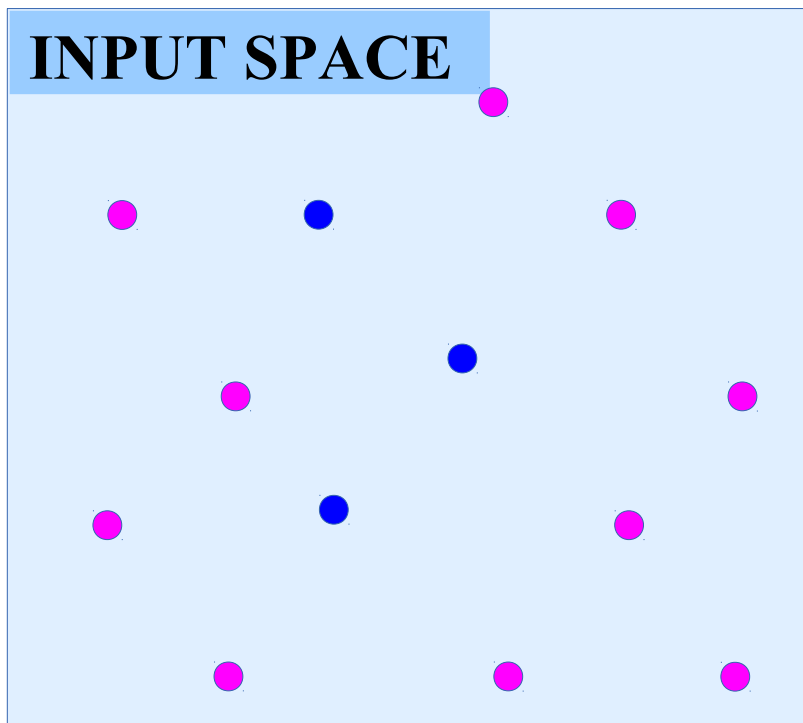


Why Limit the Information Content of the Code?

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MA Ranzato

- Training sample
- Input vector which is **NOT** a training sample
- Feature vector

IDEA: reduce number of available codes.

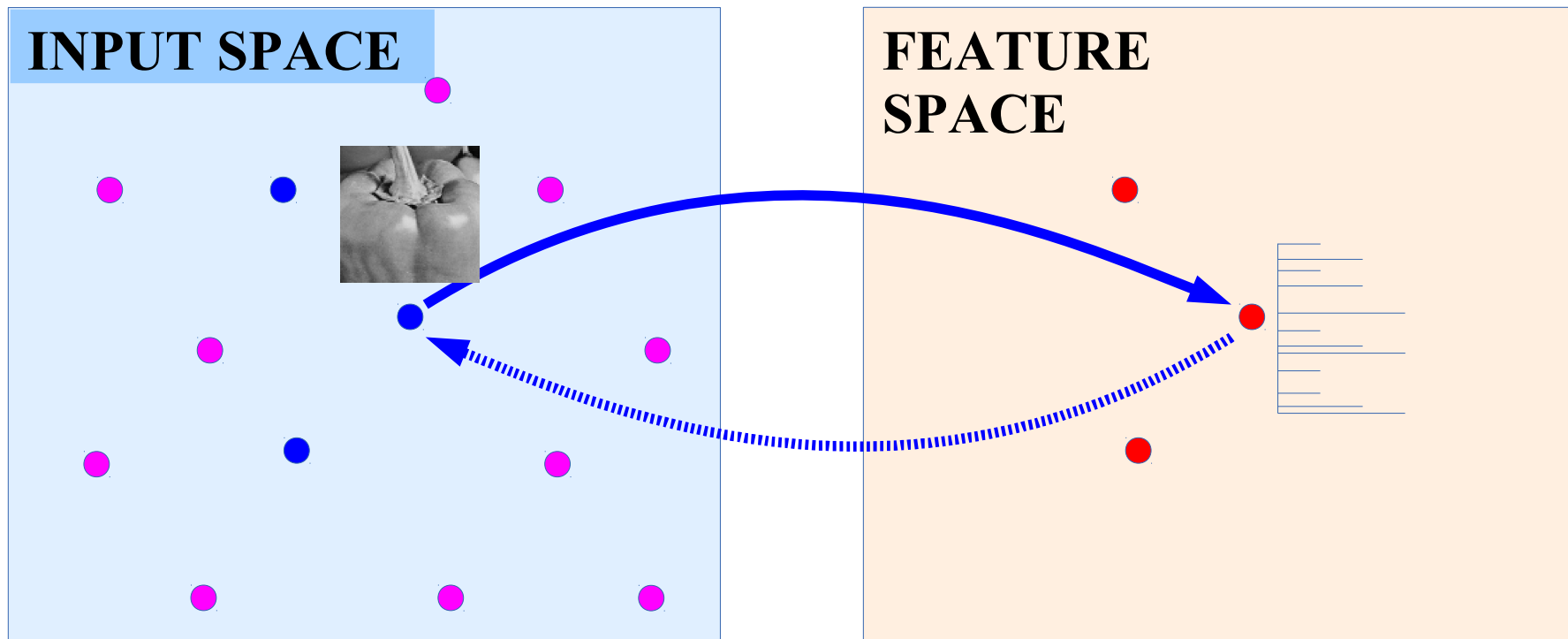


Why Limit the Information Content of the Code?

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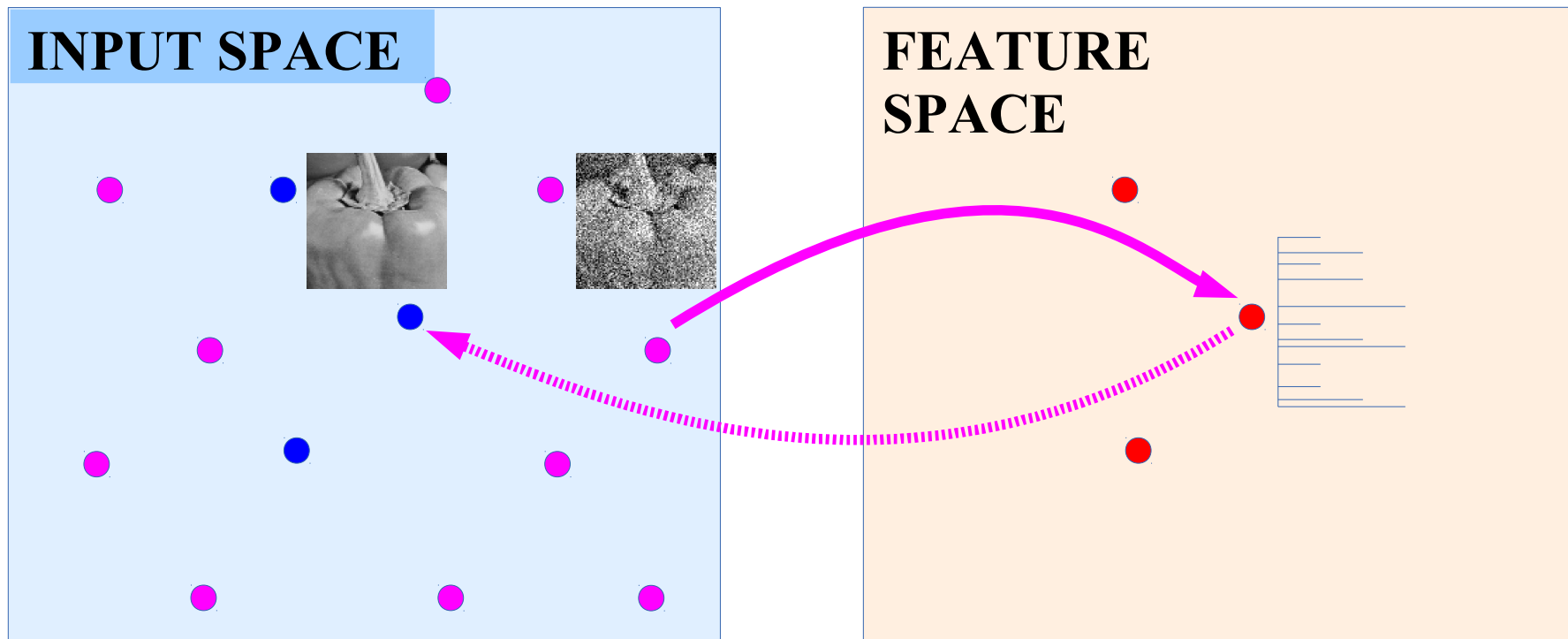


Why Limit the Information Content of the Code?

Y LeCun
MA Ranzato

- Training sample
- Input vector which is NOT a training sample
- Feature vector

IDEA: reduce number of available codes.



Predictive Sparse Decomposition (PSD): sparse auto-encoder

Y LeCun

MA Ranzato

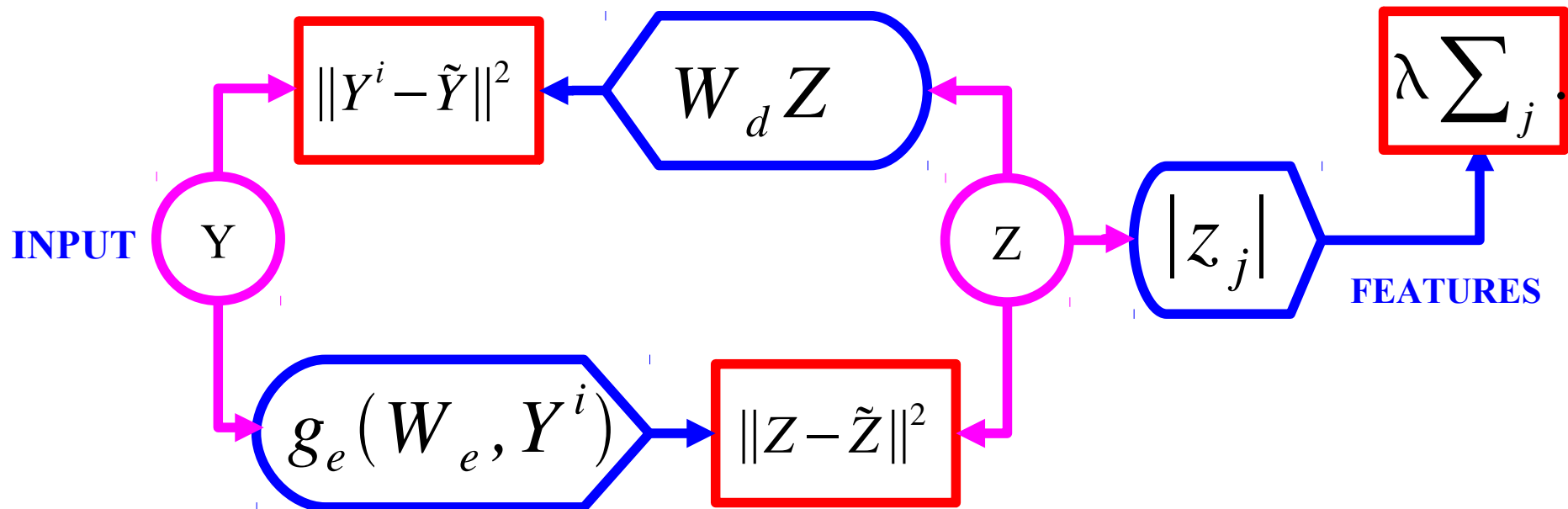
[Kavukcuoglu, Ranzato, LeCun, 2008 → arXiv:1010.3467],

Prediction the optimal code with a **trained encoder**

Energy = reconstruction_error + code_prediction_error + code_sparsity

$$E(Y^i, Z) = \|Y^i - W_d Z\|^2 + \|Z - g_e(W_e, Y^i)\|^2 + \lambda \sum_j |z_j|$$

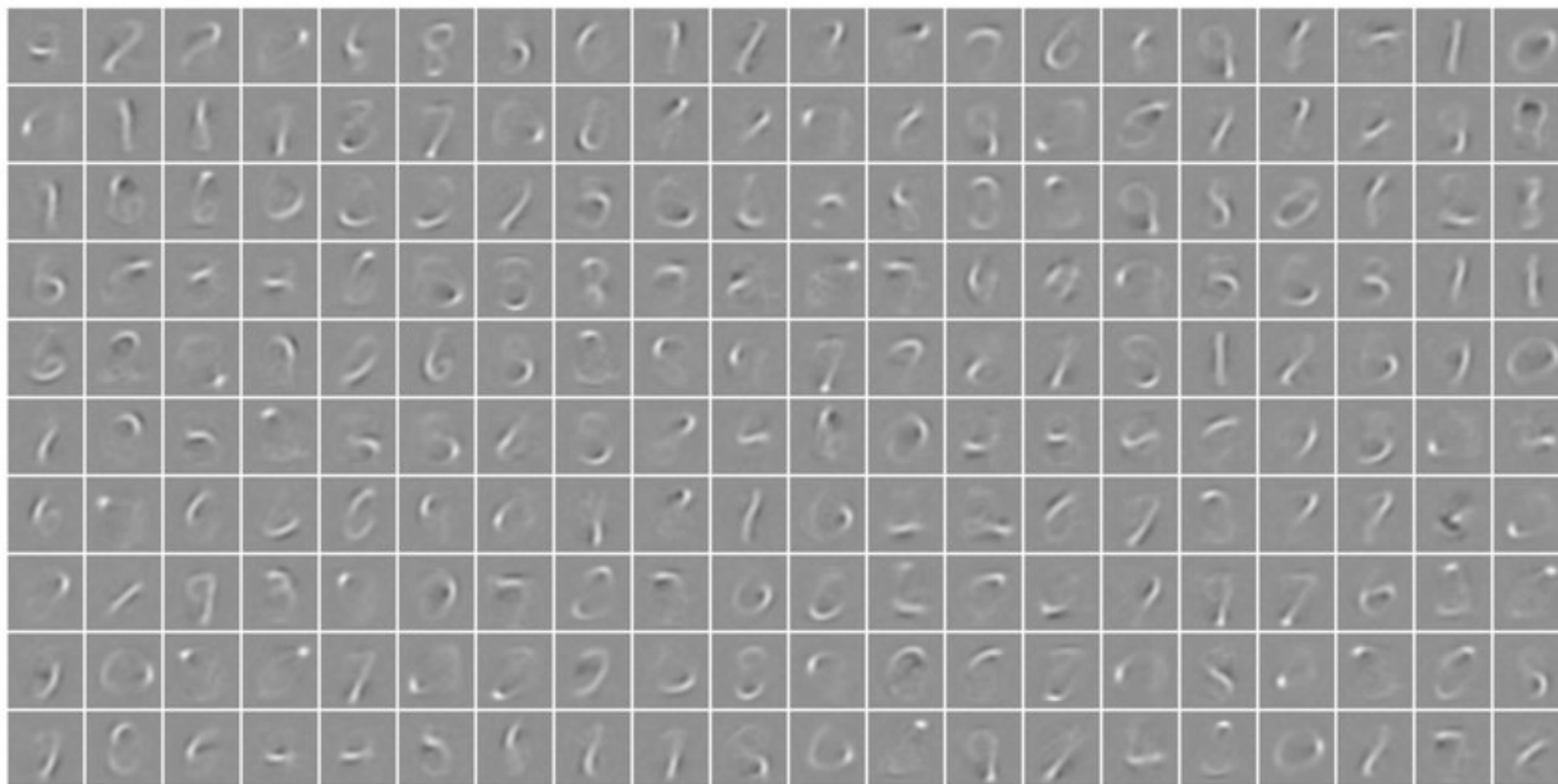
$$g_e(W_e, Y^i) = \text{shrinkage}(W_e Y^i)$$



PSD: Basis Functions on MNIST

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■ Basis functions (and encoder matrix) are digit parts



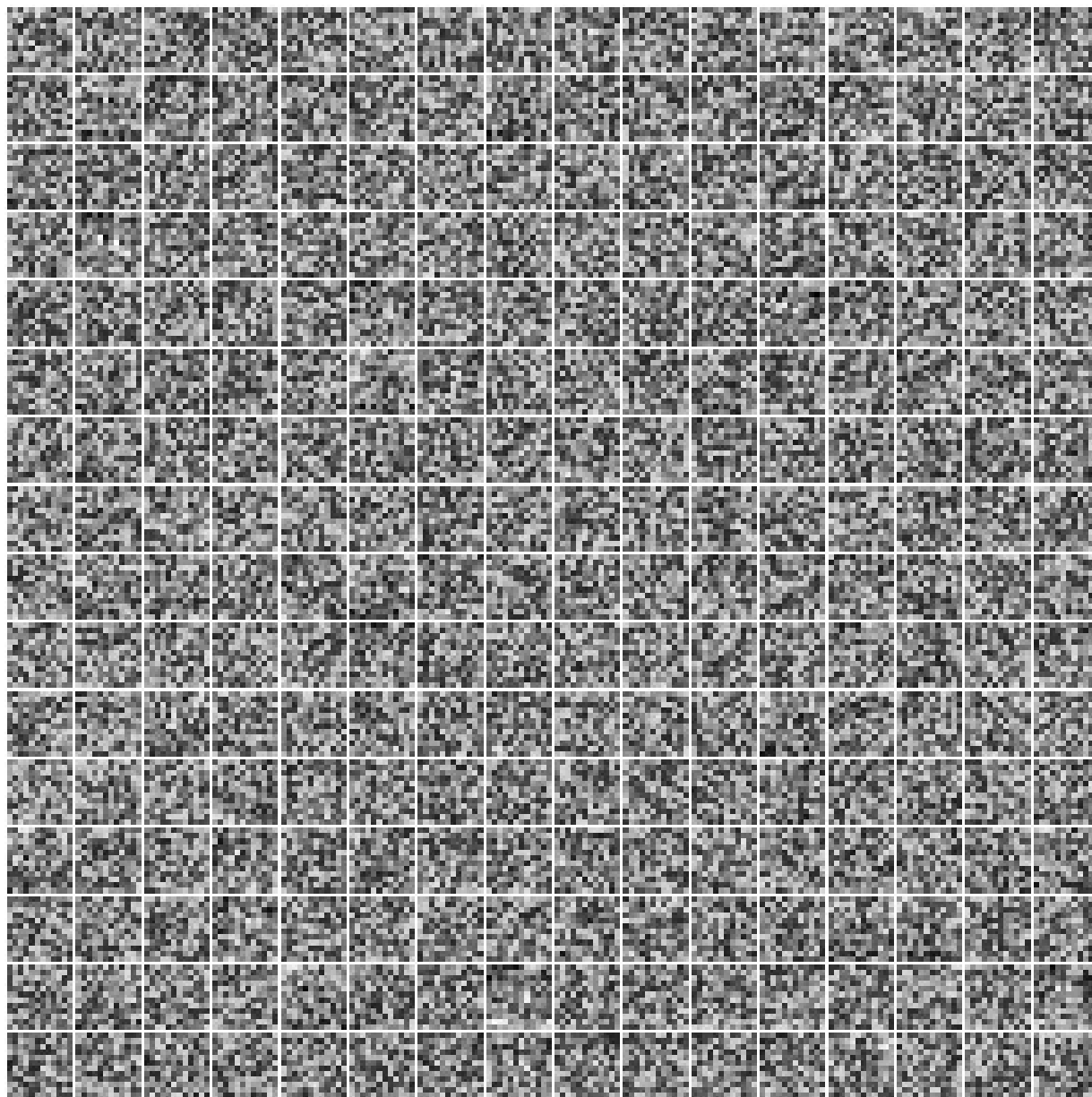
Predictive Sparse Decomposition (PSD): Training

Y LeCun
MA Ranzato

- Training on natural images patches.

- ▶ 12X12

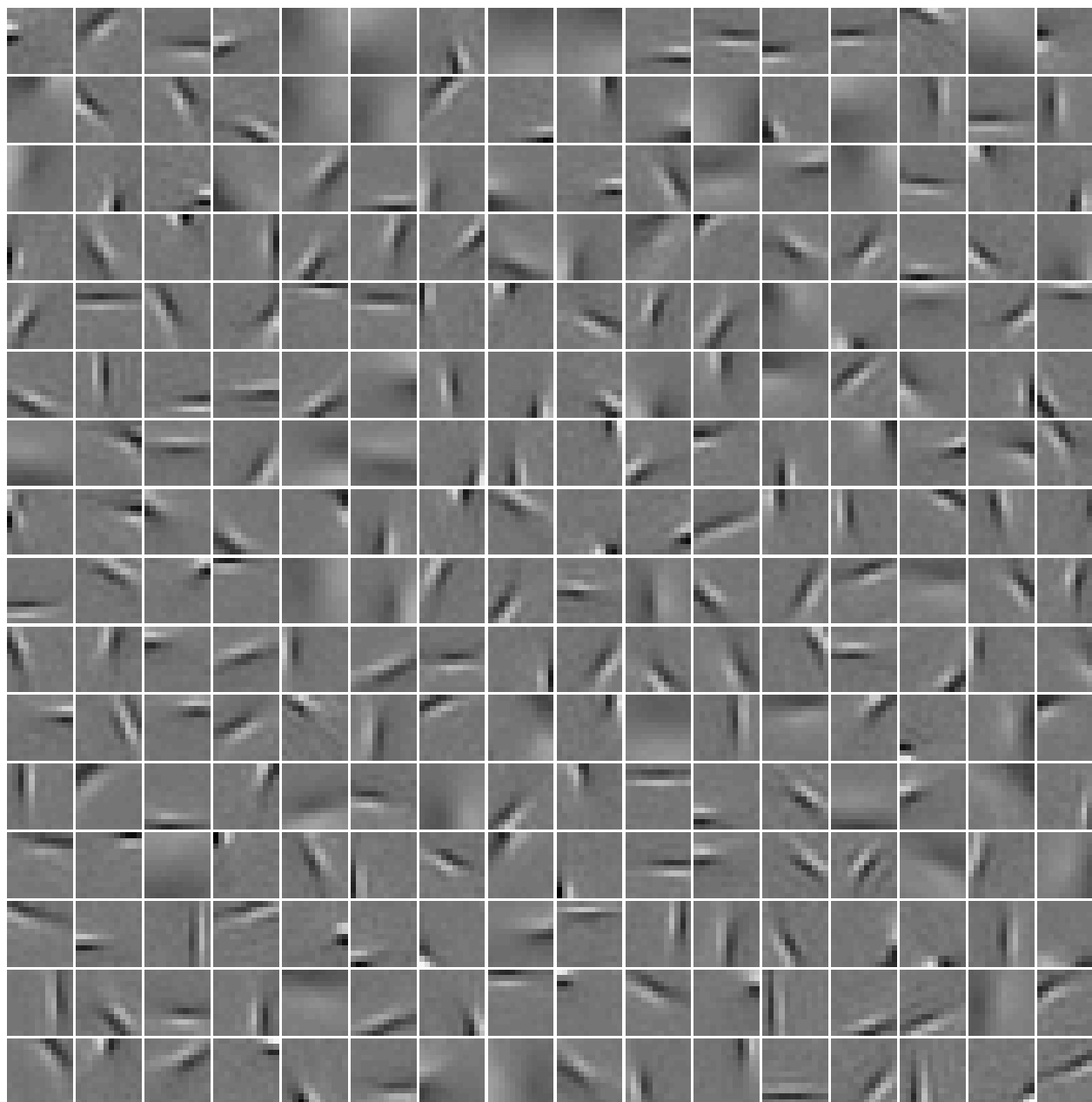
- ▶ 256 basis functions



iteration no 0

Learned Features on natural patches: V1-like receptive fields

Y LeCun
MA Ranzato

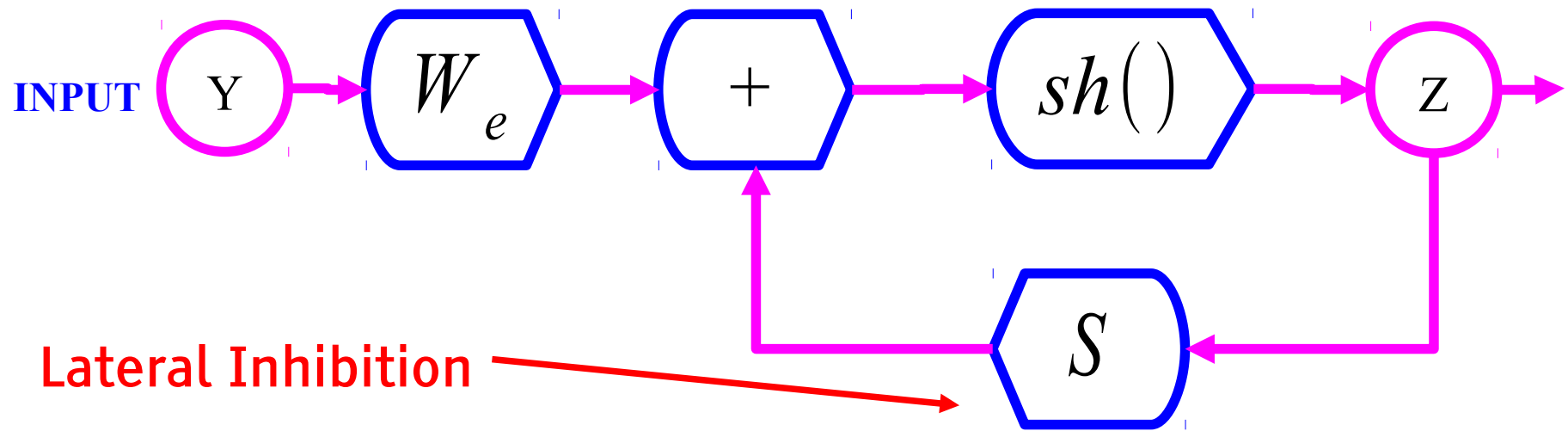


Better Idea: Give the "right" structure to the encoder

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- ISTA/FISTA: iterative algorithm that converges to optimal sparse code

[Gregor & LeCun, ICML 2010], [Bronstein et al. ICML 2012], [Rolfe & LeCun ICLR 2013]



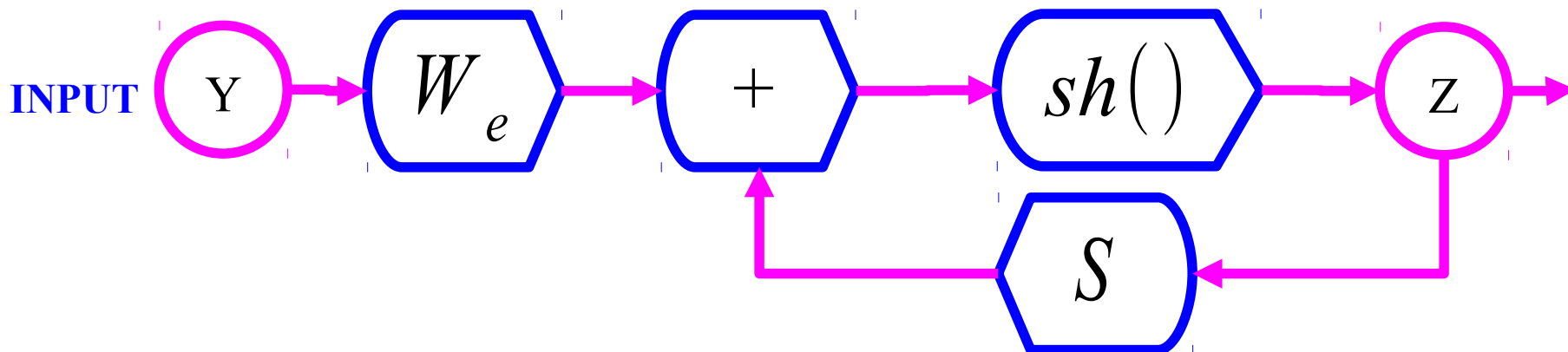
$$Z(t+1) = \text{Shrinkage}_{\lambda/L} \left[Z(t) - \frac{1}{L} W_d^T (W_d Z(t) - Y) \right]$$

$$Z(t+1) = \text{Shrinkage}_{\lambda/L} [W_e^T Y + S Z(t)]; \quad W_e = \frac{1}{L} W_d; \quad S = I - \frac{1}{L} W_d^T W_d$$

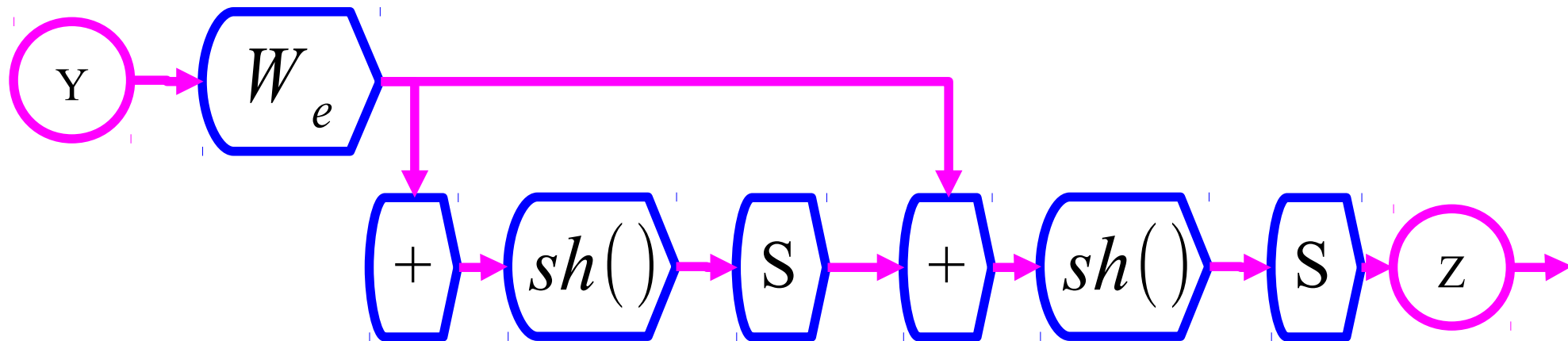
LISTA: Train W_e and S matrices to give a good approximation quickly

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- Think of the FISTA flow graph as a recurrent neural net where W_e and S are trainable parameters



- Time-Unfold the flow graph for K iterations
- Learn the W_e and S matrices with "backprop-through-time"
- Get the best approximate solution within K iterations





Learning ISTA (LISTA) vs ISTA/FISTA

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LISTA with partial mutual inhibition matrix

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MA Ranzato

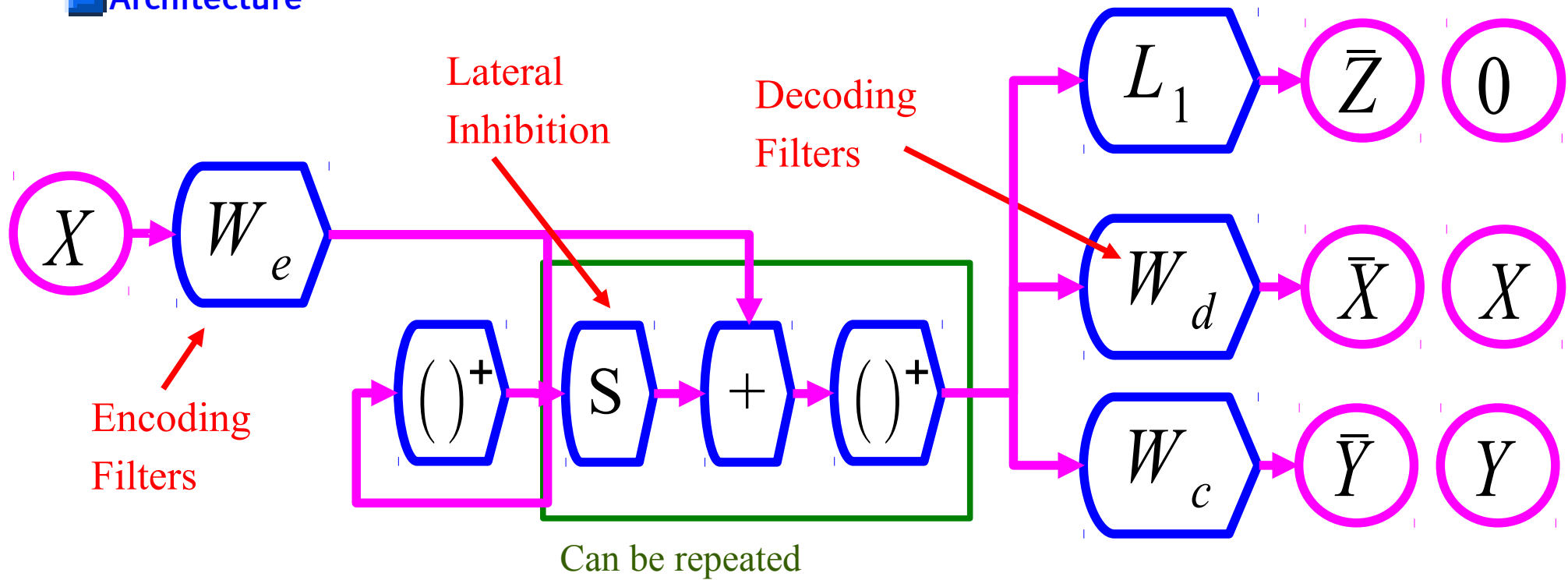
Learning Coordinate Descent (LcoD): faster than LISTA

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Discriminative Recurrent Sparse Auto-Encoder (DrSAE)

Y LeCun
MA Ranzato

Architecture



Rectified linear units

Classification loss: cross-entropy

Reconstruction loss: squared error

Sparsity penalty: L1 norm of last hidden layer

Rows of W_d and columns of W_e constrained in unit sphere

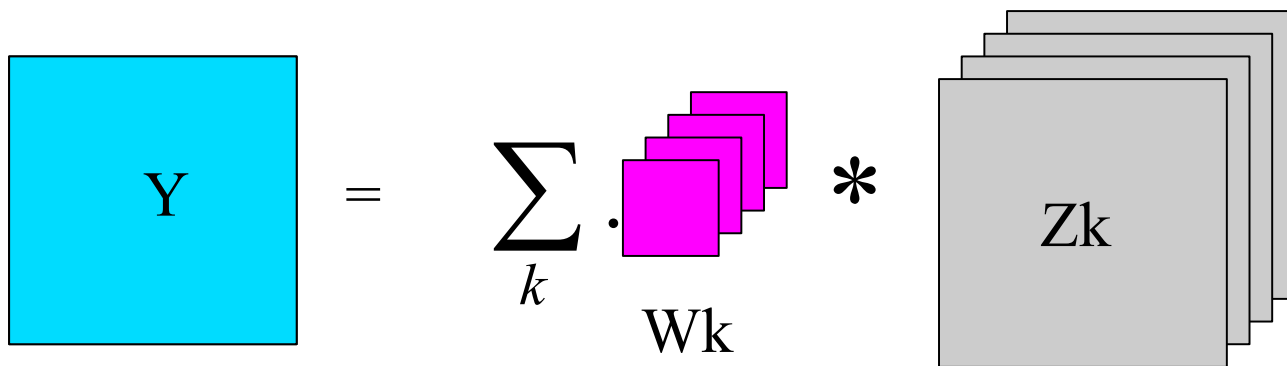
[Rolfe & LeCun ICLR 2013]

- Replace the dot products with dictionary element by convolutions.

- ▶ Input Y is a full image
- ▶ Each code component Z_k is a feature map (an image)
- ▶ Each dictionary element is a convolution kernel

- Regular sparse coding $E(Y, Z) = \|Y - \sum_k W_k Z_k\|^2 + \alpha \sum_k |Z_k|$

- Convolutional S.C. $E(Y, Z) = \|Y - \sum_k W_k * Z_k\|^2 + \alpha \sum_k |Z_k|$

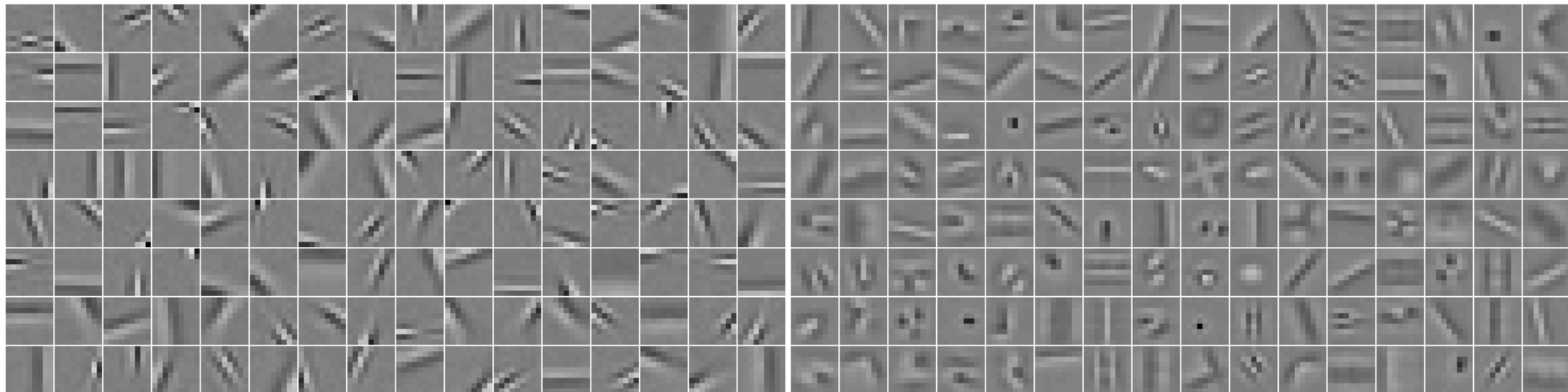


“deconvolutional networks” [Zeiler, Taylor, Fergus CVPR 2010]

Convolutional Formulation

- ▶ Extend sparse coding from **PATCH** to **IMAGE**

$$\mathcal{L}(x, z, \mathcal{D}) = \frac{1}{2} \left\| x - \sum_{k=1}^K \mathcal{D}_k * z_k \right\|_2^2 + \sum_{k=1}^K \left\| z_k - f(W^k * x) \right\|_2^2 + |z|_1$$



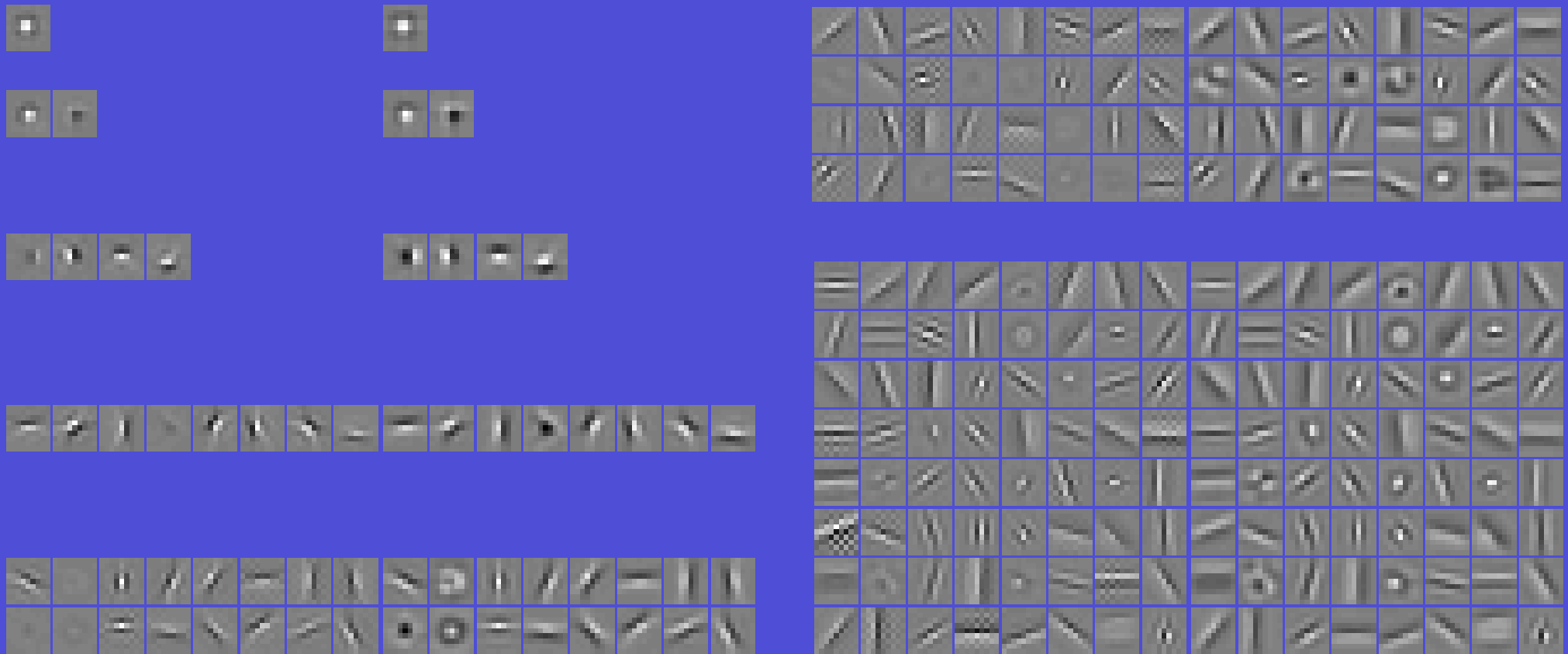
▶ **PATCH** based learning

▶ **CONVOLUTIONAL** learning

Convolutional Sparse Auto-Encoder on Natural Images

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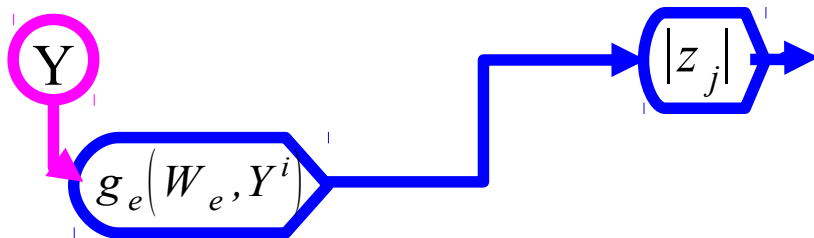
- Filters and Basis Functions obtained with 1, 2, 4, 8, 16, 32, and 64 filters.



Using PSD to Train a Hierarchy of Features

Y LeCun
MA Ranzato

- Phase 1: train first layer using PSD
- Phase 2: use encoder + absolute value as feature extractor

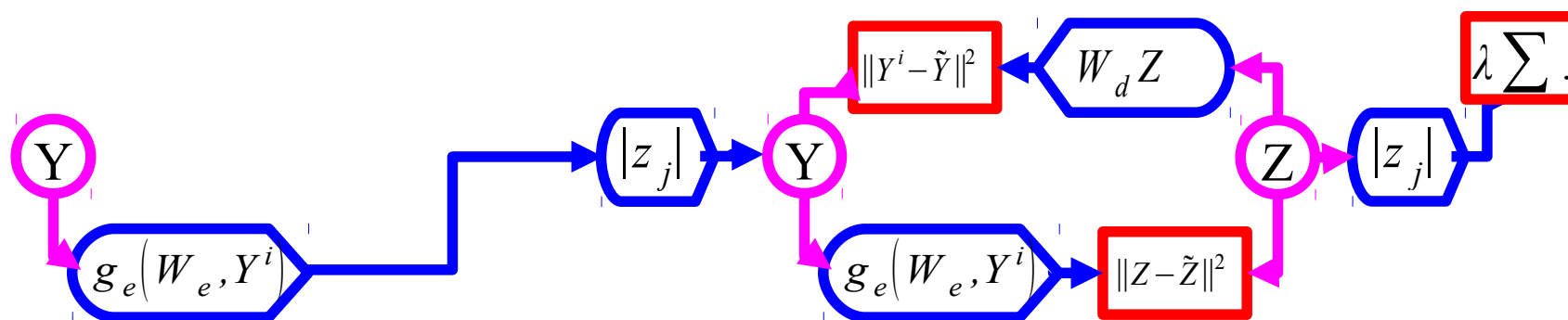


FEATURES

Using PSD to Train a Hierarchy of Features

Y LeCun
MA Ranzato

- Phase 1: train first layer using PSD
- Phase 2: use encoder + absolute value as feature extractor
- Phase 3: train the second layer using PSD

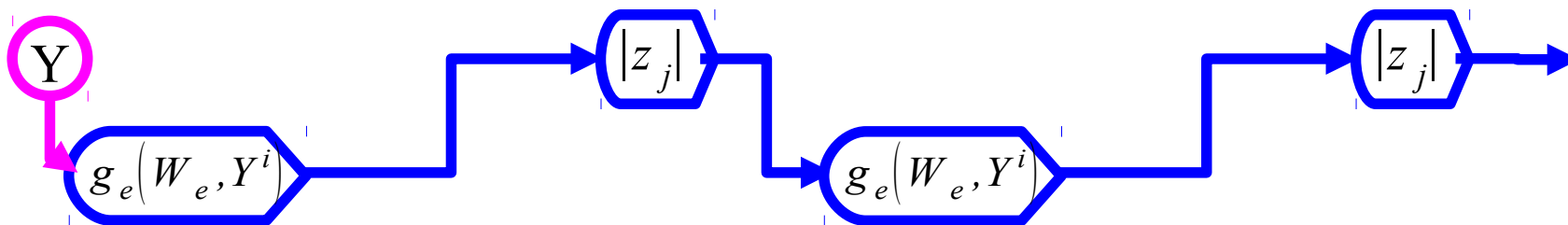


FEATURES

Using PSD to Train a Hierarchy of Features

Y LeCun
MA Ranzato

- Phase 1: train first layer using PSD
- Phase 2: use encoder + absolute value as feature extractor
- Phase 3: train the second layer using PSD
- Phase 4: use encoder + absolute value as 2nd feature extractor

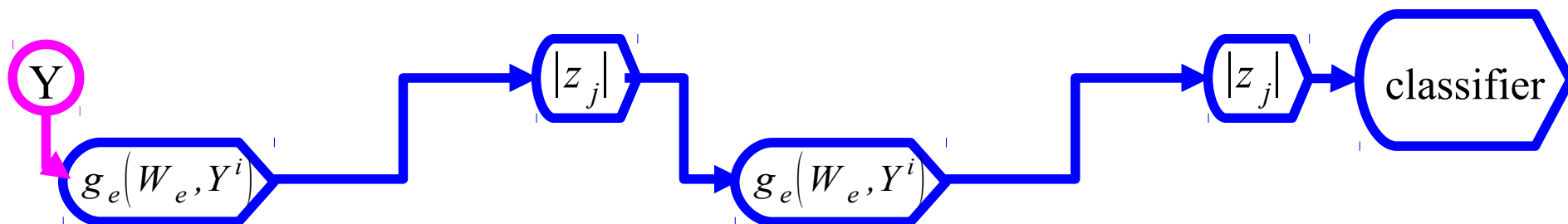


FEATURES

Using PSD to Train a Hierarchy of Features

Y LeCun
MA Ranzato

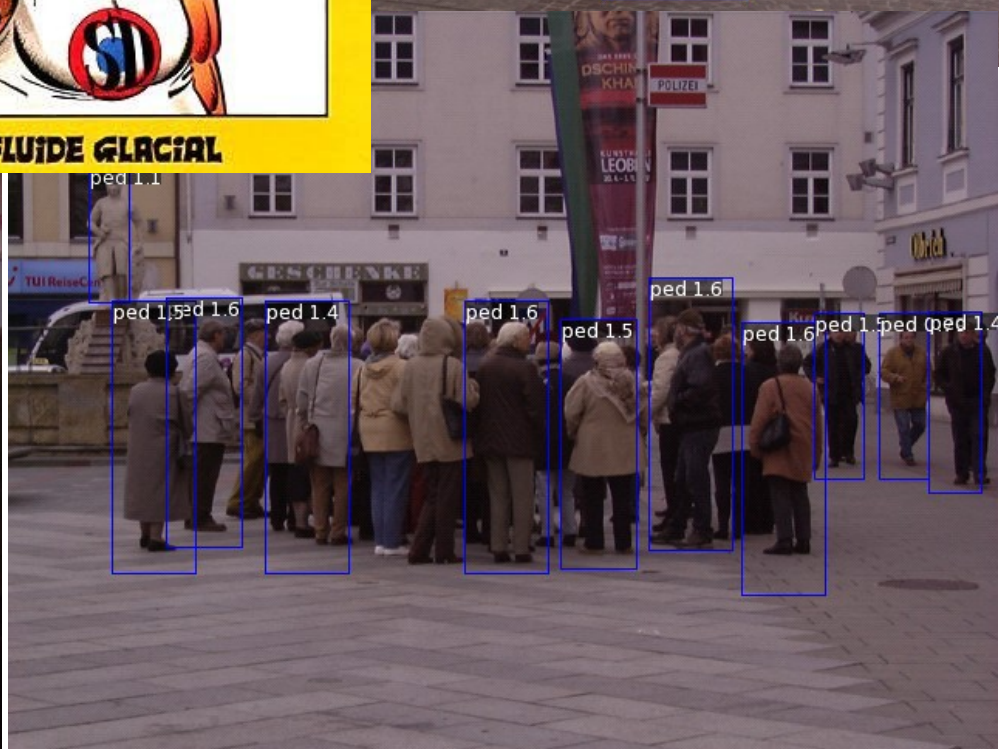
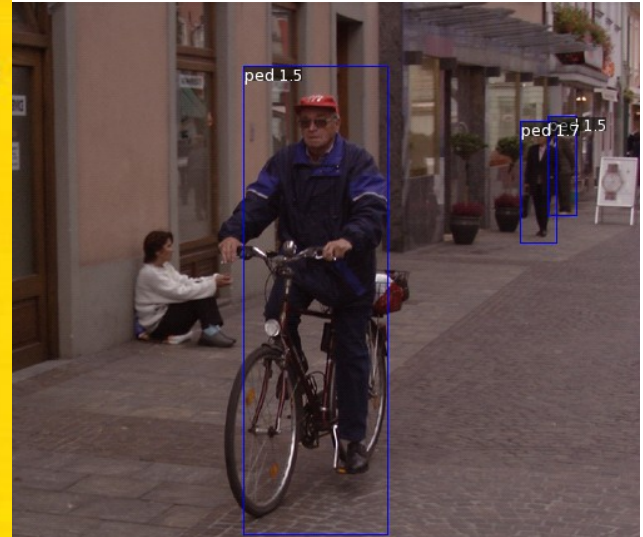
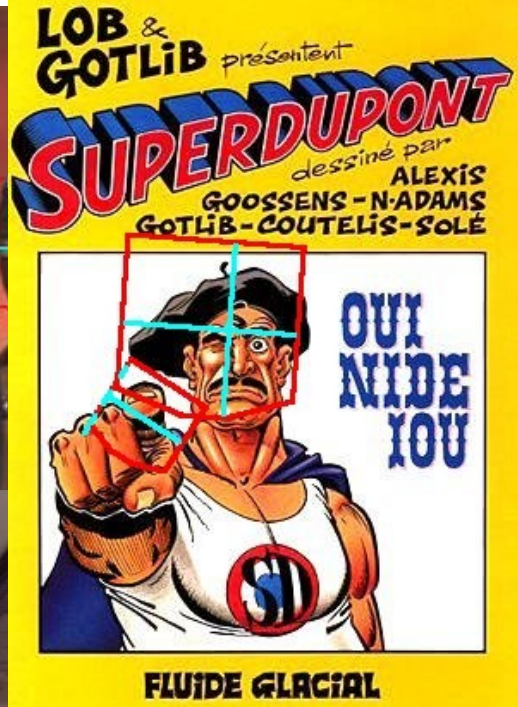
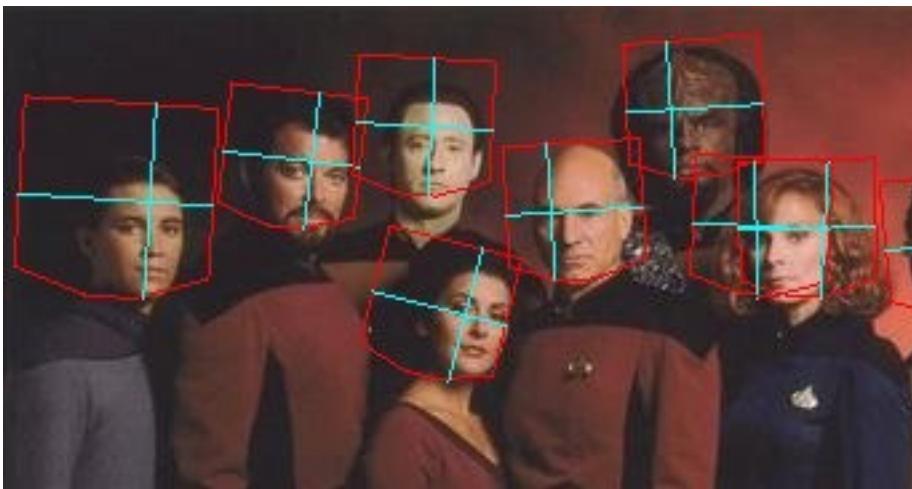
- Phase 1: train first layer using PSD
- Phase 2: use encoder + absolute value as feature extractor
- Phase 3: train the second layer using PSD
- Phase 4: use encoder + absolute value as 2nd feature extractor
- Phase 5: train a supervised classifier on top
- Phase 6 (optional): train the entire system with supervised back-propagation



FEATURES

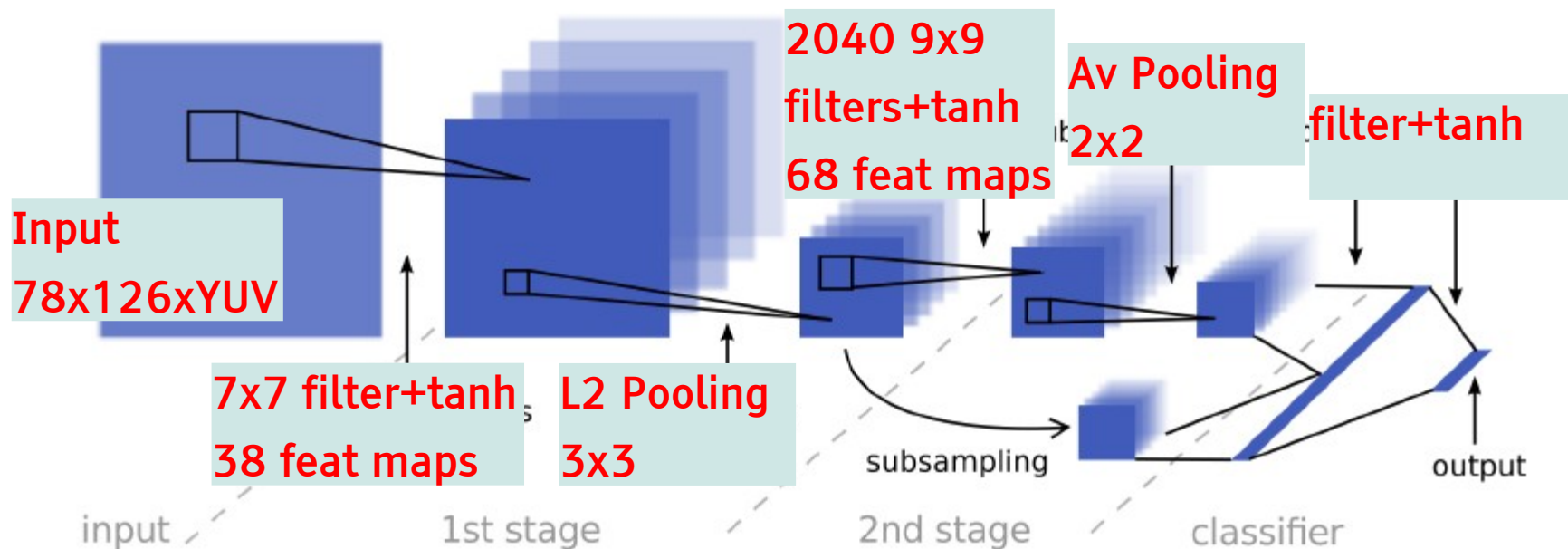
Pedestrian Detection, Face Detection

Y LeCun
MA Ranzato



Feature maps from all stages are pooled/subsampled and sent to the final classification layers

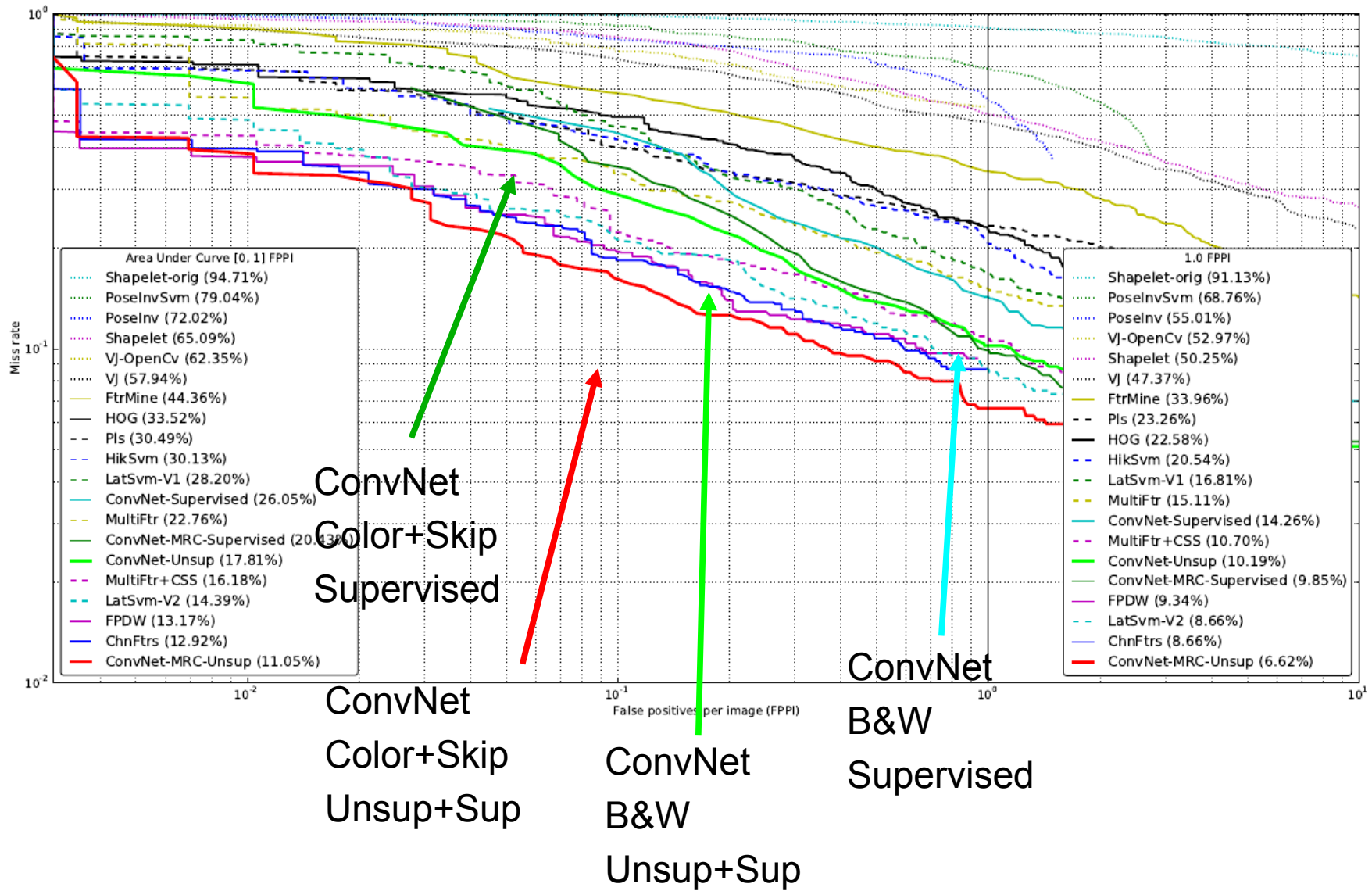
- ▶ Pooled low-level features: good for textures and local motifs
- ▶ High-level features: good for “gestalt” and global shape



Task	Single-Stage features	Multi-Stage features	Improvement %
Pedestrians detection (INRIA)	14.26%	9.85%	31%
Traffic Signs classification (GTSRB) [33]	1.80%	0.83%	54%
House Numbers classification (SVHN) [32]	5.54%	5.36%	3.2%

Pedestrian Detection: INRIA Dataset. Miss rate vs false positives

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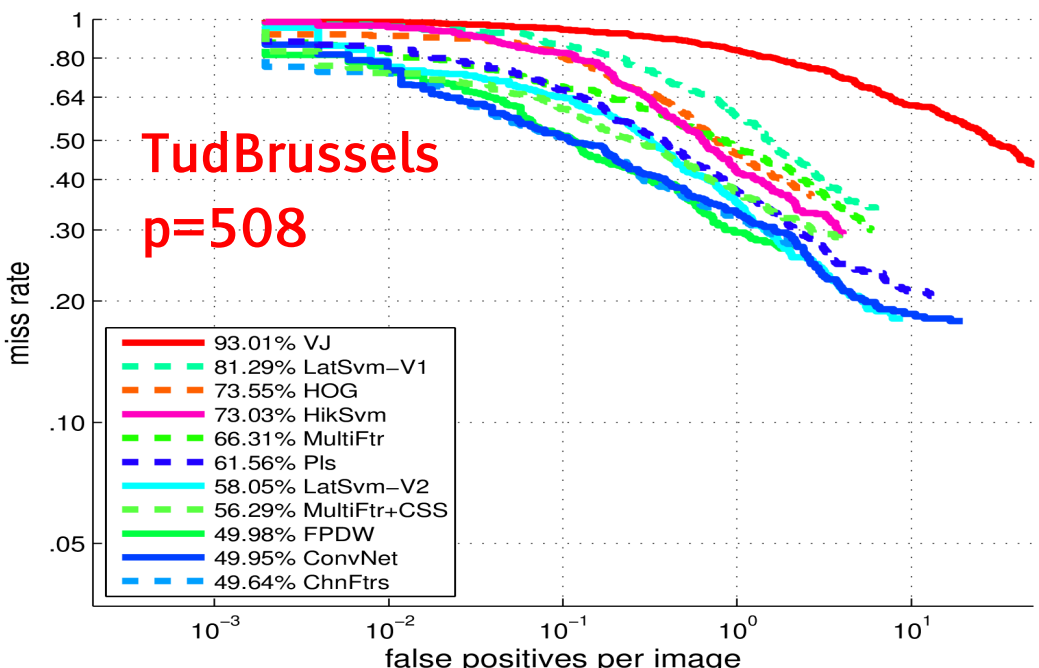
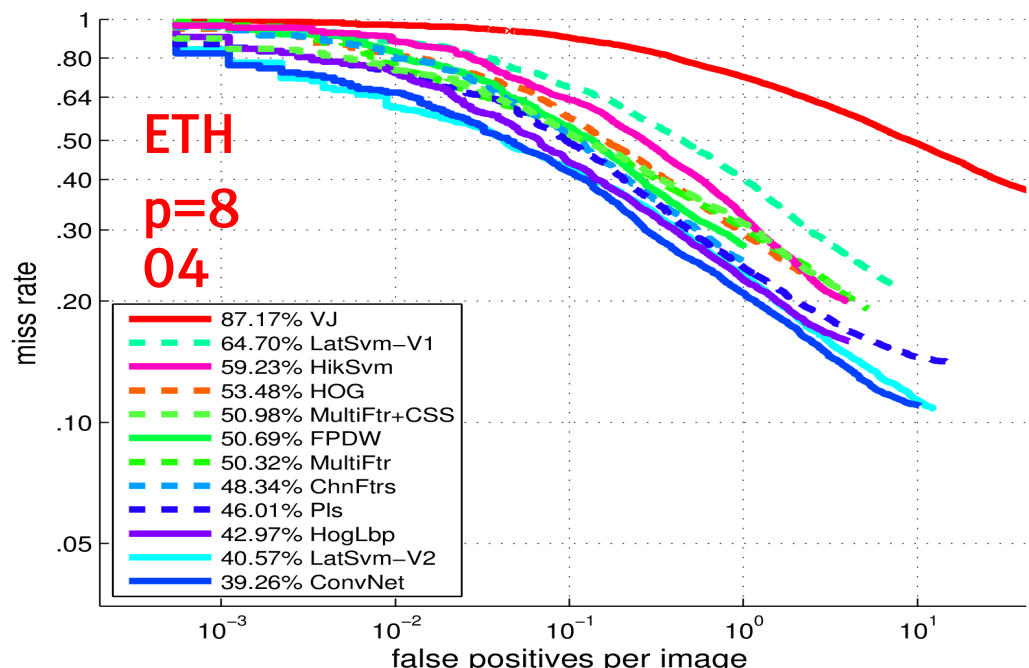
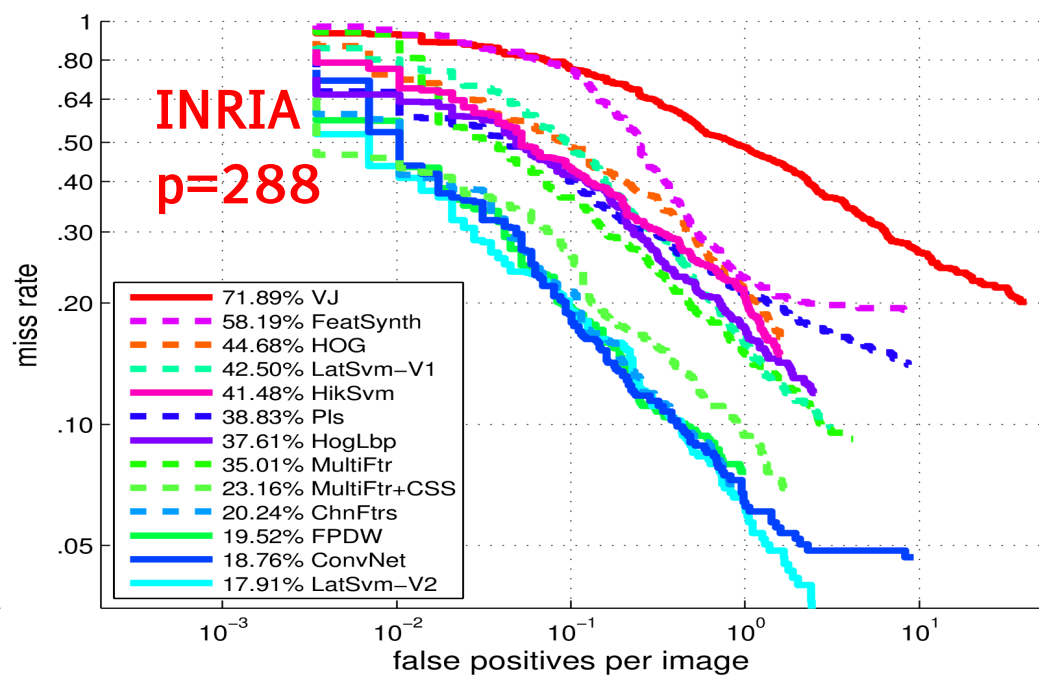
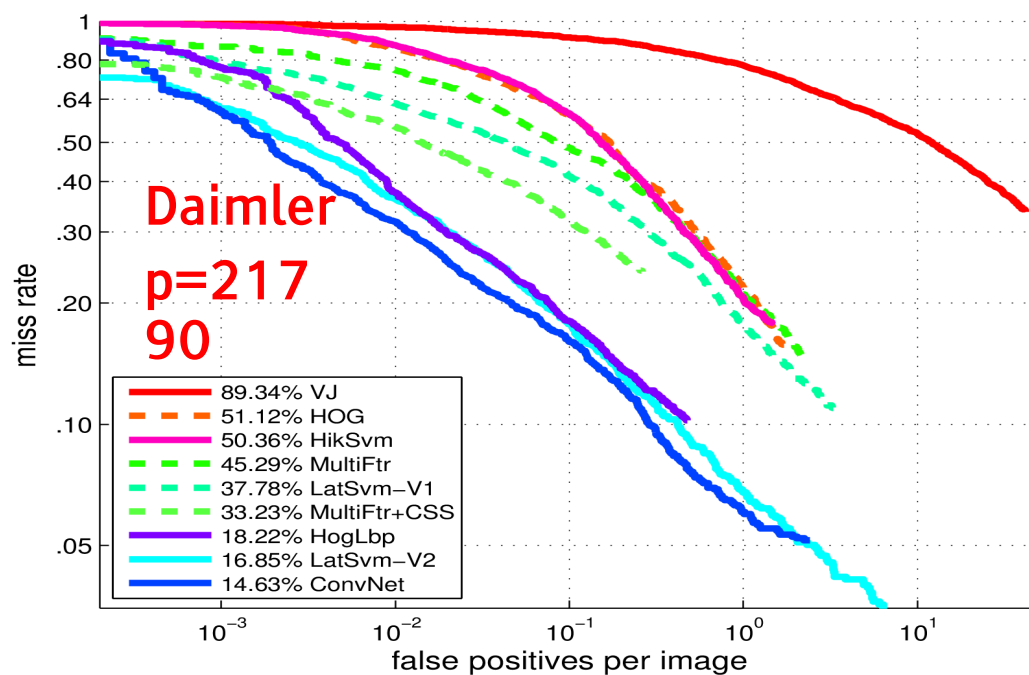


[Kavukcuoglu et al. NIPS 2010] [Sermanet et al. ArXiv 2012]

Results on "Near Scale" Images (>80 pixels tall, no occlusions)

Y LeCun

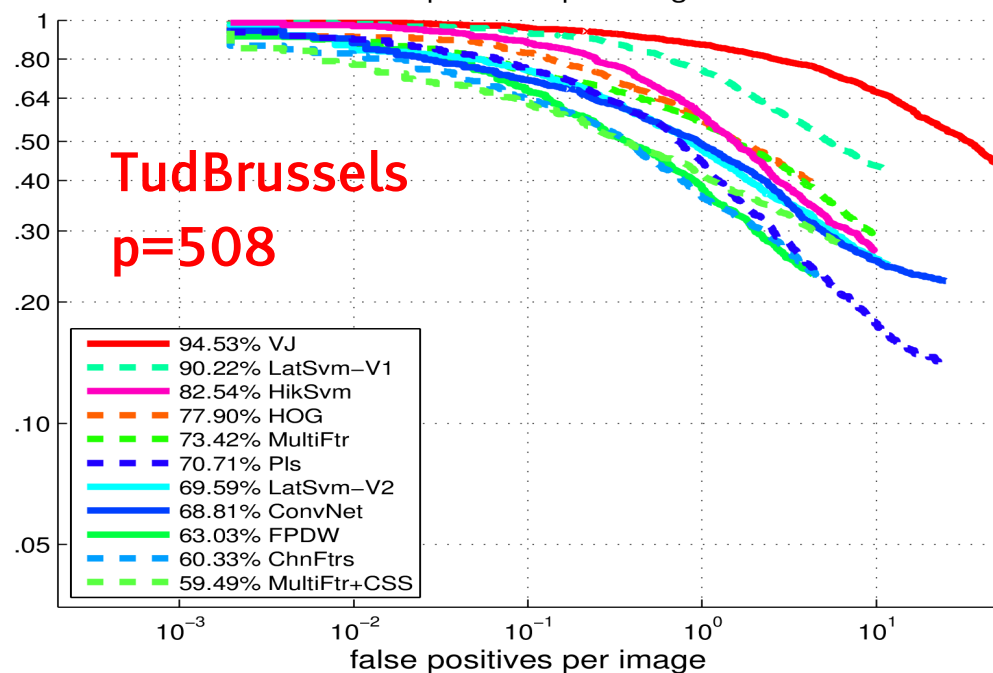
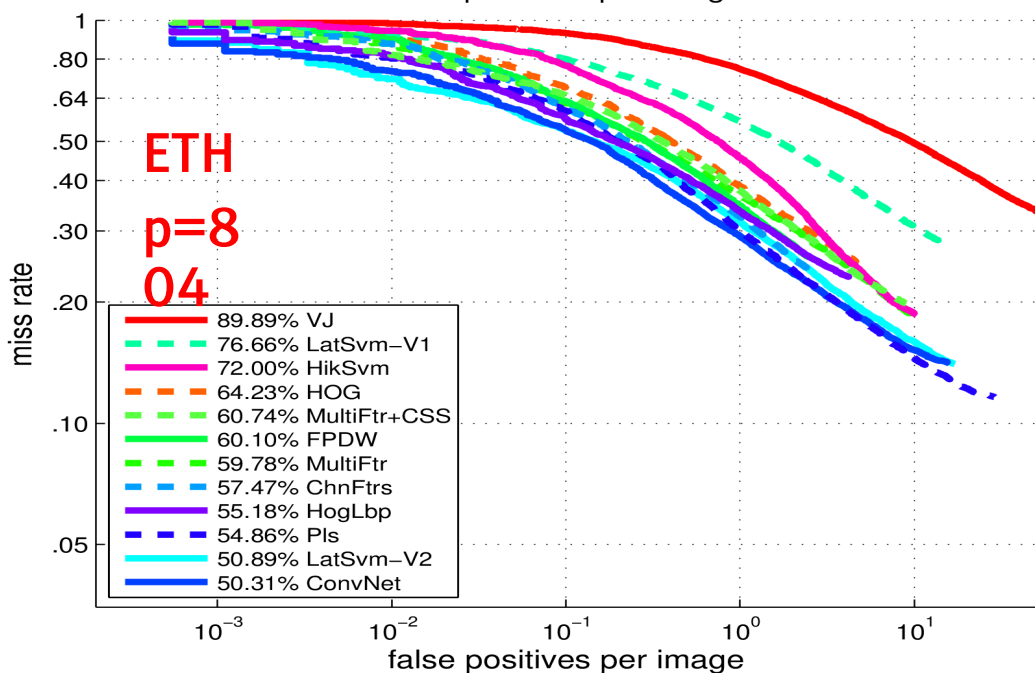
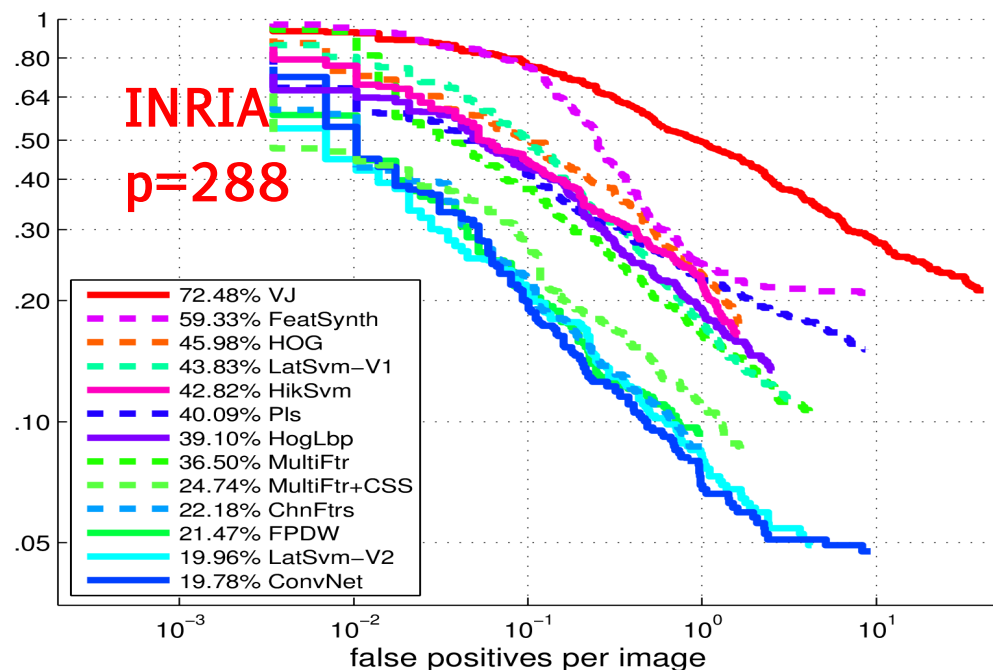
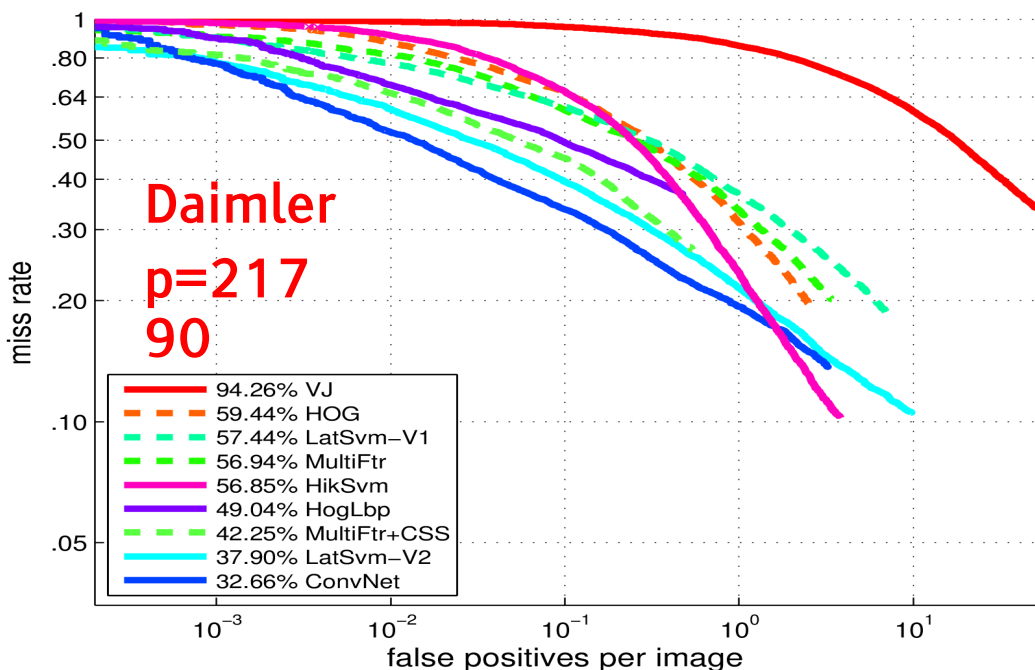
MA Ranzato



Results on "Reasonable" Images (>50 pixels tall, few occlusions)

Y LeCun

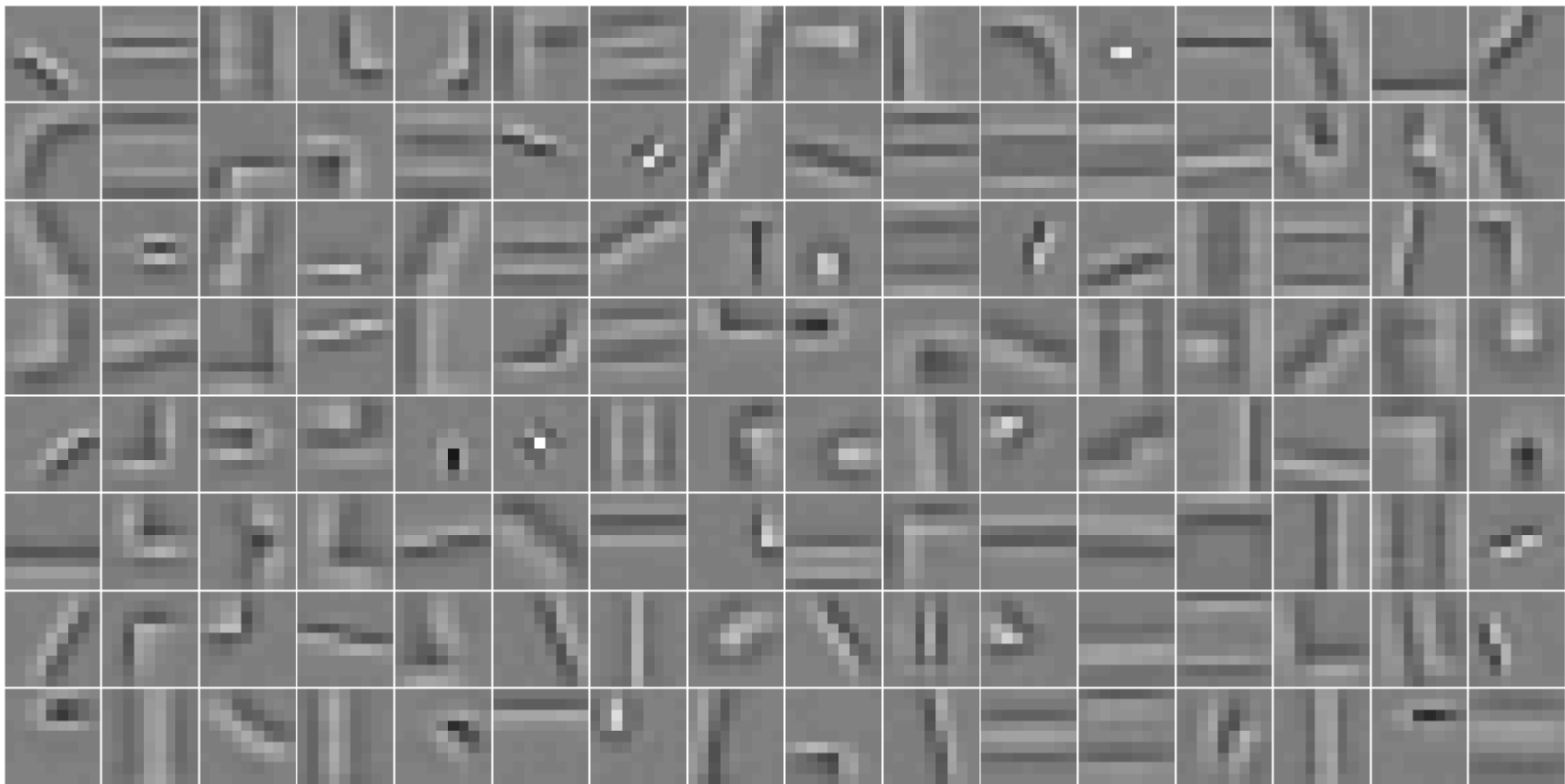
MA Ranzato



Unsupervised pre-training with convolutional PSD

Y LeCun
MA Ranzato

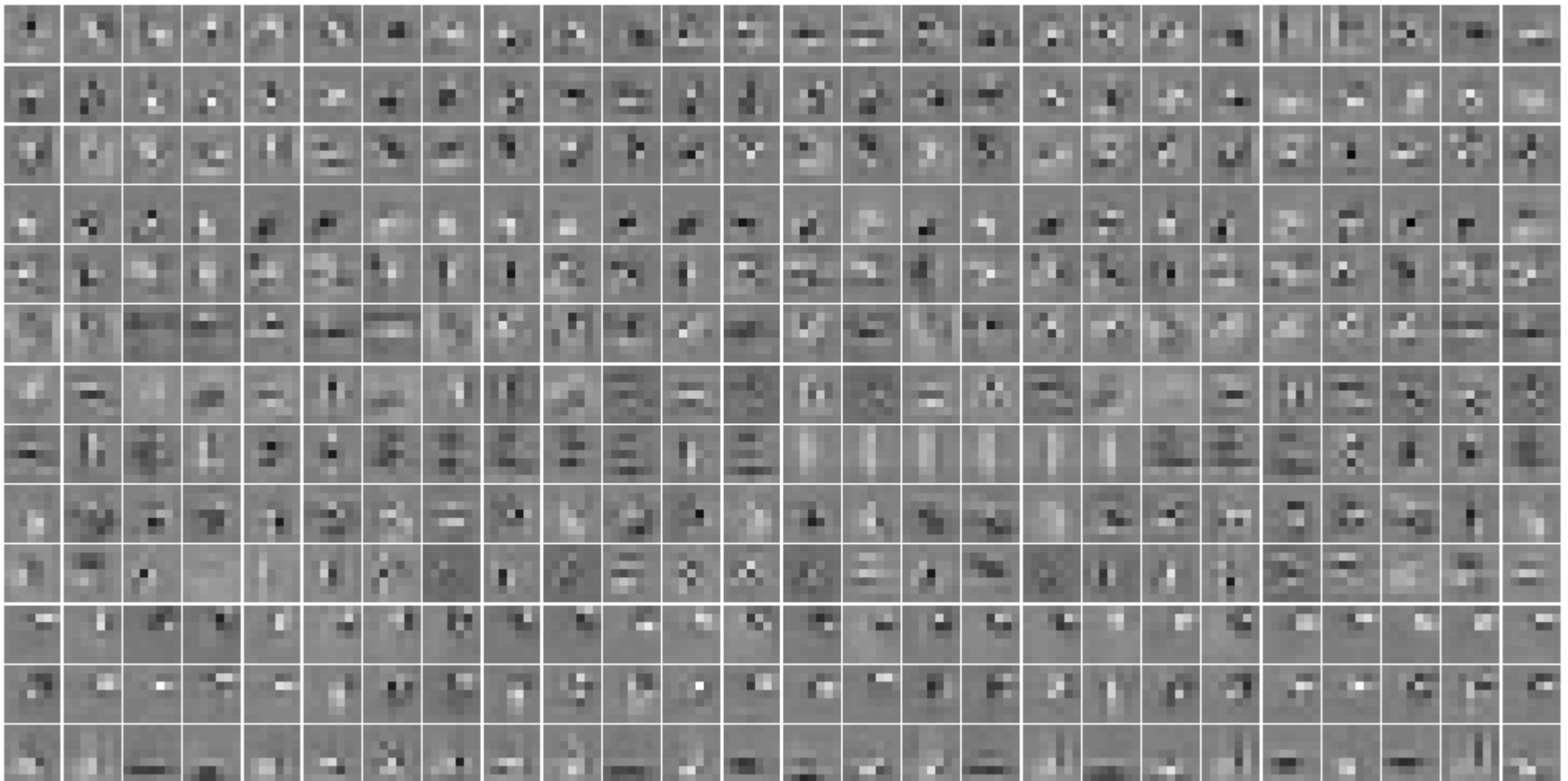
- 128 stage-1 filters on Y channel.
- Unsupervised training with convolutional predictive sparse decomposition



Unsupervised pre-training with convolutional PSD

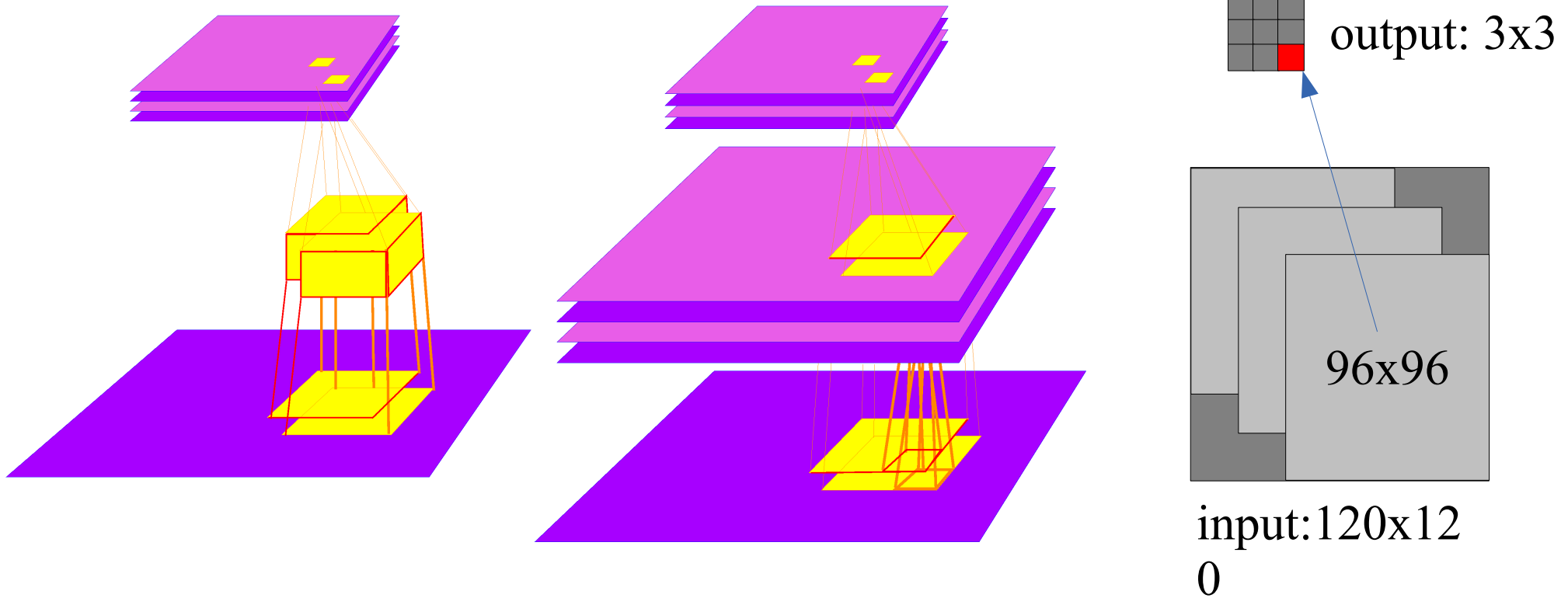
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- Stage 2 filters.
- Unsupervised training with convolutional predictive sparse decomposition



Applying a ConvNet on Sliding Windows is Very Cheap!

Y LeCun
MA Ranzato



- Traditional Detectors/Classifiers must be applied to every location on a large input image, at multiple scales.
- Convolutional nets can be replicated over large images very cheaply.
- The network is applied to multiple scales spaced by 1.5.

Building a Detector/Recognizer: Replicated Convolutional Nets

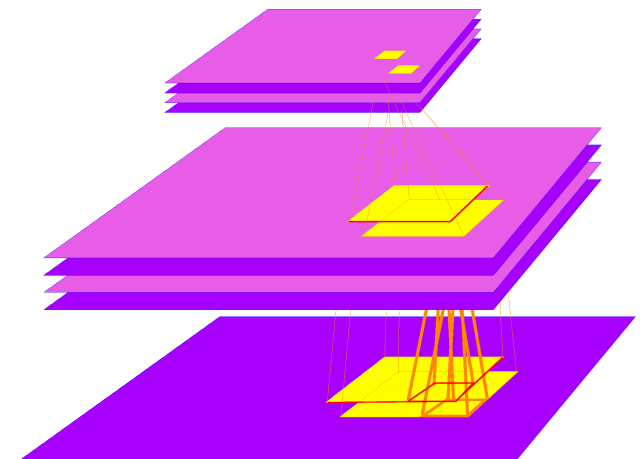
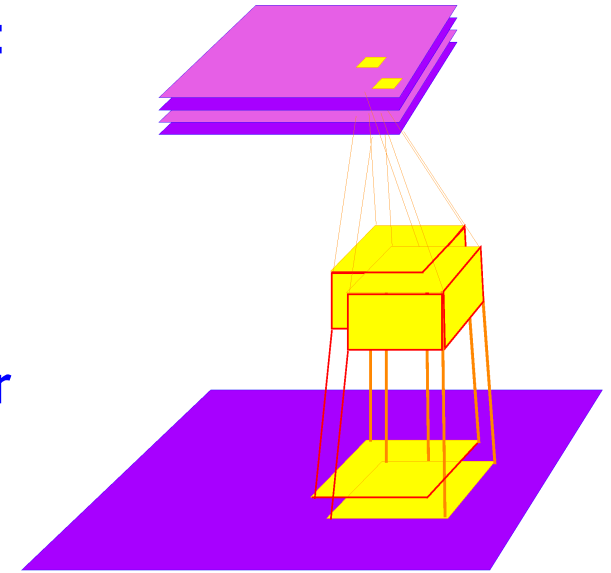
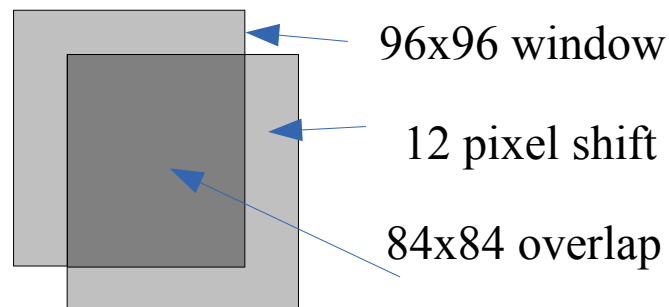
Y LeCun
MA Ranzato

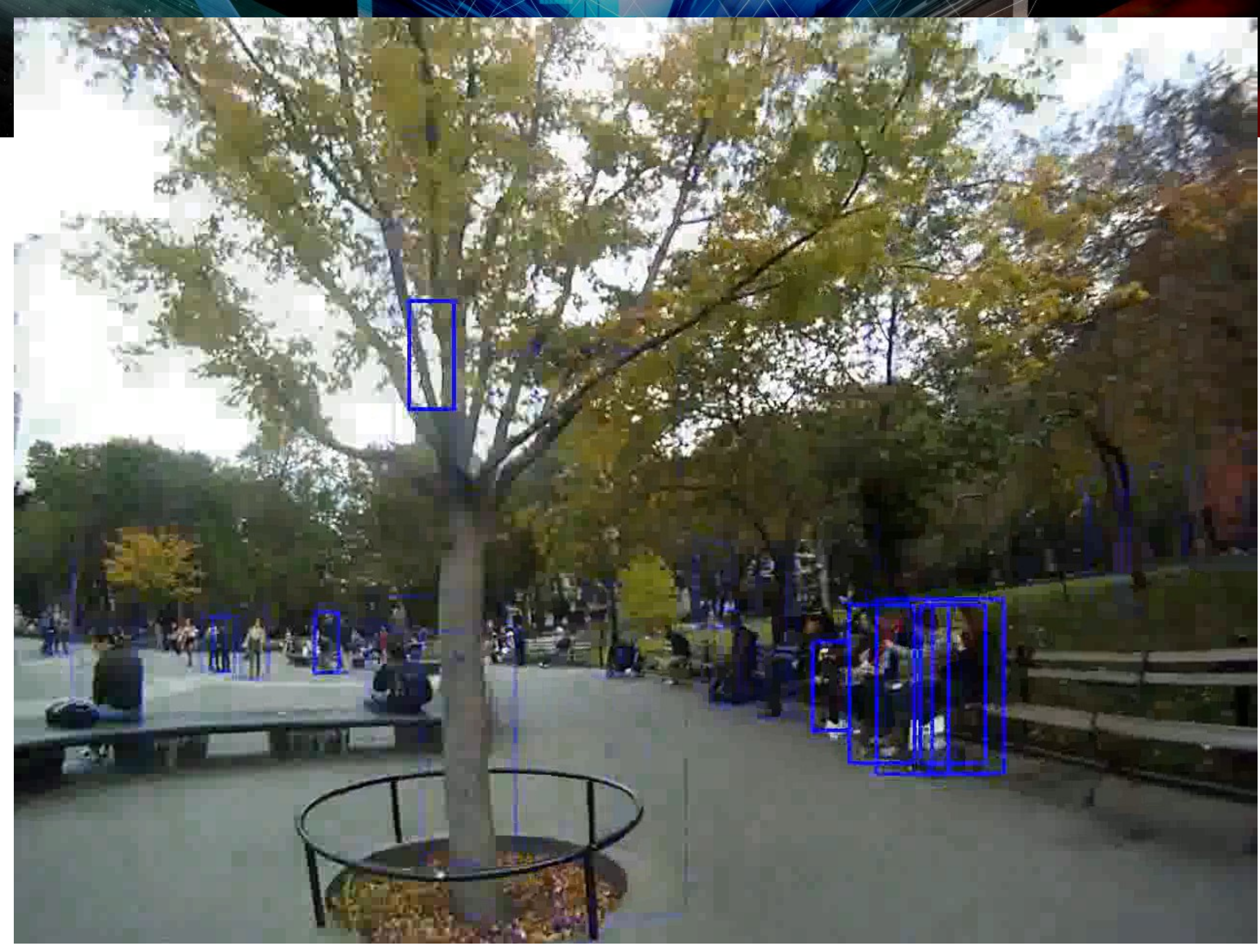
Computational cost for replicated convolutional net:

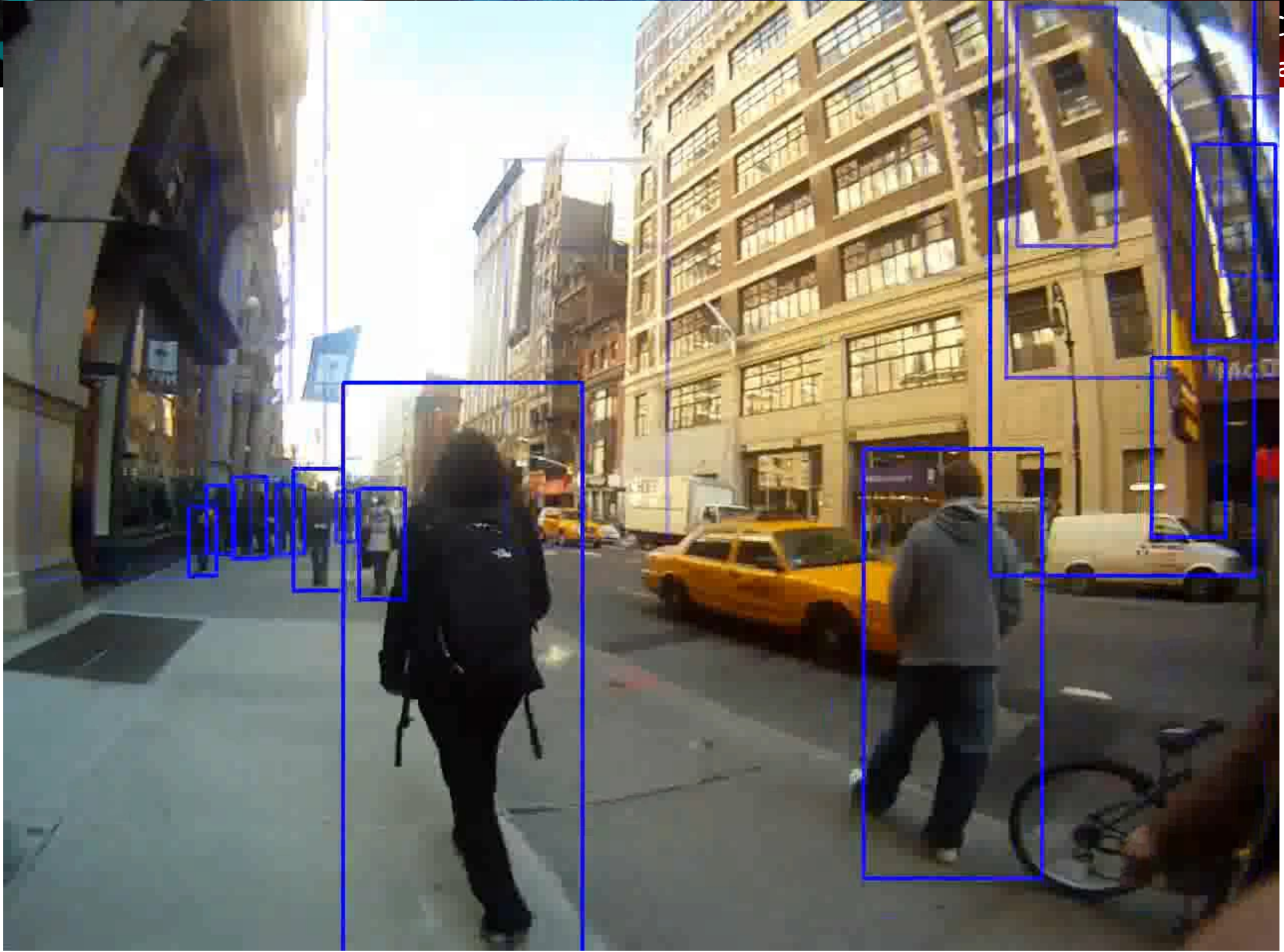
- 96x96 -> 4.6 million multiply-accumulate operations
- 120x120 -> 8.3 million multiply-accumulate ops
- 240x240 -> 47.5 million multiply-accumulate ops
- 480x480 -> 232 million multiply-accumulate ops

Computational cost for a non-convolutional detector of the same size, applied every 12 pixels:

- 96x96 -> 4.6 million multiply-accumulate operations
- 120x120 -> 42.0 million multiply-accumulate operations
- 240x240 -> 788.0 million multiply-accumulate ops
- 480x480 -> 5,083 million multiply-accumulate ops







■ Input: “Constant Q Transform” over 46.4ms windows (1024 samples)

- ▶ 96 filters, with frequencies spaced every quarter tone (4 octaves)

■ Architecture:

- ▶ Input: sequence of contrast-normalized CQT vectors
- ▶ 1: PSD features, 512 trained filters; shrinkage function → rectification
- ▶ 3: pooling over 5 seconds
- ▶ 4: linear SVM classifier. Pooling of SVM categories over 30 seconds

■ GTZAN Dataset

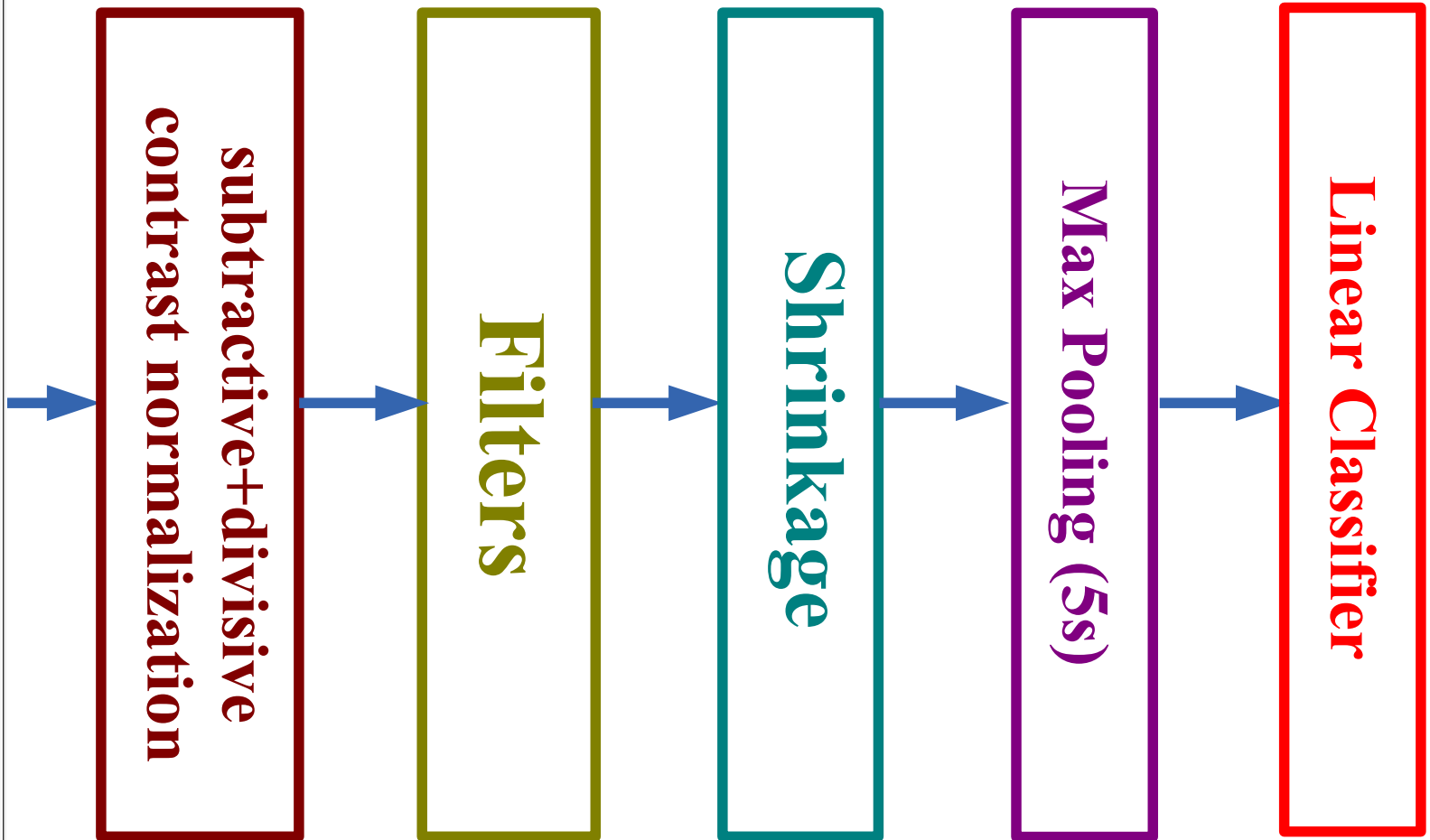
- ▶ 1000 clips, 30 second each
- ▶ 10 genres: blues, classical, country, disco, hiphop, jazz, metal, pop, reggae and rock.

■ Results

- ▶ 84% correct classification

Architecture: contrast norm → filters → shrink → max pooling

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MA Ranzato



Single-Stage Convolutional Network

Training of filters: PSD (unsupervised)

Constant Q Transform over 46.4 ms → Contrast Normalization

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MA Ranzato



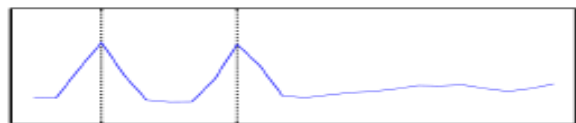
subtractive+divisive contrast normalization



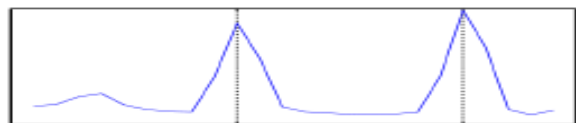
Convolutional PSD Features on Time-Frequency Signals

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MA Ranzato

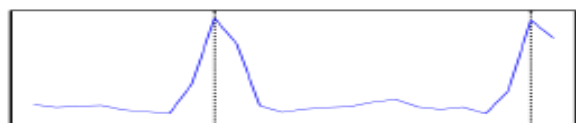
Octave-wide features



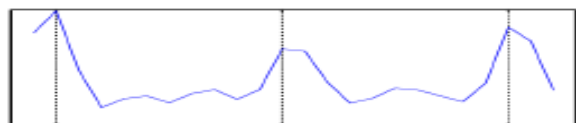
(a)



(b)



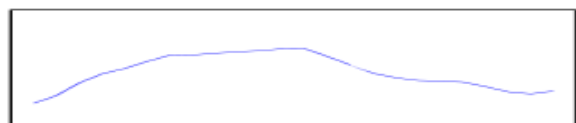
(c)



(d)



(e)



(f)

Minor 3rd

Perfect 4th

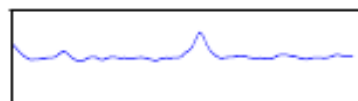
Perfect 5th

Quartal chord

Major triad

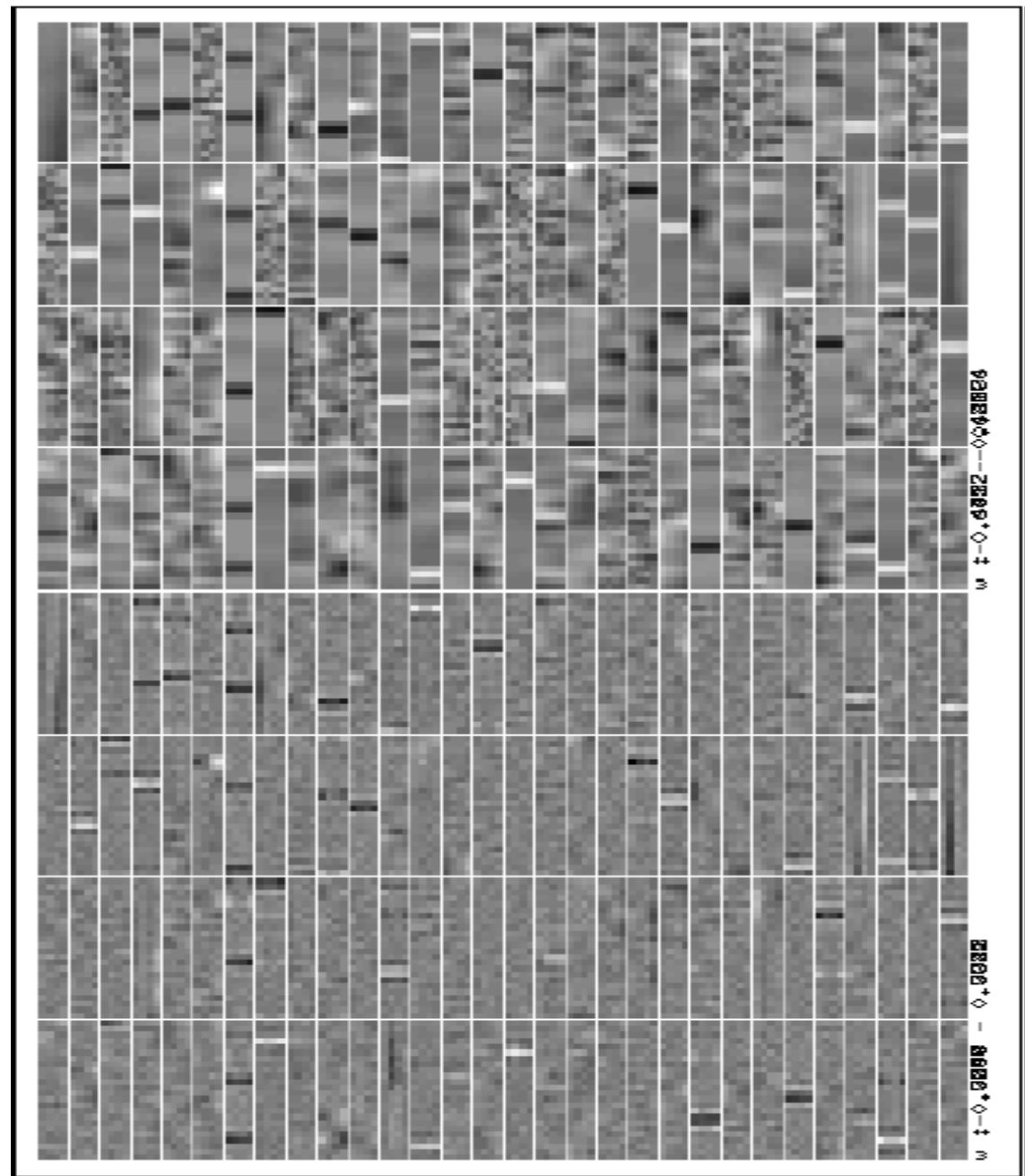
transient

full 4-octave features



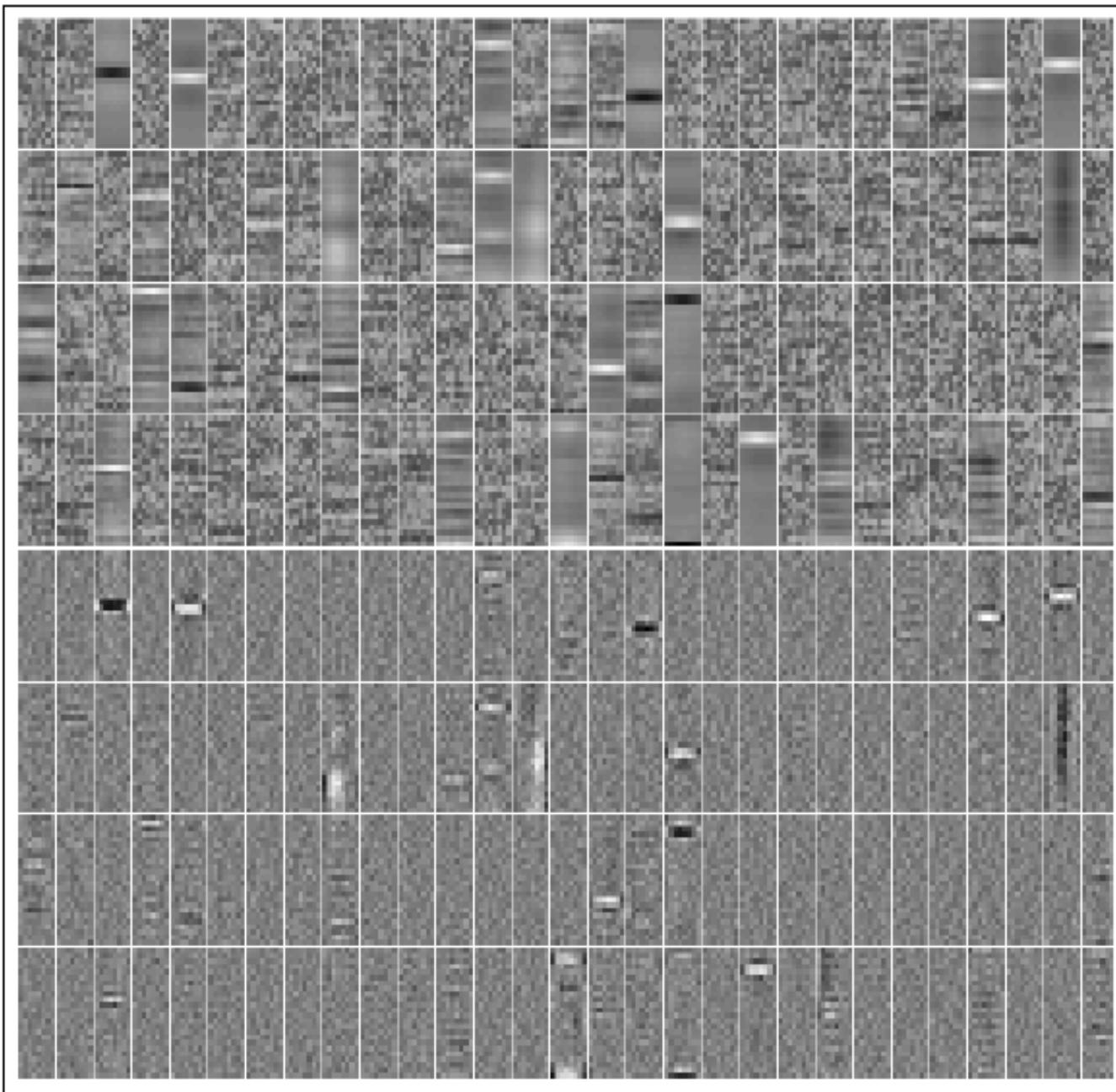
■ Octave-wide features

- ▶ Encoder basis functions
- ▶ Decoder basis functions



Octave-wide features on 8 successive acoustic vectors

- ▶ Almost no temporal structure in the filters!



Accuracy on GTZAN dataset (small, old, etc...)

Y LeCun
MA Ranzato

■ Accuracy: 83.4%. State of the Art: 84.3%

■ Very fast

Classifier	Features	Acc. (%)
RBF-SVM	Learned using DBN [12]	84.3
Linear SVM	Learned using PSD on octaves	83.4 ± 3.1
AdaBoost	Many features [2]	83
Linear SVM	Learned using PSD on frames	79.4 ± 2.8
SVM	Daubechies Wavelets [19]	78.5
Log. Reg.	Spectral Covariance [3]	77
LDA	MFCC + other [18]	71
Linear SVM	Auditory cortical feat. [25]	70
GMM	MFCC + other [29]	61



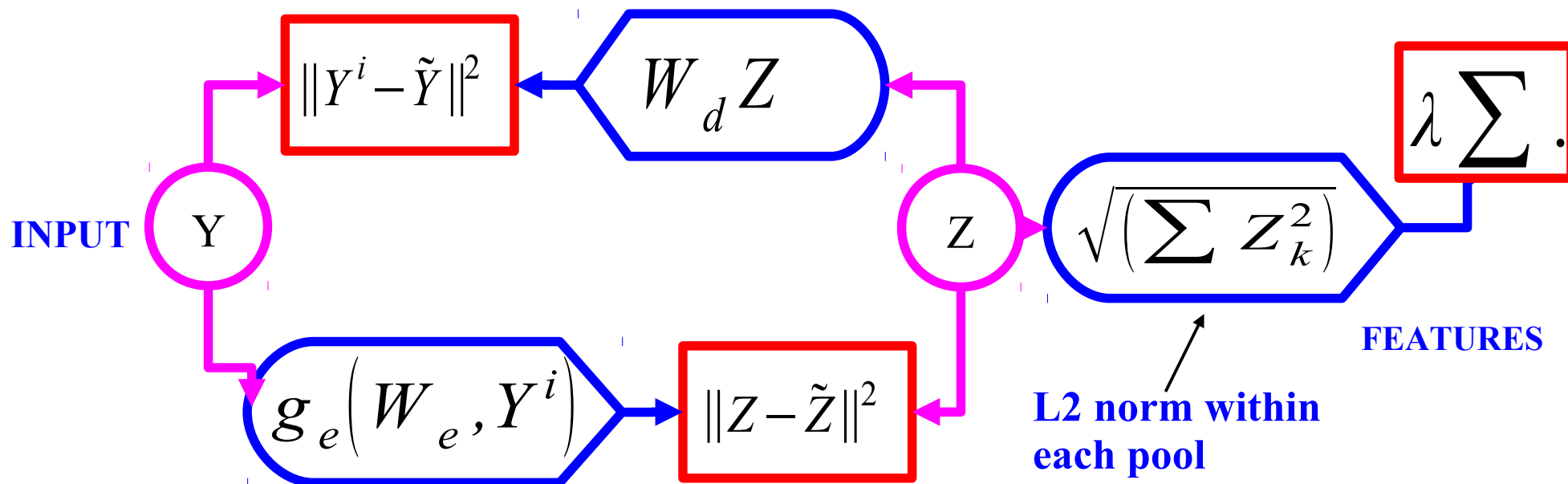
Unsupervised Learning: Invariant Features

Learning Invariant Features with L2 Group Sparsity

Y LeCun
MA Ranzato

- Unsupervised PSD ignores the spatial pooling step.
- Could we devise a similar method that learns the pooling layer as well?
- Idea [Hyvarinen & Hoyer 2001]: **group sparsity** on pools of features
 - ▶ Minimum number of pools must be non-zero
 - ▶ Number of features that are on within a pool doesn't matter
 - ▶ Pools tend to regroup similar features

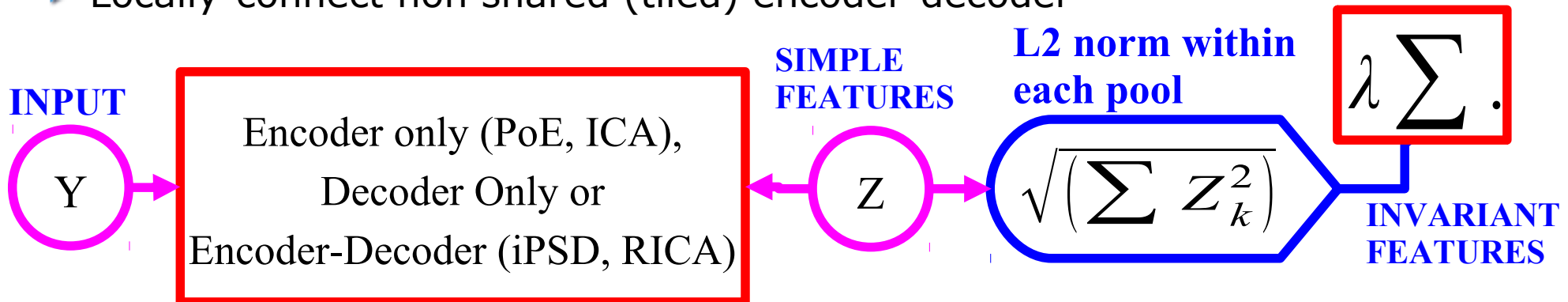
$$E(Y, Z) = \|Y - W_d Z\|^2 + \|Z - g_e(W_e, Y)\|^2 + \sum_j \sqrt{\sum_{k \in P_j} Z_k^2}$$



Learning Invariant Features with L2 Group Sparsity

Y LeCun
MA Ranzato

- **Idea: features are pooled in group.**
 - ▶ Sparsity: sum over groups of L2 norm of activity in group.
- **[Hyvärinen Hoyer 2001]: "subspace ICA"**
 - ▶ decoder only, square
- **[Welling, Hinton, Osindero NIPS 2002]: pooled product of experts**
 - ▶ encoder only, overcomplete, log student-T penalty on L2 pooling
- **[Kavukcuoglu, Ranzato, Fergus LeCun, CVPR 2010]: Invariant PSD**
 - ▶ encoder-decoder (like PSD), overcomplete, L2 pooling
- **[Le et al. NIPS 2011]: Reconstruction ICA**
 - ▶ Same as [Kavukcuoglu 2010] with linear encoder and tied decoder
- **[Gregor & LeCun arXiv:1006:0448, 2010] [Le et al. ICML 2012]**
 - ▶ Locally-connect non shared (tiled) encoder-decoder



Groups are local in a 2D Topographic Map

Y LeCun
MA Ranzato

- The filters arrange themselves spontaneously so that similar filters enter the same pool.
- The pooling units can be seen as complex cells
- **Outputs of pooling units are invariant to local transformations of the input**
 - ▶ For some it's translations, for others rotations, or other transformations.

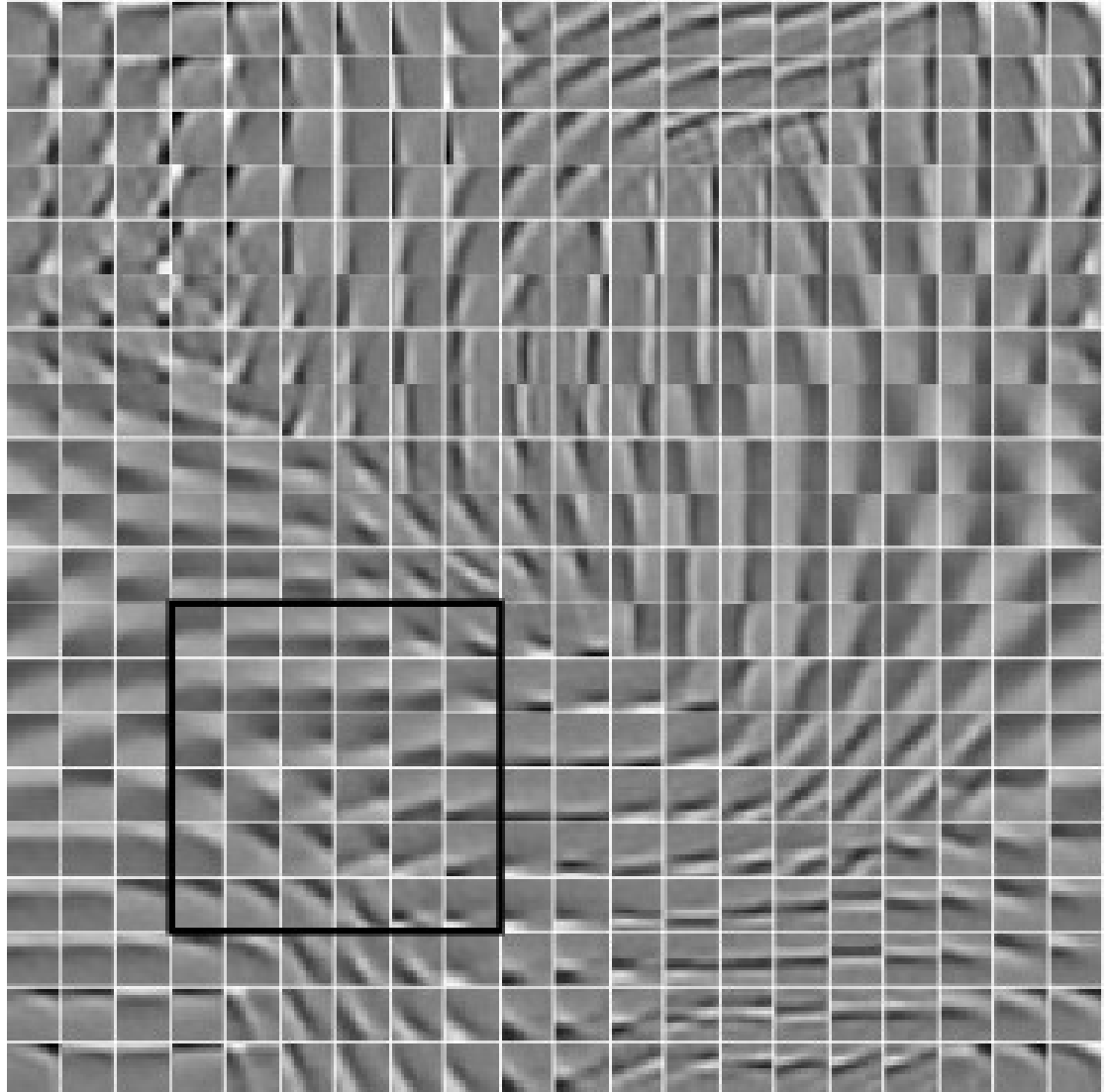


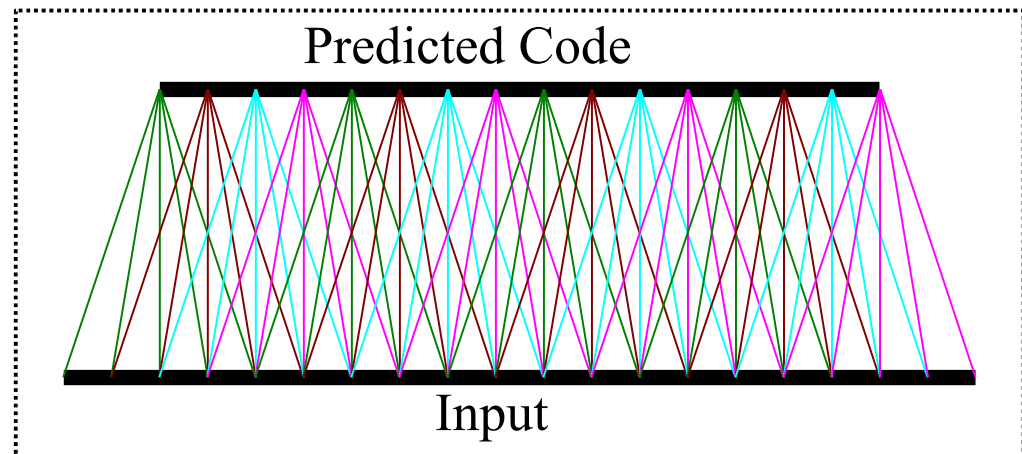
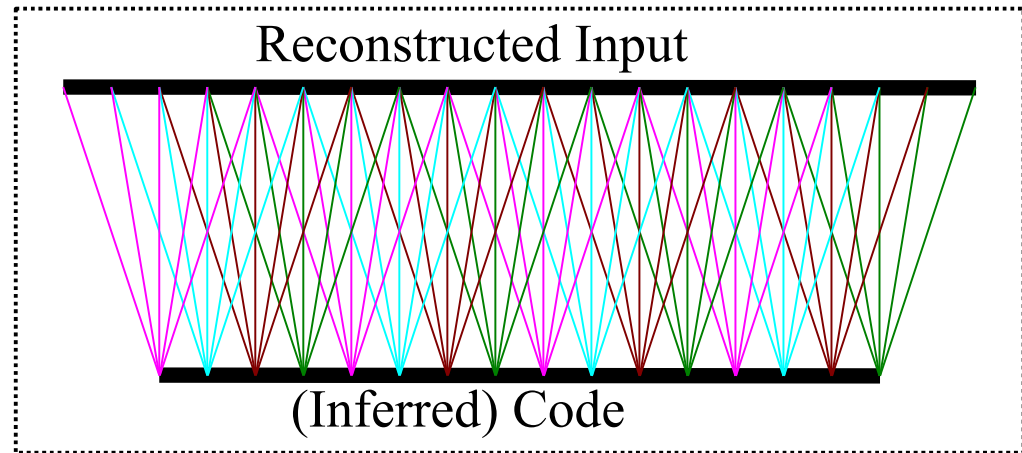
Image-level training, local filters but no weight sharing

Y LeCun
MA Ranzato

■ Training on 115×115 images. Kernels are 15×15 (not shared across space!)

- ▶ [Gregor & LeCun 2010]
- ▶ Local receptive fields
- ▶ No shared weights
- ▶ 4x overcomplete
- ▶ L2 pooling
- ▶ Group sparsity over pools

Decoder

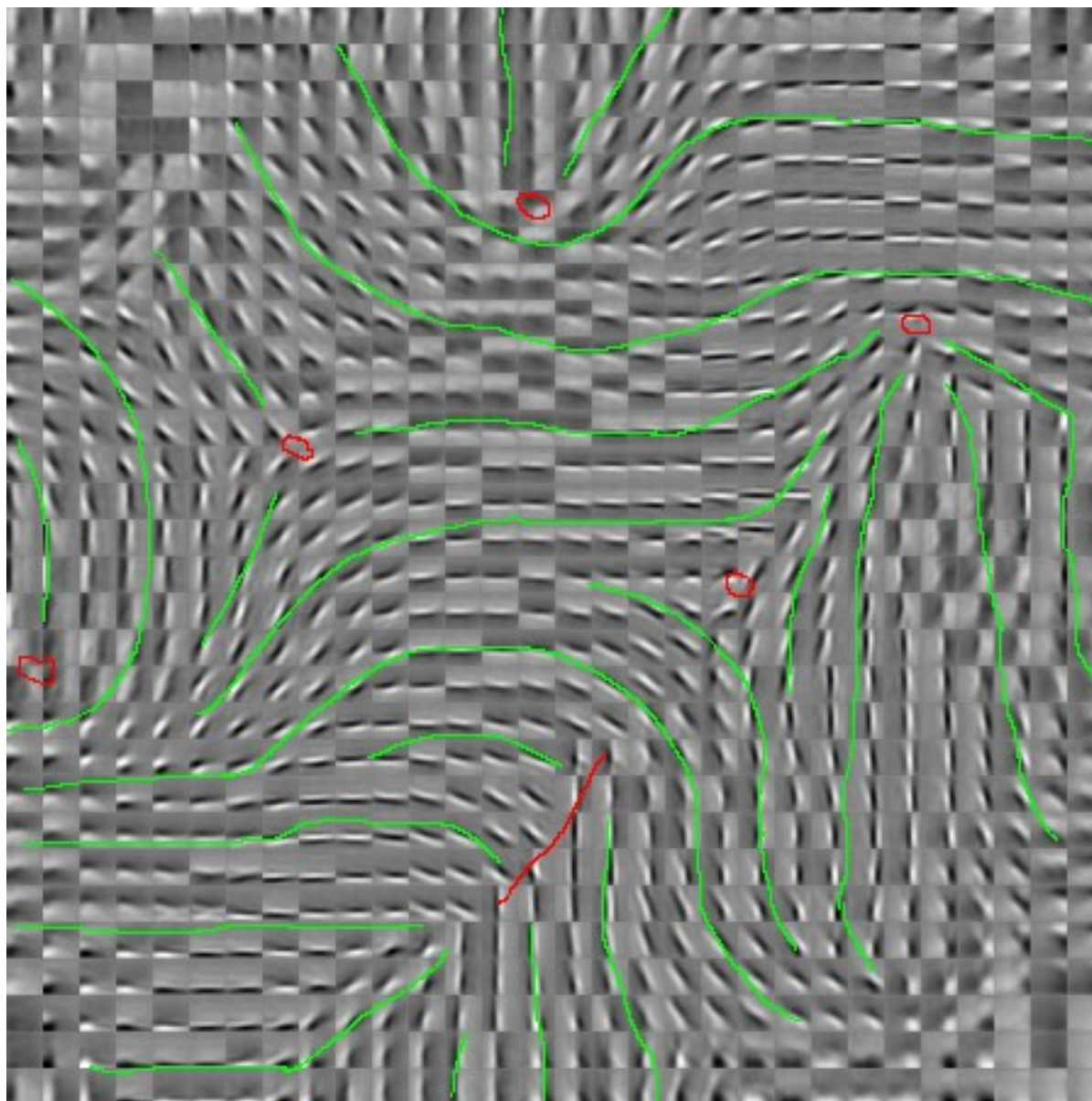


Encoder

Image-level training, local filters but no weight sharing

Y LeCun
MA Ranzato

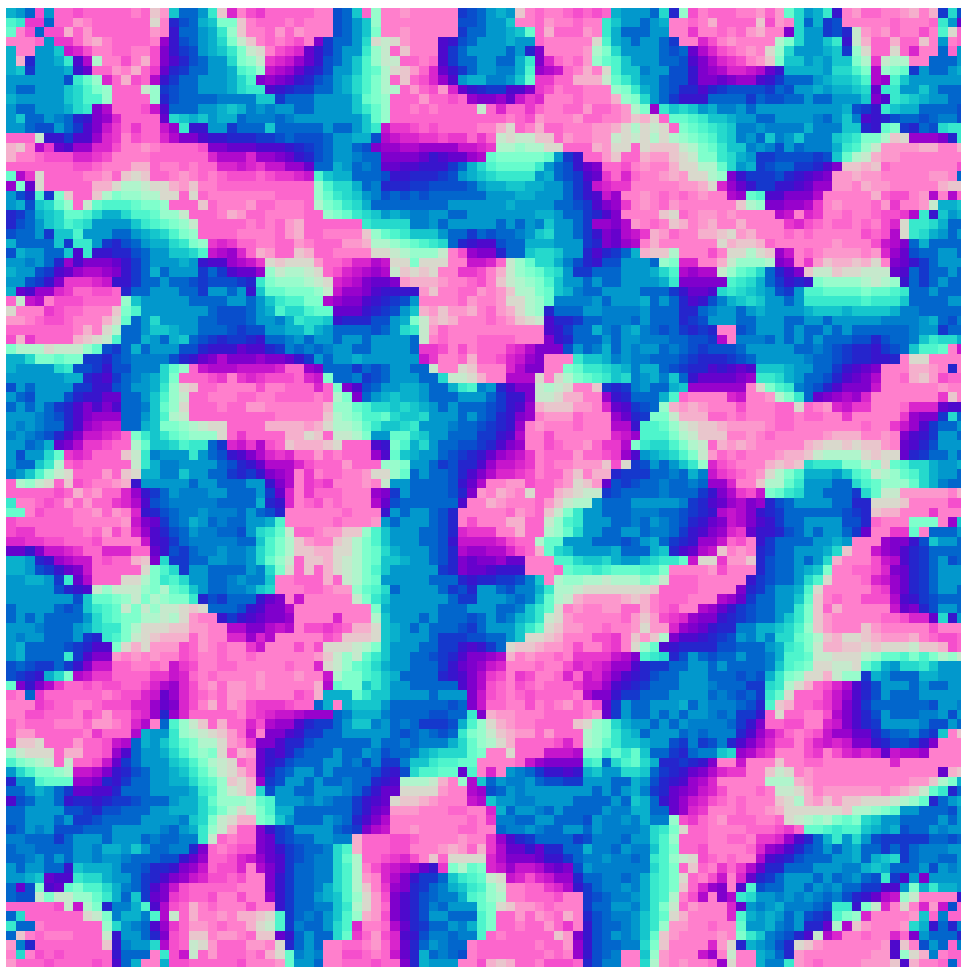
- Training on 115×115 images. Kernels are 15×15 (not shared across space!)



Topographic Maps

K Obermayer and GG Blasdel, Journal of Neuroscience, Vol 13, 4114-4129 (Monkey)

Y LeCun
MA Ranzato

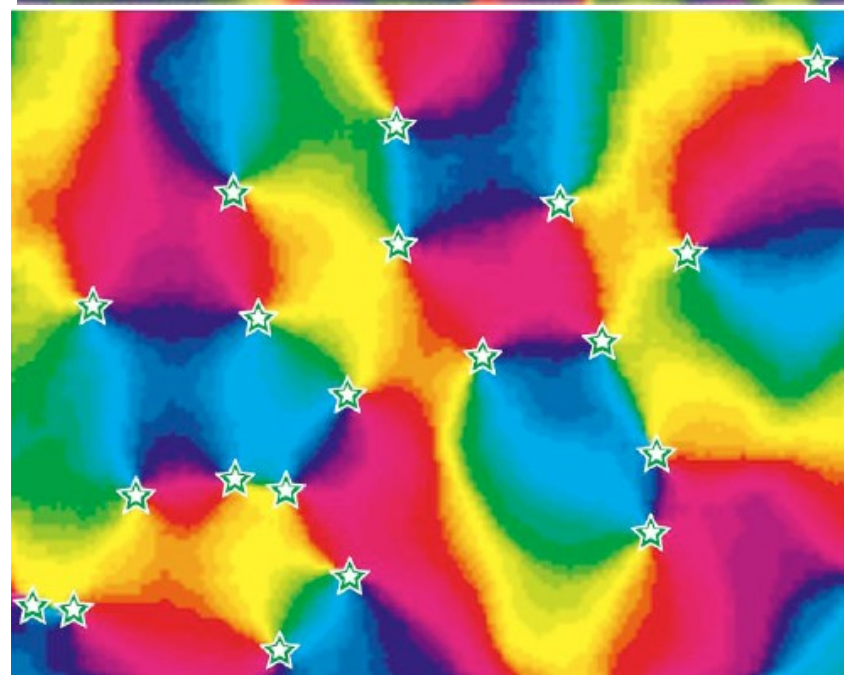
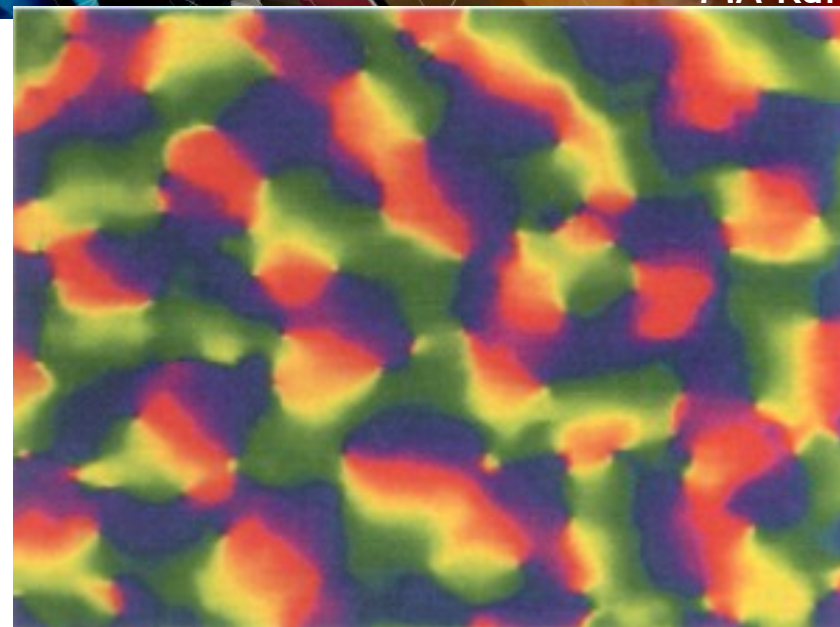


119x119 Image Input

100x100 Code

20x20 Receptive field size

$\sigma=5$

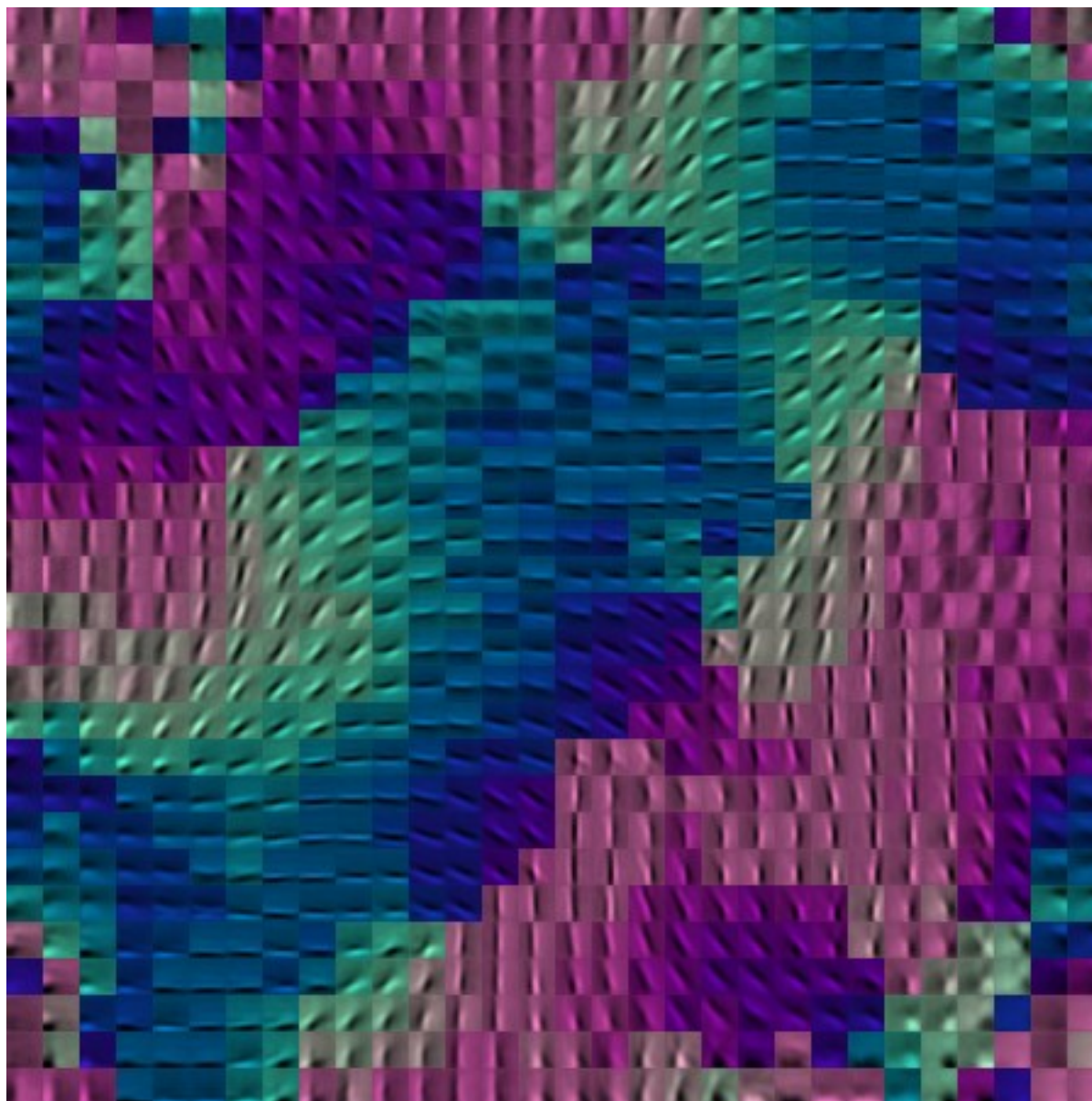


Michael C. Crair, et. al. The Journal of Neurophysiology
Vol. 77 No. 6 June 1997, pp. 3381-3385 (Cat)

Image-level training, local filters but no weight sharing

Y LeCun
MA Ranzato

- Color indicates orientation (by fitting Gabors)

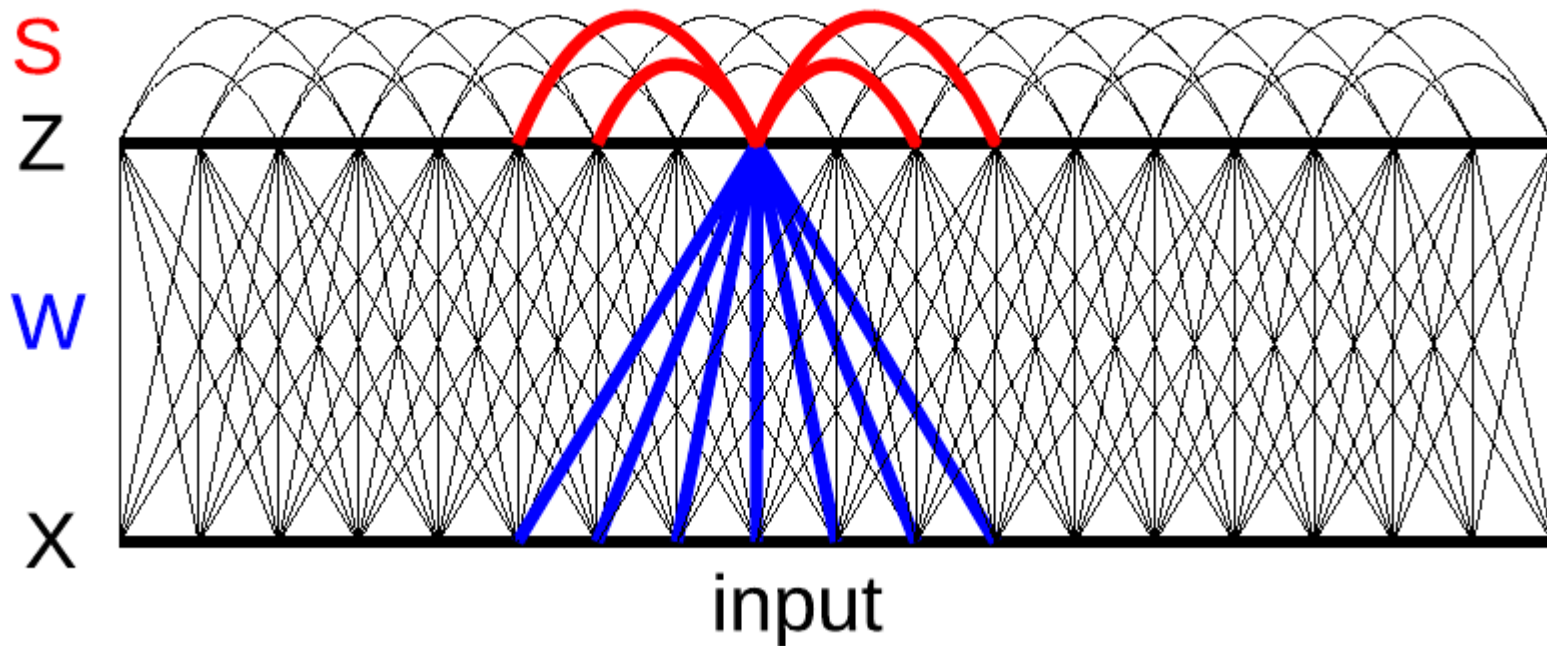


Invariant Features Lateral Inhibition

Y LeCun
MA Ranzato

- Replace the L1 sparsity term by a lateral inhibition matrix
- Easy way to impose some structure on the sparsity

$$\min_{W, Z} \sum_{x \in X} \|Wz - x\|^2 + |z|^T S |z|$$

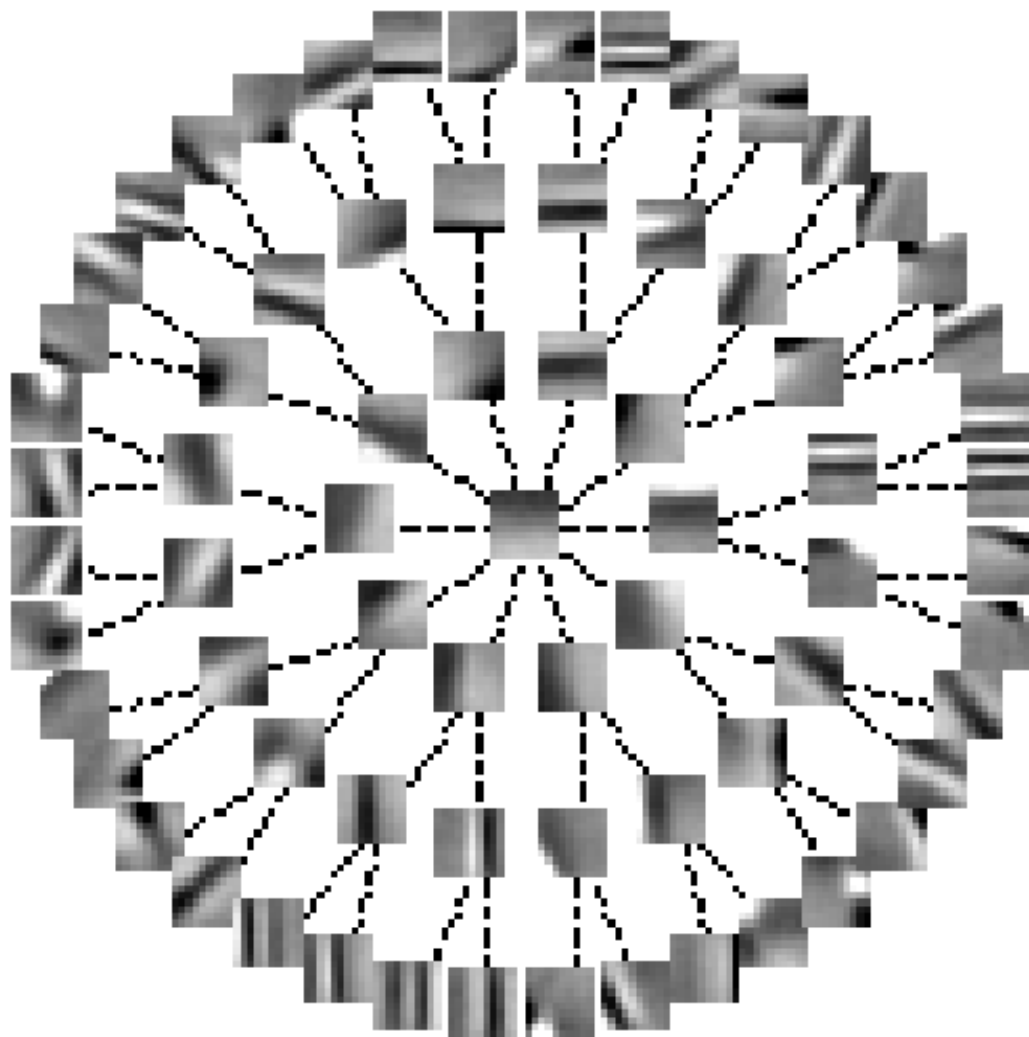


[Gregor, Szlam, LeCun NIPS 2011]

Invariant Features via Lateral Inhibition: Structured Sparsity

Y LeCun
MA Ranzato

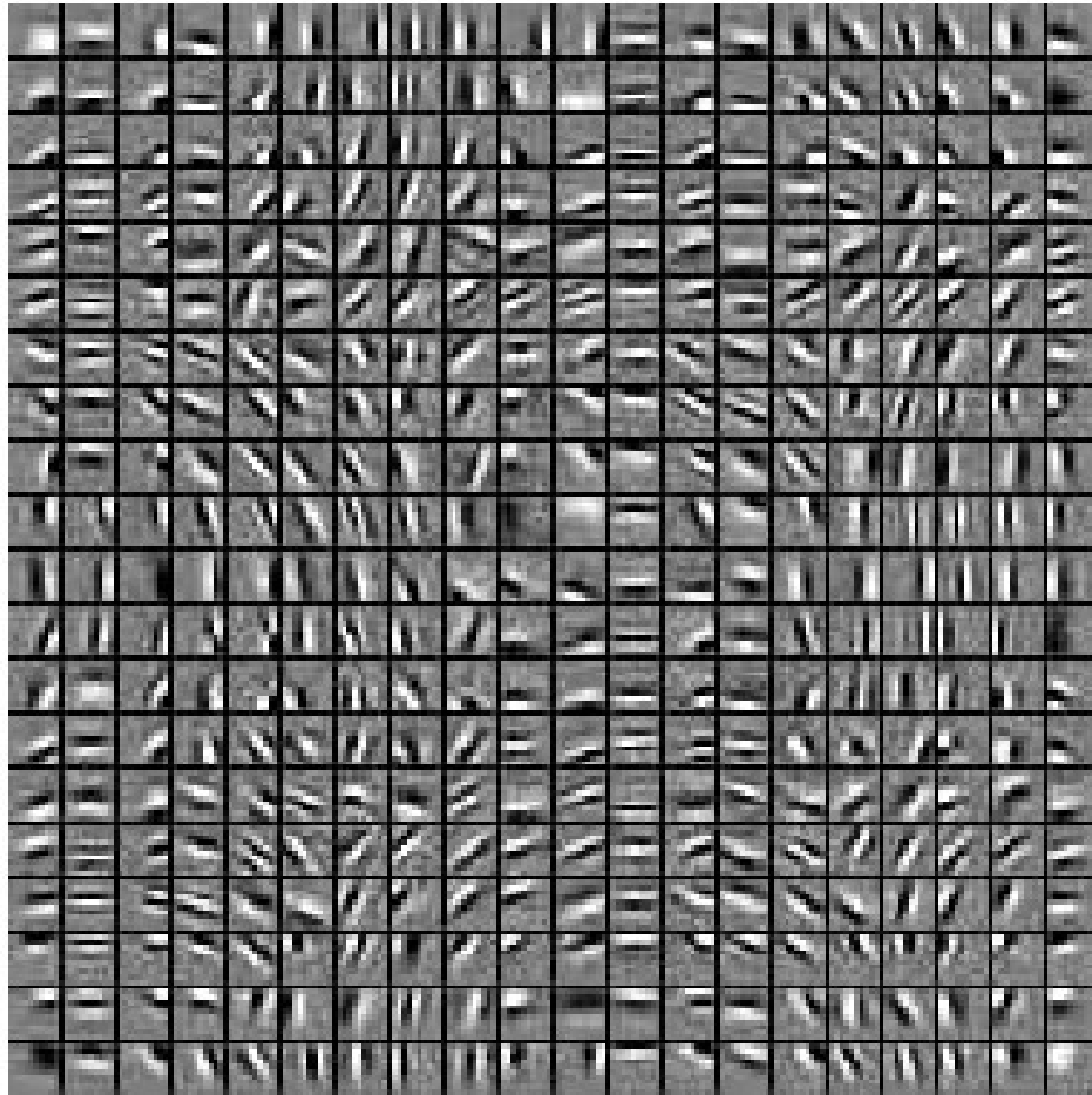
- Each edge in the tree indicates a zero in the S matrix (no mutual inhibition)
- S_{ij} is larger if two neurons are far away in the tree



Invariant Features via Lateral Inhibition: Topographic Maps

Y LeCun
MA Ranzato

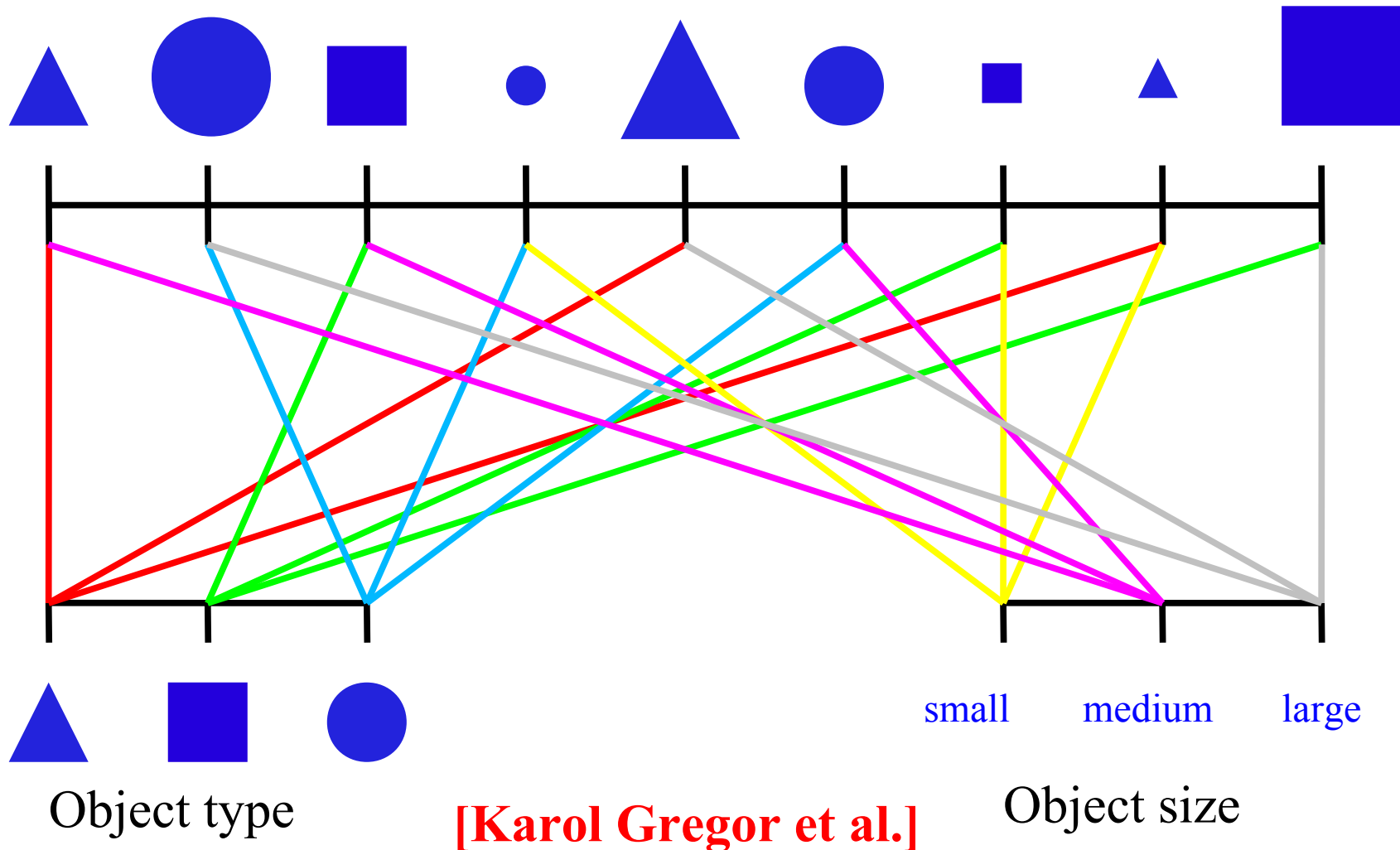
- Non-zero values in S form a ring in a 2D topology
 - ▶ Input patches are high-pass filtered



Invariant Features through Temporal Constancy

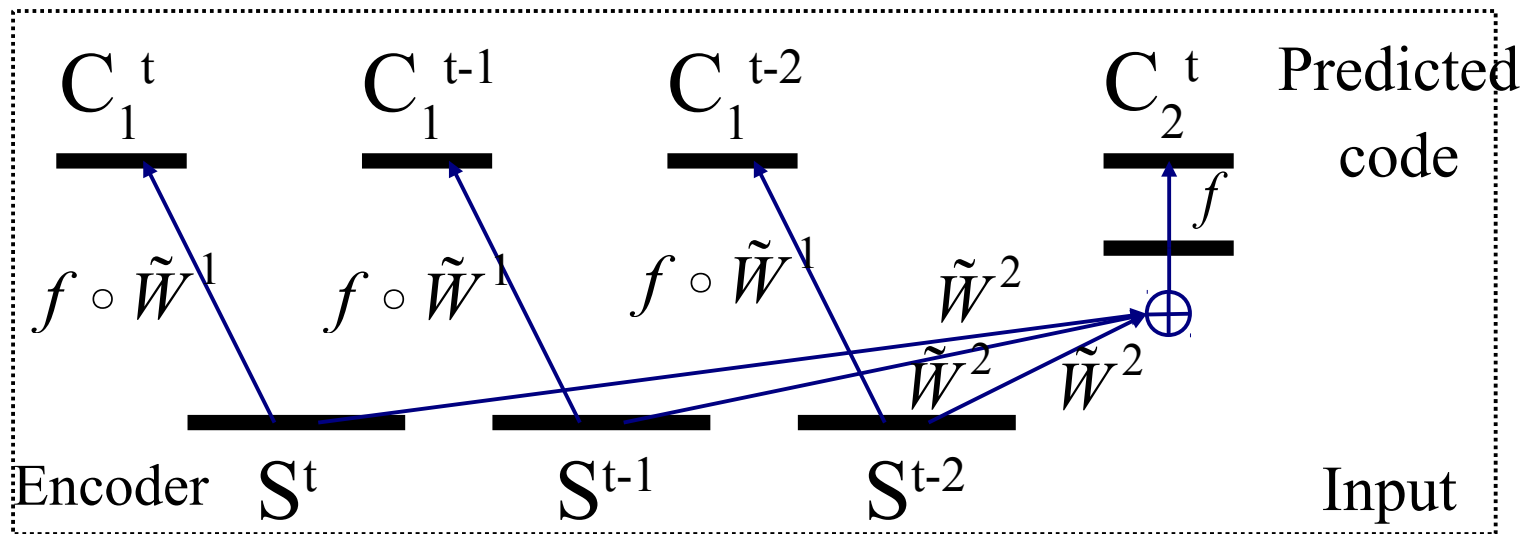
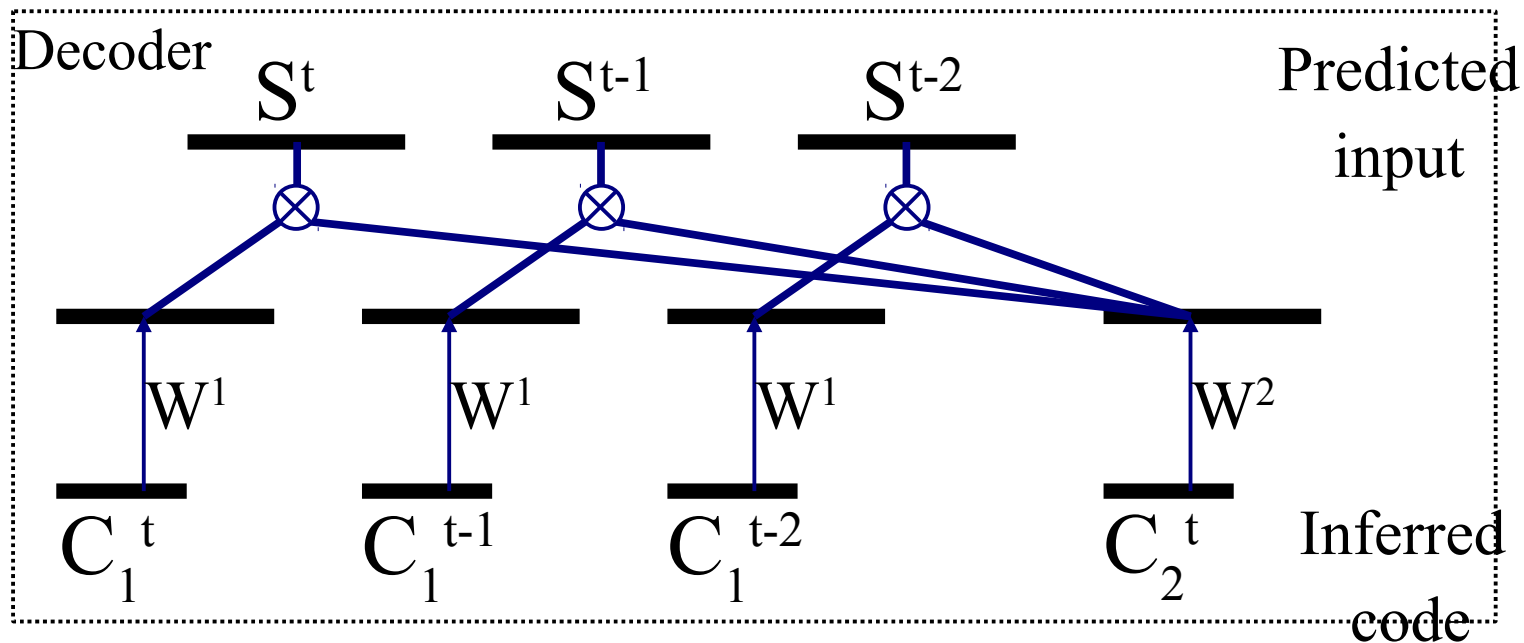
Y LeCun
MA Ranzato

- Object is **cross-product** of object type and instantiation parameters
 - ▶ Mapping units [Hinton 1981], capsules [Hinton 2011]



What-Where Auto-Encoder Architecture

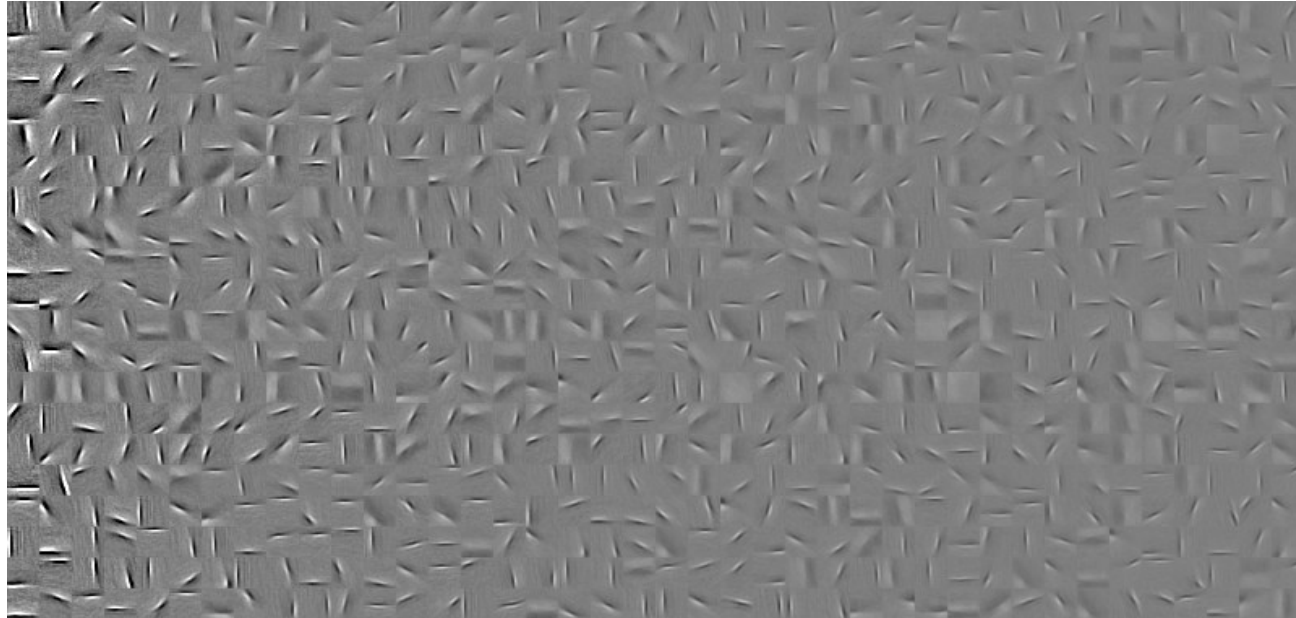
Y LeCun
MA Ranzato



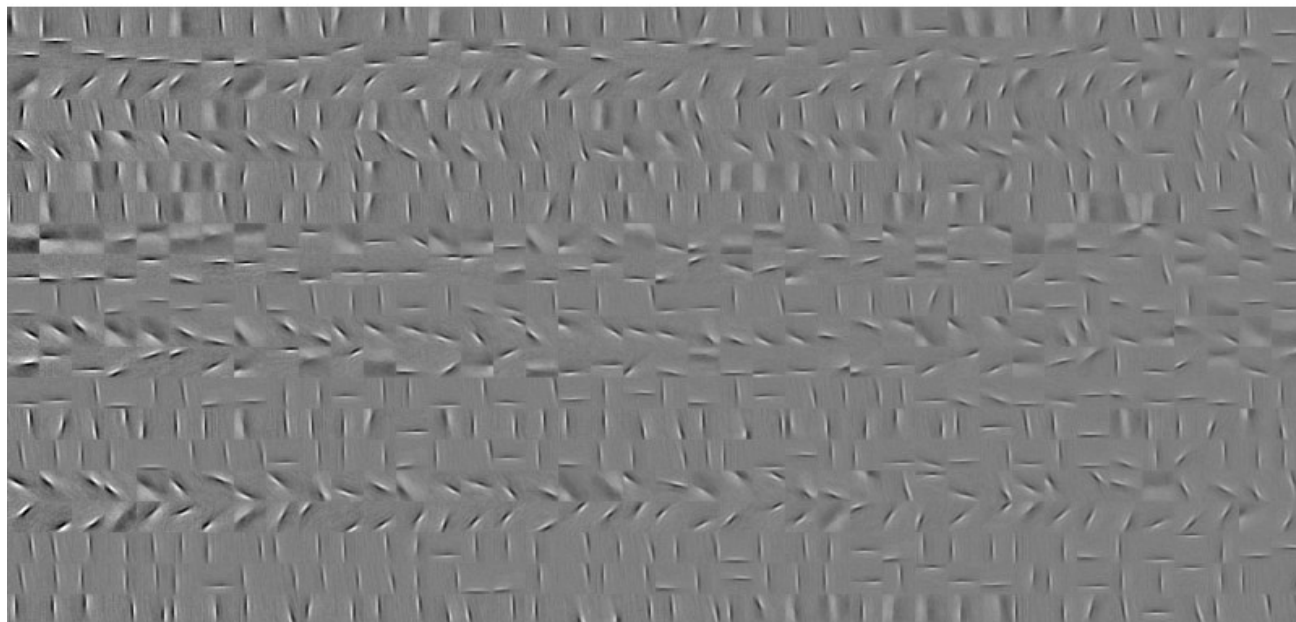
Low-Level Filters Connected to Each Complex Cell

Y LeCun
MA Ranzato

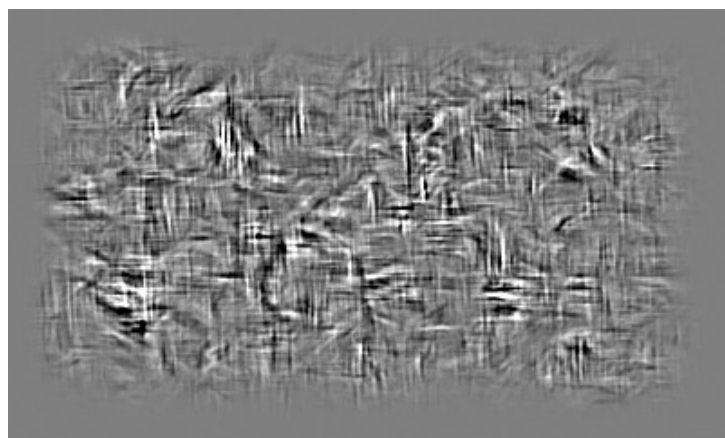
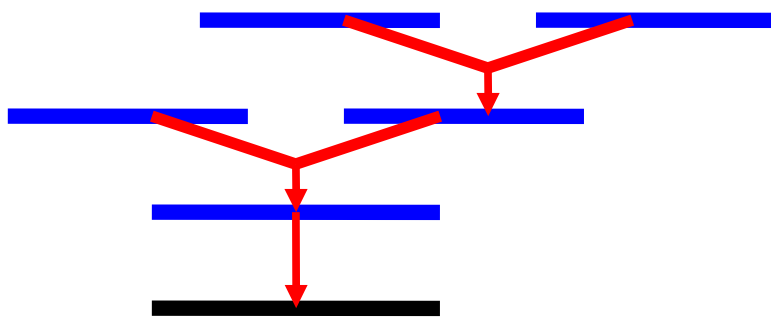
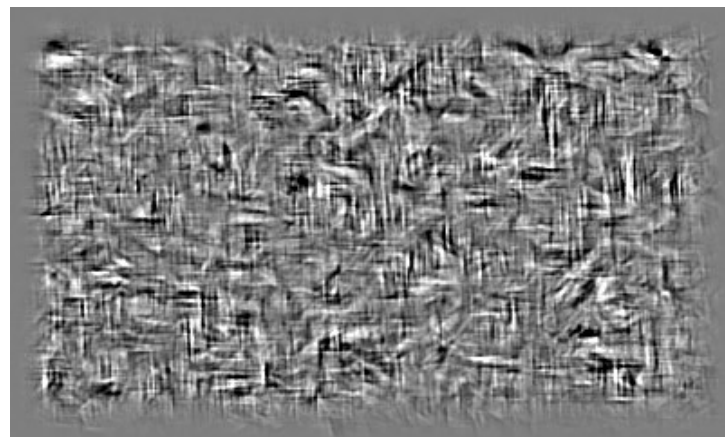
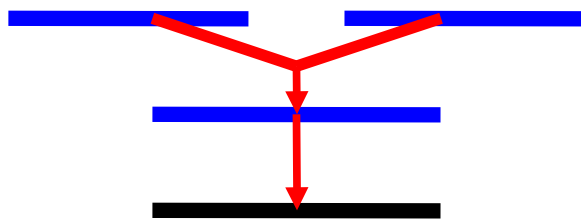
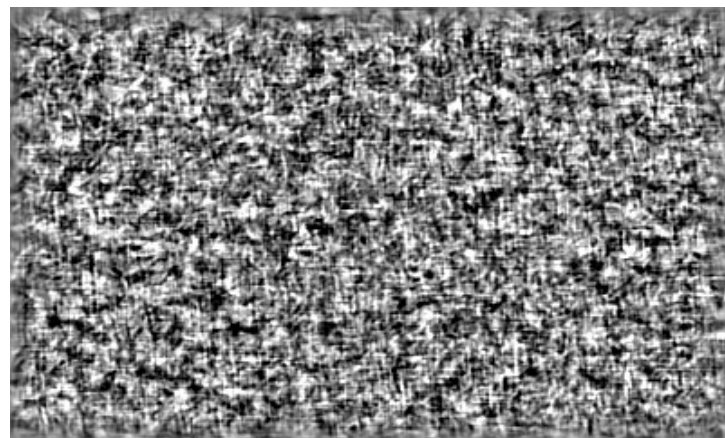
C1
(where)



C2
(what)



Generating images

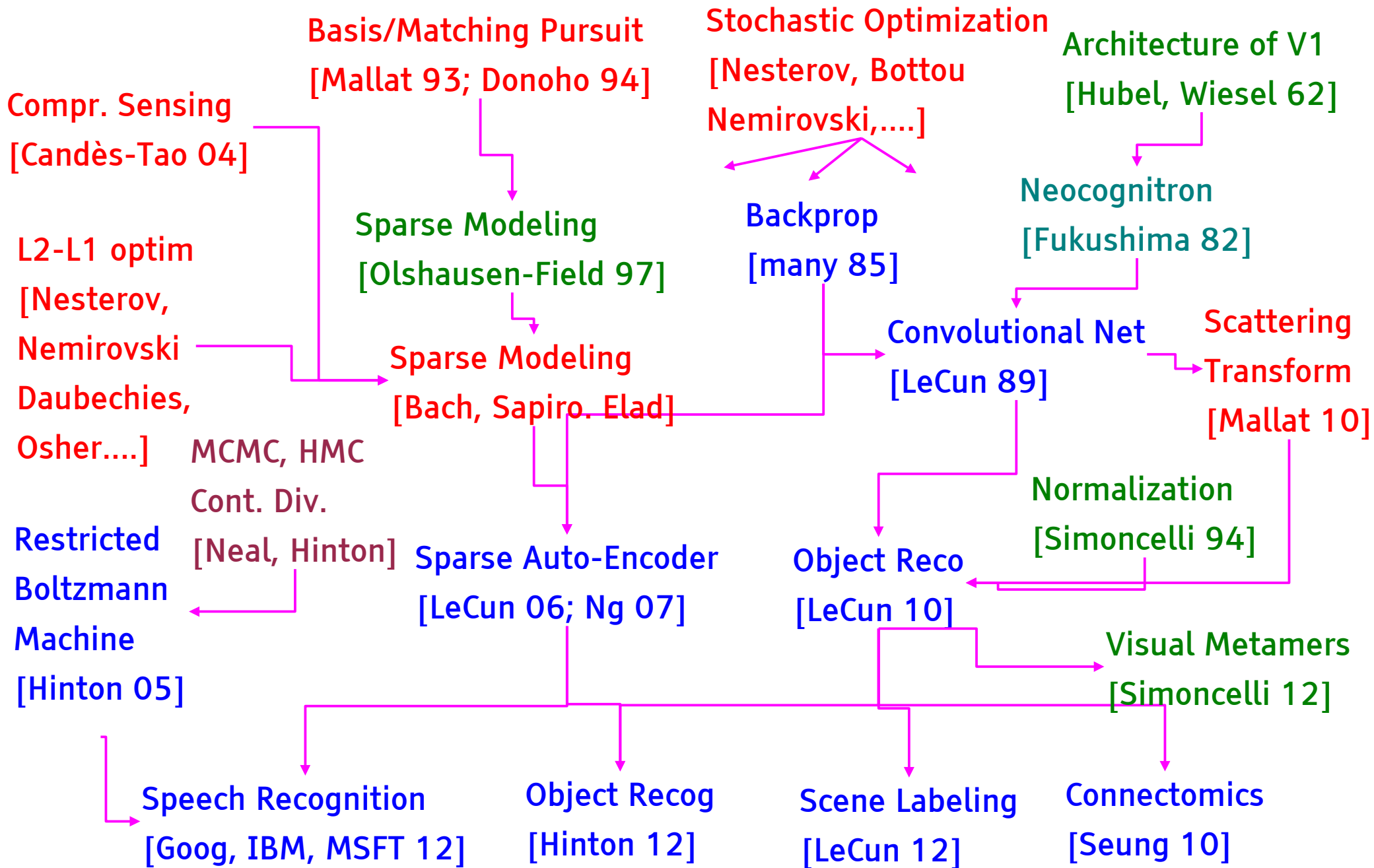




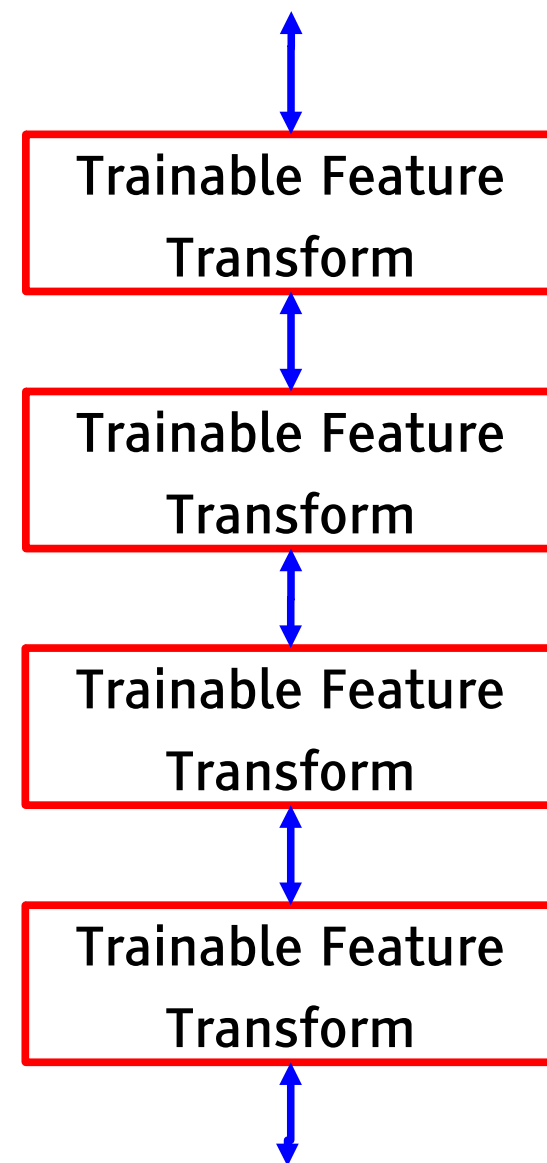
Future Challenges

The Graph of Deep Learning ↔ Sparse Modeling ↔ Neuroscience

Y LeCun
MA Ranzato



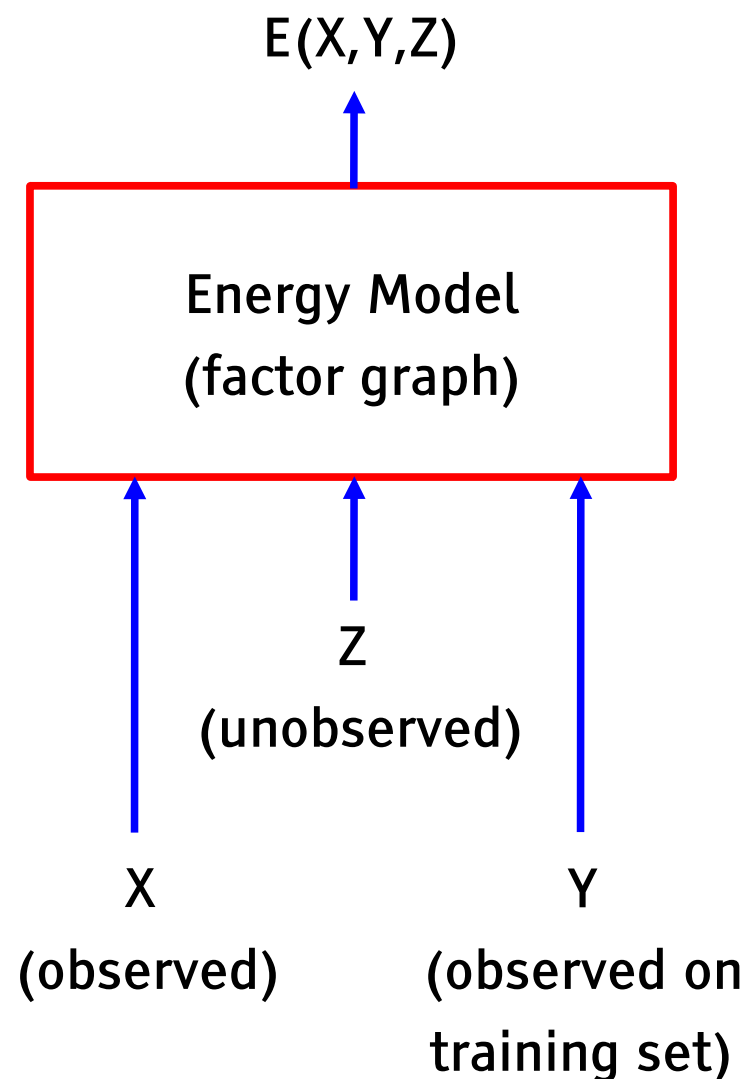
- **Marrying feed-forward convolutional nets with generative “deconvolutional nets”**
 - ▶ Deconvolutional networks
 - [Zeiler-Graham-Fergus ICCV 2011]
- **Feed-forward/Feedback networks allow reconstruction, multimodal prediction, restoration, etc...**
 - ▶ Deep Boltzmann machines can do this, but there are scalability issues with training



■ Deep Learning systems can be assembled into factor graphs

- ▶ Energy function is a sum of factors
- ▶ Factors can embed whole deep learning systems
- ▶ X: observed variables (inputs)
- ▶ Z: never observed (latent variables)
- ▶ Y: observed on training set (output variables)

■ Inference is energy minimization (MAP) or free energy minimization (marginalization) over Z and Y given an X

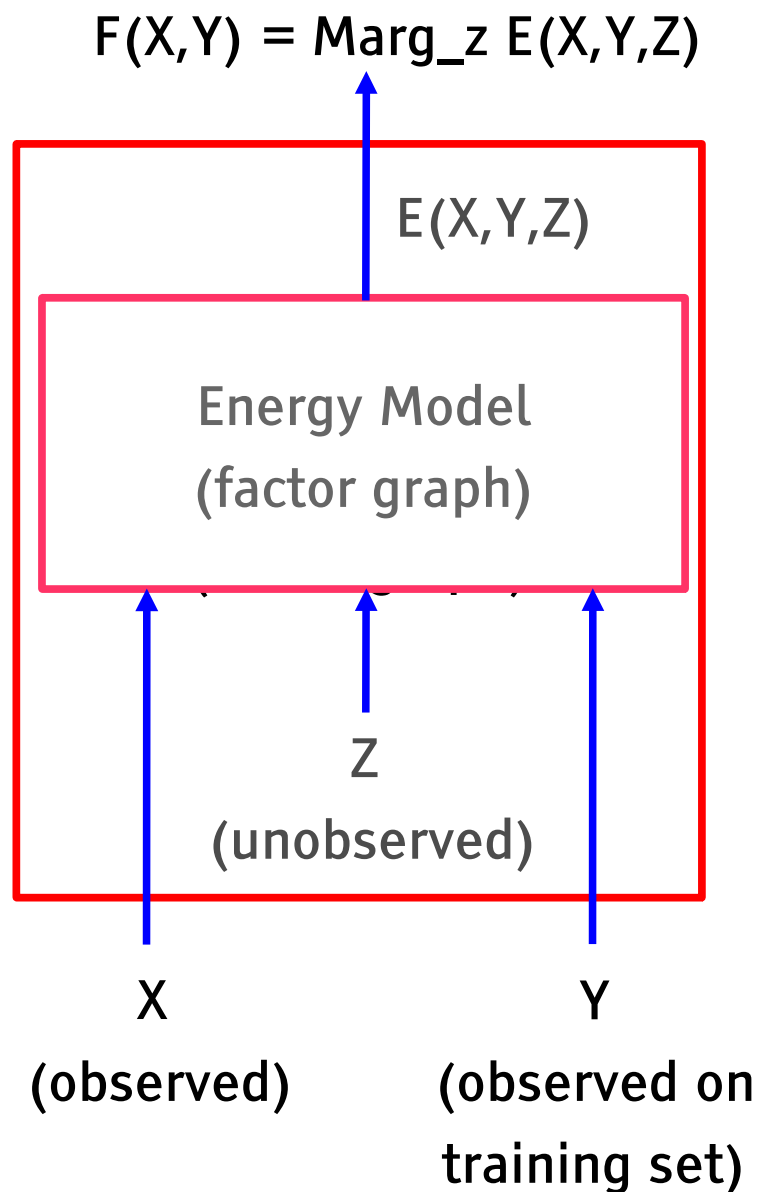


Deep Learning systems can be assembled into factor graphs

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Inference is energy minimization (MAP) or free energy minimization (marginalization) over Z and Y given an X

- ▶ $F(X,Y) = \text{MIN}_z E(X,Y,Z)$
- ▶ $F(X,Y) = -\log \text{SUM}_z \exp[-E(X,Y,Z)]$



Integrating Deep Learning and Structured Prediction

Y LeCun
MA Ranzato

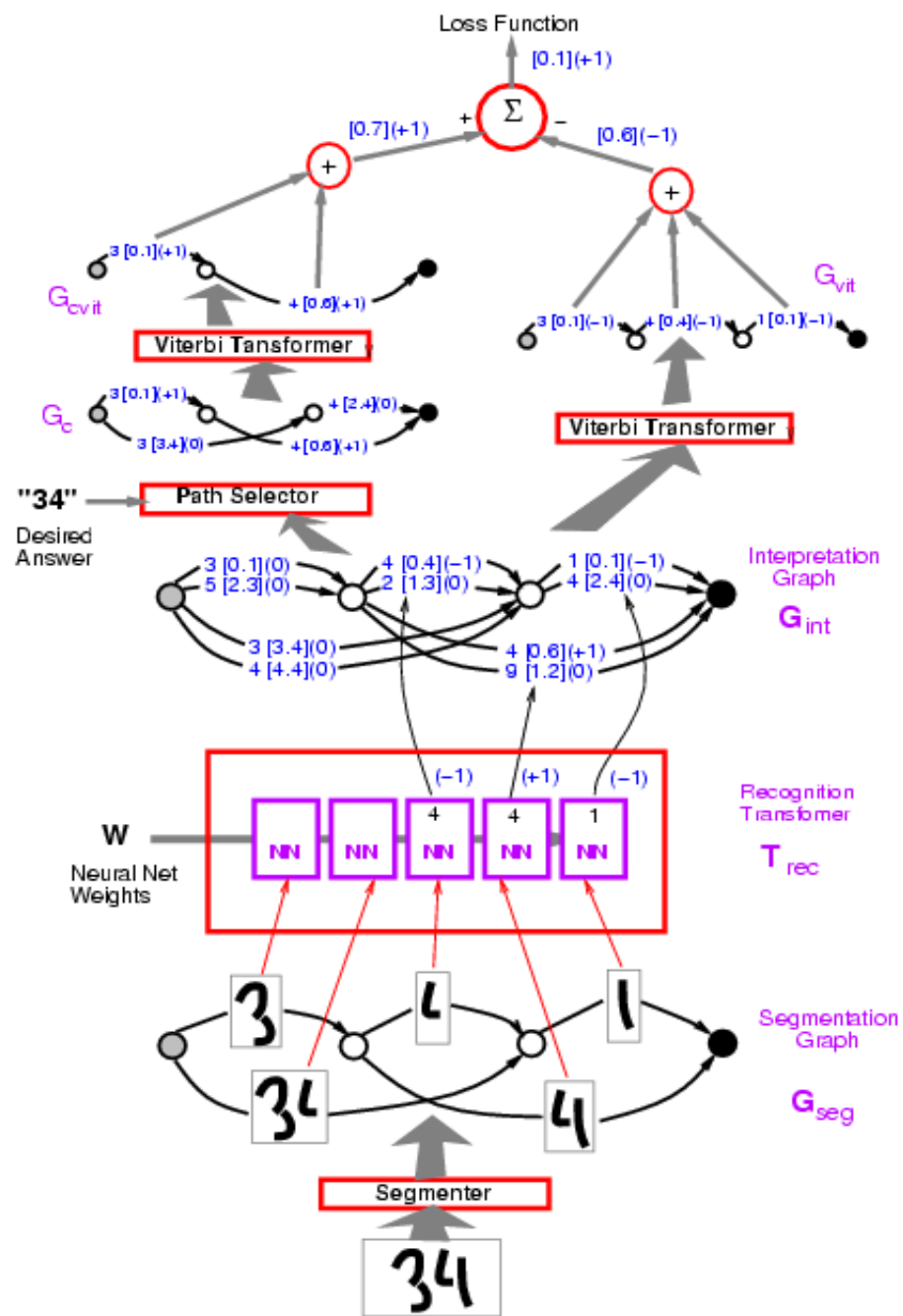
Integrating deep learning and structured prediction is a very old idea

- ▶ In fact, it predates structured prediction

Globally-trained convolutional-net + graphical models

- ▶ trained discriminatively at the word level
- ▶ Loss identical to CRF and structured perceptron
- ▶ Compositional movable parts model

A system like this was reading 10 to 20% of all the checks in the US around 1998

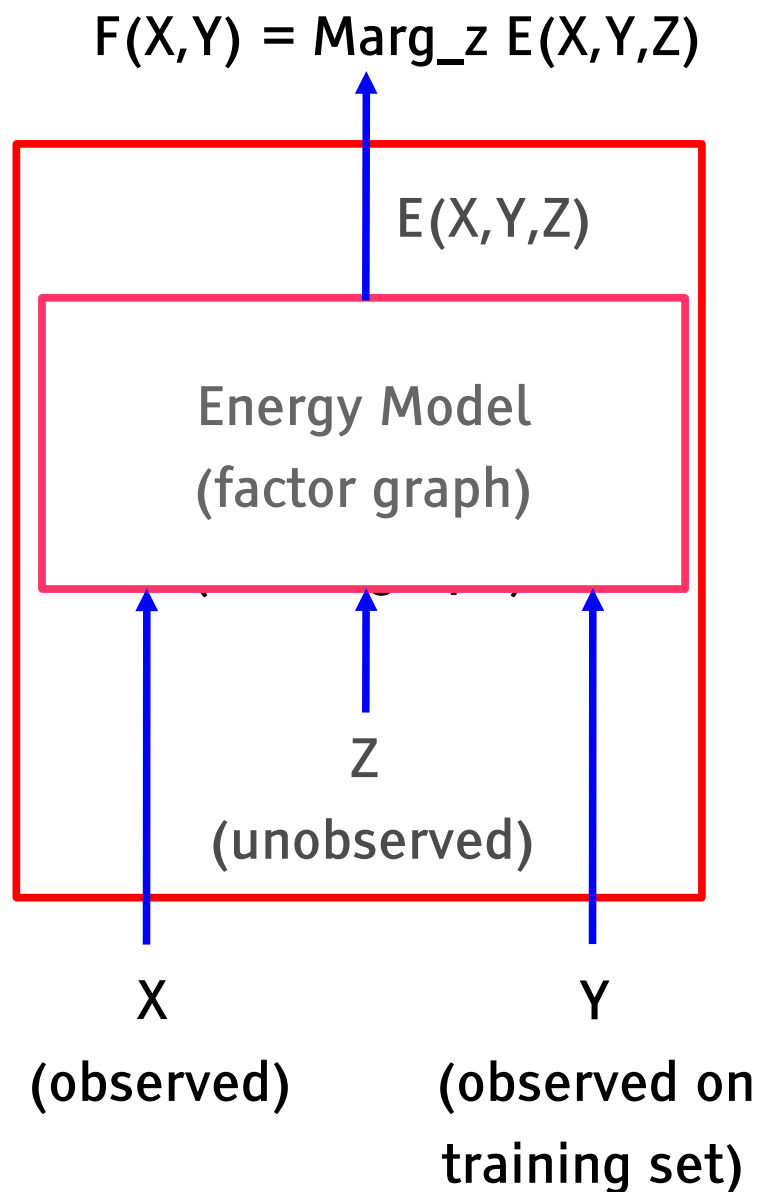


Deep Learning systems can be assembled into factor graphs

- ▶ Energy function is a sum of factors
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- ▶ X: observed variables (inputs)
- ▶ Z: never observed (latent variables)
- ▶ Y: observed on training set (output variables)

Inference is energy minimization (MAP) or free energy minimization (marginalization) over Z and Y given an X

- ▶ $F(X,Y) = \text{MIN}_z E(X,Y,Z)$
- ▶ $F(X,Y) = -\log \text{SUM}_z \exp[-E(X,Y,Z)]$



- **Integrated feed-forward and feedback**
 - ▶ Deep Boltzmann machine do this, but there are issues of scalability.
- **Integrating supervised and unsupervised learning in a single algorithm**
 - ▶ Again, deep Boltzmann machines do this, but....
- **Integrating deep learning and structured prediction (“reasoning”)**
 - ▶ This has been around since the 1990's but needs to be revived
- **Learning representations for complex reasoning**
 - ▶ “recursive” networks that operate on vector space representations of knowledge [Pollack 90's] [Bottou 2010] [Socher, Manning, Ng 2011]
- **Representation learning in natural language processing**
 - ▶ [Y. Bengio 01],[Collobert Weston 10], [Mnih Hinton 11] [Socher 12]
- **Better theoretical understanding of deep learning and convolutional nets**
 - ▶ e.g. Stephane Mallat's “scattering transform”, work on the sparse representations from the applied math community....

SOFTWARE

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MA Ranzato

Torch7: learning library that supports neural net training

- <http://www.torch.ch>
- <http://code.cogbits.com/wiki/doku.php> (tutorial with demos by C. Farabet)
- <http://eblearn.sf.net> (C++ Library with convnet support by P. Sermanet)

Python-based learning library (U. Montreal)

- <http://deeplearning.net/software/theano/> (does automatic differentiation)

RNN

- www.fit.vutbr.cz/~imikolov/rnnlm (language modeling)
- <http://sourceforge.net/apps/mediawiki/rnnl/index.php> (LSTM)

CUDAMat & GNumPy

- code.google.com/p/cudamat
- www.cs.toronto.edu/~tijmen/gnumpy.html

Misc

- www.deeplearning.net//software_links

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- see yann.lecun.com/exdb/publis for references on many different kinds of convnets.
- see <http://www.cmap.polytechnique.fr/scattering/> for scattering networks (similar to convnets but with less learning and stronger mathematical foundations)

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